

1 **Title**

2 Improvement of Oxidation Resistance for Ni-base Single Crystal Superalloy TMS-238 by  
3 Suppression of Sb Segregation at Oxide/Substrate Interface Using CaO

4

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24 **Abstract**

25 Impurity Sb degrades the oxidation resistance of Ni-base superalloys after Al<sub>2</sub>O<sub>3</sub> crucible melting.  
26 The purpose of this study is to clarify the effect of CaO on the oxidation resistance of Ni-base single  
27 crystal superalloy TMS-238 containing Sb and the reaction mechanism. Single crystal alloys  
28 containing Sb were cast using an Al<sub>2</sub>O<sub>3</sub> crucible and a CaO crucible. In the cyclic oxidation tests, the  
29 mass did not decrease for the alloy after CaO crucible melting. In STEM observations for the alloy  
30 after CaO crucible melting, Sb-Ca-O inclusion was found. In 3DAP for the oxide/substrate interface  
31 of the alloy after CaO crucible melting, Sb segregation was not detected. Moreover, the CaO rod was  
32 inserted in the TMS-238 melt to observe the CaO/melt interface. In EPMA observations of the  
33 surface of the CaO rod, Sb was observed. Therefore, the higher oxidation resistance of the alloy after  
34 CaO crucible melting than that of Al<sub>2</sub>O<sub>3</sub> crucible melting is due to the suppression of Sb segregation  
35 at the oxide/substrate interface by removing Sb from the melt and fixing Sb in the alloy. These occur  
36 by the formation of Sb-Ca-O on the surface of CaO, and the formation of Sb-Ca-O inclusions in the  
37 alloy, respectively.

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40 **I. INTRODUCTION**

41 Ni-base superalloys are used in turbine blades for jet engines and gas turbines for power generation  
42 because of their superior high-temperature properties. To improve the thermal efficiency of jet  
43 engines and gas turbines for power generation, it is necessary to increase the turbine inlet  
44 temperature. For this reason, rare metals such as Re, Ta, W, and Ru are added to Ni-base superalloys  
45 to improve their high-temperature properties.<sup>[1-5]</sup> Our research group developed the 6th generation  
46 Ni-base single crystal (SC) superalloy TMS-238, which has the highest temperature capability in  
47 history.<sup>[1]</sup> However, an increase in material cost due to the addition of rare metals is an issue.  
48 Therefore, our research group has been studying the "direct and complete recycling method", where  
49 used turbine blades are directly melted and recast to produce recycled materials.<sup>[6,7]</sup> This leads to the  
50 possibility of using various low-purity materials to reduce the cost of ingots production. However,  
51 low-purity materials contain impurities.<sup>[8-10]</sup> Takata *et al.* revealed that low melting point metallic  
52 impurity Sb significantly degrades the oxidation resistance of Ni-base SC superalloy TMS-238, and  
53 it was assumed that Sb segregated at the oxide/substrate interface, leading to the acceleration of the  
54 spallation of the oxide layers and degradation of the oxidation resistance.<sup>[11]</sup> To improve the  
55 oxidation resistance of Ni-base SC superalloy containing Sb, it is necessary to suppress Sb  
56 segregation at the oxide/substrate interface by removing Sb from the melt or fixing Sb in the alloy.

57 Regarding the removal of impurities from the melt, it was found that about 90 pct of Sb can be  
58 removed from Ni-base alloy NCF-1 (14/17Cr-6/10Fe-Ni) by MSR (Metal bearing Solution Refining)  
59 using 6 pct Ca-CaF<sub>2</sub> flux.<sup>[12]</sup> However, the use of CaF<sub>2</sub> has been reduced due to environmental

60 concerns.<sup>[13]</sup> It was also found that about 40 pct of Sb can be removed from crude copper as Sb  
61 oxides by using CaO-Na<sub>2</sub>SO<sub>4</sub> flux.<sup>[14]</sup> Our research group reported the effect on removing impurity S  
62 by contacting CaO with Ni-base superalloy melts. The reaction of Al in the melt with CaO forms  
63 calcium aluminate and Ca. It was revealed that S is removed by CaS incorporated into calcium  
64 aluminate.<sup>[15,16]</sup>

65 Regarding the fixation of an impurity in the alloy, CaO crucible melting for the Ni-base superalloy  
66 is effective for fixing impurity S in the alloy. Ca dissolves into the melt by the reaction of Al and  
67 CaO in the molten metal, and S is fixed in the alloy by the formation of CaS inclusions. This  
68 suppresses S segregation at the oxide/substrate interface and suppresses the spallation of the oxide  
69 layers, thereby improving the oxidation resistance.<sup>[17,18]</sup>

70 Based on these previous studies, it can be hypothesized that impurity Sb can be removed from the  
71 melt and fixed in the alloy as inclusions by using CaO, which can improve the oxidation resistance  
72 of the alloys. However, the effect of CaO on the oxidation resistance of Ni-base SC superalloys  
73 containing Sb has not been clarified.

74 The purpose of this study is to clarify the effect of CaO on the oxidation resistance of Ni-base SC  
75 superalloys TMS-238 containing Sb and the reaction mechanism. The recycling of TMS-238, which  
76 contains many rare metals, is beneficial for the use spread of the highest temperature capable  
77 superalloy. Cyclic oxidation tests were conducted to reveal whether the oxidation resistance had  
78 improved by using the CaO crucible, and microstructures were investigated to reveal whether Sb  
79 segregation at the oxide/substrate interface had been suppressed and if Sb inclusions had formed in  
80 the alloy. In addition, a CaO rod was inserted into TMS-238 melt, and the CaO rod was analyzed to  
81 observe the reaction at the CaO/melt interface to reveal whether Sb had been removed from the melt,  
82 and the mechanism was discussed.

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84

## 85 **II. EXPERIMENTAL PROCEDURES**

### 86 *A. Evaluation of Oxidation Resistance and Microstructure Investigation of Alloys*

87 An ingot of Ni-base single crystal superalloy TMS-238<sup>[1]</sup> (IHI Master Metal Co., Ltd.) was used in  
88 this study. Three types of alloys were fabricated in this study: the alloy without Sb addition that was  
89 melted in an Al<sub>2</sub>O<sub>3</sub> crucible is called TMS-238(Al<sub>2</sub>O<sub>3</sub>), the alloy with Sb addition that was melted in  
90 an Al<sub>2</sub>O<sub>3</sub> crucible is called TMS-238+Sb(Al<sub>2</sub>O<sub>3</sub>), and the alloy with Sb addition that was melted in a  
91 CaO crucible is called TMS-238+Sb(CaO).

92 A 2 kg ingot was placed in an Al<sub>2</sub>O<sub>3</sub> crucible or a CaO crucible and heated at 1600 °C using high  
93 frequency vacuum heating. The pressure within the furnace was kept under  $6 \times 10^{-2}$  Pa. A Ni tube  
94 filled with a bulk of 10 ppm of Sb was added to the melt for TMS-238+Sb(Al<sub>2</sub>O<sub>3</sub>) and  
95 TMS-238+Sb(CaO). The melt was poured into a ZrO<sub>2</sub>-base mold with a starter and a selector held at

96 1500 °C. Single crystal round bars (diameter: 11 mm, length: 135 mm) were cast by withdrawing the  
97 mold from the heating chamber to the cooling chamber at 200 mm/h.

98 The alloys were solution heat treated at 1335 °C for 20 h, aging heat treated at 1150 °C for 2 h, and  
99 secondary aging heat treated at 870 °C for 20 h.

100 The chemical compositions for each alloy were analyzed using inductivity-coupled plasma optical  
101 emission spectrometer (ICP-OES) (Agilent, 720-ES). For ICP-OES, 10 specimens with 0.5 mm in  
102 thickness were cut out from the starters of each alloy. The concentrations of trace elements Sb, Ca,  
103 and S were measured for each alloy using glow discharge mass spectrometry (GD-MS) (Thermo  
104 Scientific, VG9000). For GD-MS, a specimen with 5 mm in height was cut out from the starters of  
105 each alloy.

106 Cyclic oxidation tests were performed as follows. Round bars of each alloy were cut into  
107 cylindrical specimens (diameter: 9 mm, height: 5 mm) and the surfaces of the specimens were  
108 ground with SiC P600 and washed with acetone. Cyclic oxidation tests at 1100 °C for 1 h and  
109 air-cooling for 1 h in laboratory air were performed on all specimens. This process was repeated for  
110 200 cycles, and the change in mass was measured after several cycles.

111 Microstructures were investigated by using scanning electron microscopy (SEM), 3D atom probe  
112 (3DAP), and scanning transmission electron microscopy (STEM) techniques. A needle-shaped  
113 specimen for 3DAP was prepared using focused ion beam (FIB)-SEM dual-beam system (FEI,  
114 Helios G4UX) from the TMS-238+Sb(CaO) performed the oxidation test at 1100 °C for 1 h. The  
115 elemental distribution at the oxide/substrate interface was measured using 3DAP (CAMECA,  
116 LEAP5000XS). Circular backscattered (CBS)-SEM observations of the starter of  
117 TMS-238+Sb(CaO) before heat treatment were performed, and a thin foil specimen was also  
118 prepared using FIB-SEM. The STEM system with energy-dispersive X-ray spectroscopy (EDS) (FEI,  
119 Titan G2 80-200 ChemiSTEM) was used to obtain high-angle annular dark-field (HAADF)-STEM  
120 images, EDS elemental maps, and nano-beam electron diffraction (NBED) analysis.

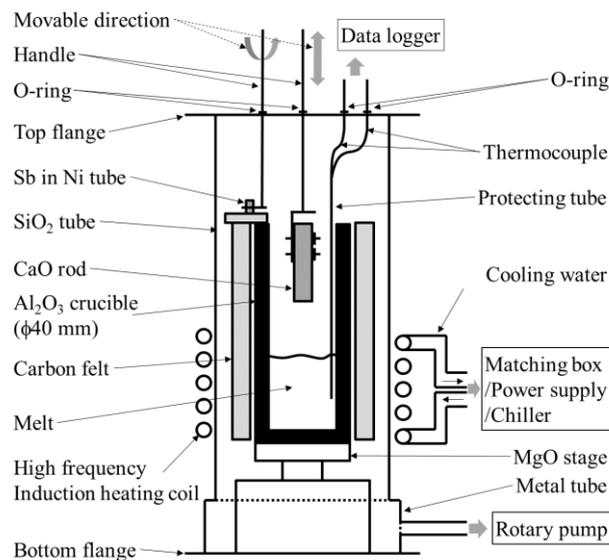
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#### 122 B. Evaluation of Reaction at CaO/Melt Interface by Inserting CaO Rod in Melt

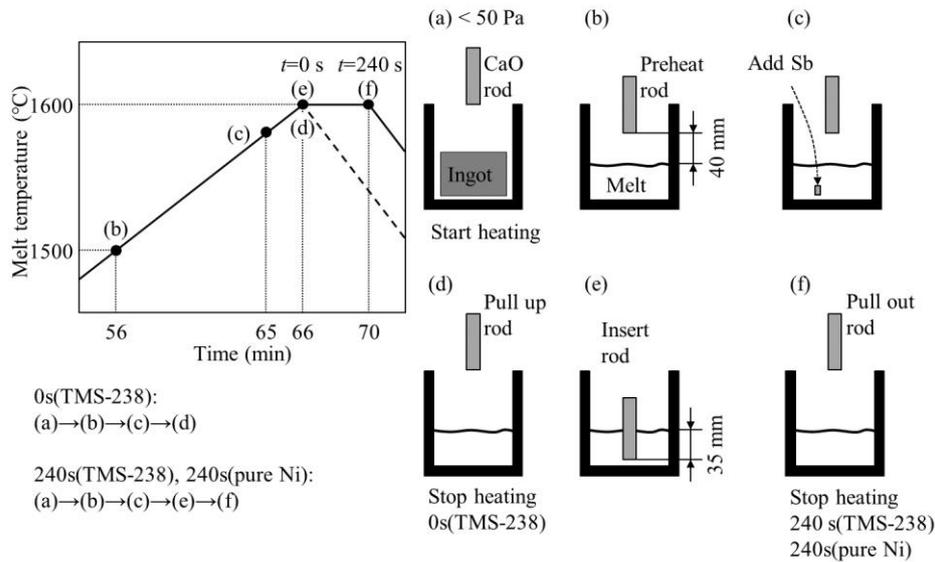
123 CaO rod insertion experiments were performed using the high frequency vacuum heating furnace in  
124 Figure 1 as follows. Similar experiments previously performed for removal of S from Ni-base  
125 superalloy melt.<sup>[15,16]</sup> Dense CaO rods (diameter: 15 mm, length: 80 mm, porosity: <1 pct) were used  
126 to observe the reaction at the CaO/melt interface. Ingots of TMS-238 and pure Ni (Nilaco Co.) were  
127 used to compare the reaction depending on the different amounts of Al in the melt. Additive Sb was  
128 prepared by filling a Ni tube with a bulk of Sb equal to 100 ppm of the weight of the alloys.

129 A 500 g ingot was placed in an Al<sub>2</sub>O<sub>3</sub> crucible and melted in the high frequency vacuum heating  
130 furnace. The pressure in the furnace was adjusted to less than 50 Pa at the start of heating (Figure  
131 2(a)). The furnace was preset so that the melt heated up to 1500 °C after 56 min from the start of

132 heating. The CaO rod was preheated by suspending above the melt with a 40 mm gap between the  
 133 bottom surface and the melt (Figure 2(b)). Sb was added by putting the Ni tube containing Sb into  
 134 the melt at exactly 65 min from the start of heating (Figure 2(c)). The melt was heated up to 1600 °C  
 135 within 1 min from the addition of Sb (Figure 2(d,e)). This temperature was kept throughout the rest  
 136 of the experiment. 66 min from the start of heating is defined as the holding time  $t=0$  s. In the  
 137 experiments using TMS-238, two types of experiments were carried out in which heating was  
 138 stopped at  $t=0$  and 240 s. The reason why heating was stopped at  $t=0$  s is that Sb may evaporate from  
 139 the melt and be deposited on the CaO rod before being inserted into the melt, and the CaO rod before  
 140 being inserted into the melt needed to be analyzed to distinguish Sb reacted in the melt from Sb  
 141 deposited by evaporation. In the experiment using pure Ni, one type of experiment was carried out in  
 142 which the heating was stopped at  $t=240$  s. These experiments will be referred to as 0s(TMS-238),  
 143 240s(TMS-238), and 240s(pure Ni), respectively. In the experiment of 0s(TMS-238), the CaO rod  
 144 was pulled up from the preheating position when the heating was stopped at  $t=0$  s (Figure 2(d)). In  
 145 the experiments of 240s(TMS-238) and 240s(pure Ni), the CaO rod was inserted into the melt, and  
 146 the length of the rod below the surface of the melt was 35 mm at  $t=0$  s (Figure 2(e)). The CaO rod  
 147 was kept in the melt and pulled out from it when the heating was stopped at  $t=240$  s (Figure 2(f)).  
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149  
 150 Fig. 1—Schematic diagram of the high frequency vacuum heating furnace for the CaO rod insertion  
 151 experiments (adapted from the reference<sup>[16]</sup>). Some parts were modified for the experiments in this  
 152 study.  
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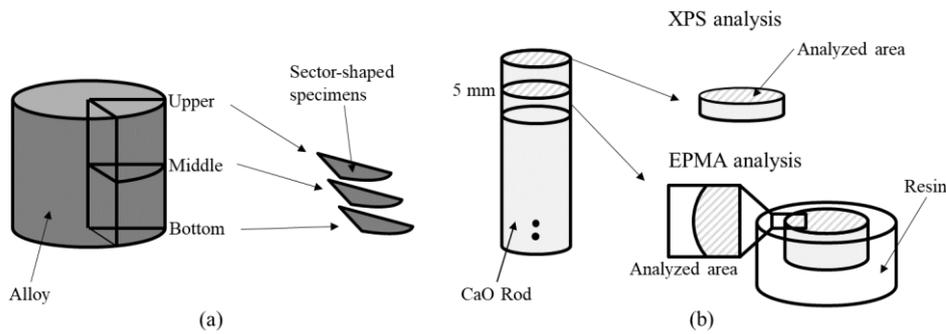
155 Fig. 2—Flow and schematic diagrams of the CaO rod insertion experiments. (a) Start of heating ingot,  
 156 (b) preheating CaO rod, (c) adding Sb, (d) pulling up CaO rod from preheating position and finish of  
 157 heating (0s(TMS-238)), (e) inserting CaO rod, and (f) pulling out CaO rod from melt and finish of  
 158 heating (240s(TMS-238) and 240s(pure Ni)).

159

160 After the CaO rod insertion experiments, two sector-shaped specimens were cut out from the upper,  
 161 middle, and lower parts of the alloys, respectively, as shown in Figure 3(a). These specimens were  
 162 dissolved in hydrofluoric acid and nitric acid, and the elemental concentrations in the alloys were  
 163 measured. The concentrations of Ni, Co, Cr, Mo, W, Al, Ta, Hf, Re, and Ru in TMS-238 and Al in  
 164 pure Ni were measured using ICP-OES (Agilent, 720-ES) and the concentrations of Sb were  
 165 measured using inductivity-coupled plasma mass spectrometer (ICP-MS) (Agilent, 7700).

166 CaO rods used in the experiments were analyzed using electron probe micro analyzer (EPMA)  
 167 (Shimadzu Co., EPMA-1610) and x-ray photoelectron spectroscopy (XPS) (JEOL Ltd., JPS-9010).  
 168 As shown in Figure 3(b), CaO rods were cut 5 mm from the bottom, filled with resin, and polished.  
 169 Backscatter electron (BSE) images and elemental maps at the interface between the CaO rod and  
 170 resin were obtained. Spectra for the binding energy of the bottom surface of the CaO rod were also  
 171 obtained.

172



173

174 Fig. 3—Schematic diagram of the specimen preparation. (a) Specimens for ICP-OES and ICP-MS of  
 175 the alloys after CaO rod insertion experiments and (b) specimens for EPMA and XPS analyses of the  
 176 CaO rods.

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### 179 III. RESULTS

#### 180 A. Evaluation of Oxidation Resistance and Microstructure Investigation of Alloys

181 Table I shows the nominal composition of TMS-238<sup>[1]</sup> and the chemical compositions of the  
 182 samples prepared for this study. Sb concentrations in both TMS-238+Sb(Al<sub>2</sub>O<sub>3</sub>) and  
 183 TMS-238+Sb(CaO) were higher than that in TMS-238(Al<sub>2</sub>O<sub>3</sub>), and Ca concentration was high in  
 184 TMS-238+Sb(CaO). In GD-MS, 1 ppm was the smallest order of magnitude in this study. Therefore,  
 185 note that S contents were similar in all alloys.

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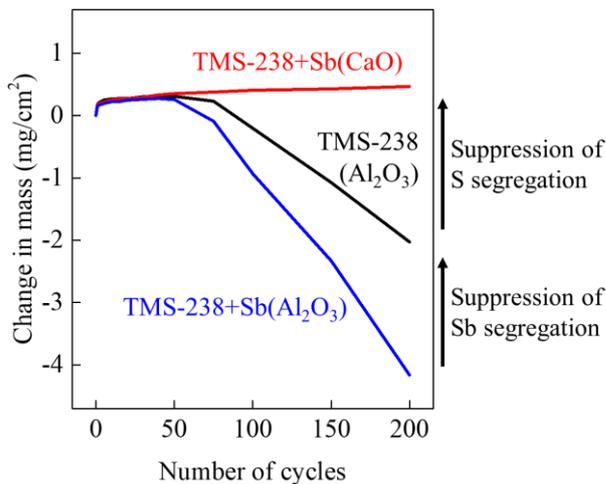
Table I. Chemical compositions of the alloys (Ni bal.)<sup>[1]</sup>

Sample	wt pct									ppm		
	Co	Cr	Mo	W	Al	Ta	Hf	Re	Ru	Sb	Ca	S
TMS-238 (nominal)	6.5	4.6	1.1	4.0	5.9	7.6	0.1	6.4	5.0	-	-	-
TMS-238 (Al <sub>2</sub> O <sub>3</sub> )	6.3	4.4	1.1	4.0	5.8	7.7	0.10	6.4	5.0	0.11	<0.1	1.9
TMS-238 +Sb(Al <sub>2</sub> O <sub>3</sub> )	6.5	4.5	1.1	4.1	6.1	7.9	0.12	6.4	5.0	8.1	0.02	2.1
TMS-238 +Sb(CaO)	6.5	4.5	1.1	4.1	6.0	7.9	0.11	6.4	5.0	9.5	5.5	1.0

188

189 Figure 4 shows the results of the cyclic oxidation test. There was no obvious difference in the initial  
 190 oxidation for all alloys. However, after 50 cycles, as the number of cycles increased, the masses of  
 191 TMS-238(Al<sub>2</sub>O<sub>3</sub>) and TMS-238+Sb(Al<sub>2</sub>O<sub>3</sub>) decreased. The loss of mass for TMS-238+Sb(Al<sub>2</sub>O<sub>3</sub>)

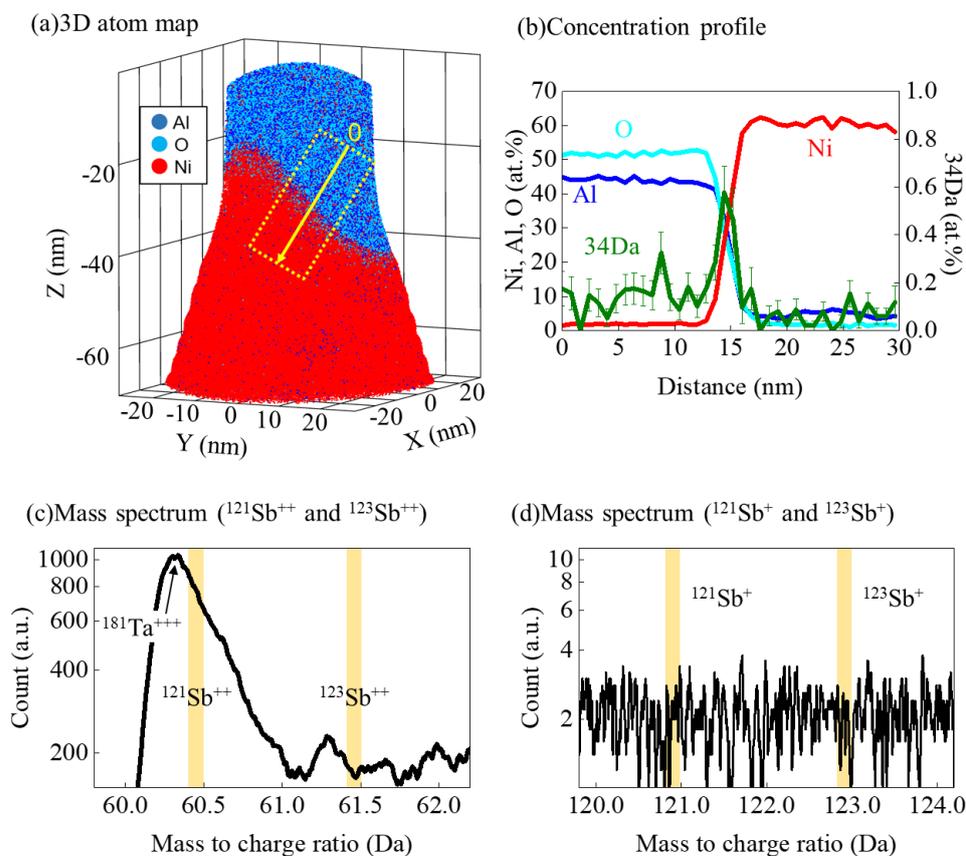
192 was larger than that for TMS-238( $\text{Al}_2\text{O}_3$ ), which was also seen in the study by Takata *et al.*<sup>[111]</sup>.  
 193 Remarkably, the mass did not decrease for TMS-238+Sb(CaO).  
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195  
 196 Fig. 4—Results of the cyclic oxidation tests of TMS-238( $\text{Al}_2\text{O}_3$ ), TMS-238( $\text{Al}_2\text{O}_3$ ), and  
 197 TMS-238+Sb(CaO) for 200 cycles at 1100 °C in laboratory air.

198  
 199 Figure 5 shows the result of 3DAP of the oxide/substrate interface of TMS-238+Sb(CaO) after an  
 200 oxidation test at 1100 °C for 1 h. Figure 5(a) shows the 3D atom map. The alloy containing Ni and  
 201 Al, and the oxide layers containing Al and O were measured. The concentration of S was also  
 202 measured to take the influence of both Sb and S segregation at the oxide/substrate interface on the  
 203 oxidation resistance into account. Figure 5(b) shows the concentration profiles of Ni, Al, O, and 34  
 204 Da, which were drawn under the same condition as the study by Tabata *et al.*<sup>[17]</sup>. The reason why the  
 205 peak at 34 Da shows the S concentration is described in Tabata *et al.*<sup>[17]</sup>. The amount of S  
 206 segregation was almost equal to that of the alloy melted in the CaO crucible shown in the study by  
 207 Tabata *et al.*<sup>[17]</sup>. Figure 5(c,d) shows the mass spectrum. Peaks at 60.45 Da, 61.45 Da, 120.9 Da, and  
 208 122.9 Da, which indicate  $^{121}\text{Sb}^{++}$ ,  $^{123}\text{Sb}^{++}$ ,  $^{121}\text{Sb}^+$ , and  $^{123}\text{Sb}^+$ , could not be recognized in the spectrum.  
 209 Generally, the chemical sensitivity of 3DAP technique is a few per a million atoms.<sup>[19]</sup> Thus, it is  
 210 concluded that there is no detectable Sb segregation at the oxide/substrate interface of  
 211 TMS-238+Sb(CaO) by 3DAP method.

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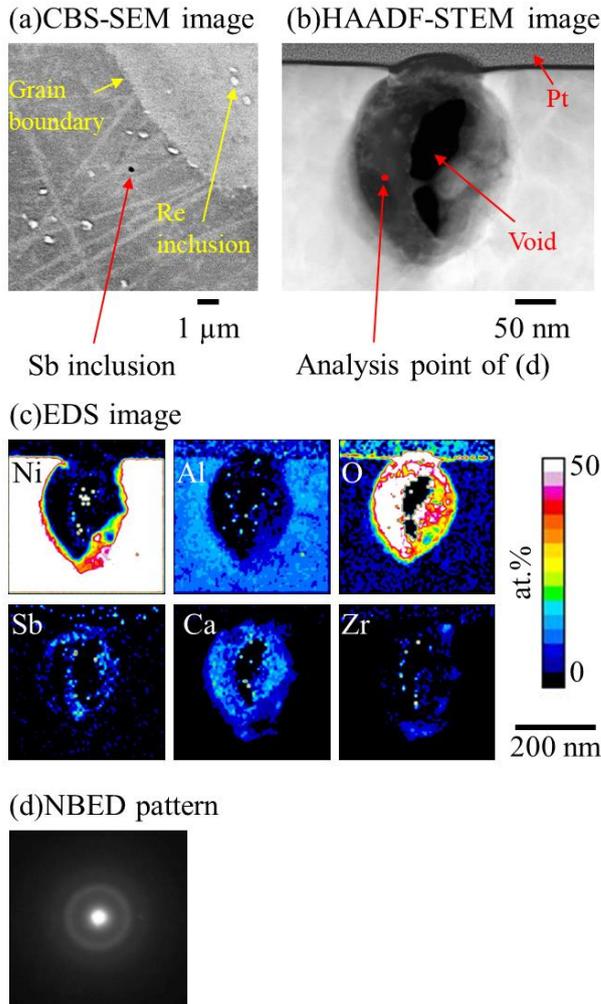
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214 Fig. 5—Results of 3DAP for TMS-238+Sb(CaO) oxidized at 1100 °C for 1 h. (a) 3D atom map, (b)  
 215 concentration profiles of Ni, Al, O, and 34 Da with standard deviation (the yellow region on (a)  
 216 represents the area analyzed to obtain (b)), (c) mass spectrum ( $^{121}\text{Sb}^{++}$  and  $^{123}\text{Sb}^{++}$ , 60.0 to 62.0 Da),  
 217 and (d) mass spectrum ( $^{121}\text{Sb}^{+}$  and  $^{123}\text{Sb}^{+}$ , 120.0 to 124.0 Da).

218

219 FIB-SEM and STEM observations were performed to confirm the formation of Sb inclusions in the  
 220 alloy by CaO crucible melting. Figure 6 shows the results for the starter of TMS-238+Sb(CaO)  
 221 before heat treatment. Figure 6(a) shows the CBS-SEM image, and the inclusion, which looks black  
 222 when observed using SEM, was found near the grain boundary and contained Sb with EDS attached  
 223 to the FIB system. Note that inclusions that look white contained Re etc. and are likely not to affect  
 224 the fixation of Sb in the alloy. The inclusion, which looks black when observed using SEM, near the  
 225 grain boundary was lifted out and thinned to the TEM specimen using the FIB-SEM system. Figure  
 226 6(b) shows the cross-sectional HAADF-STEM image of the inclusion. Note that the surfaces of the  
 227 inclusion and the alloy were capped with Pt as protection against FIB damage. The center of the  
 228 inclusion (the darkest contrast) was confirmed to be a void. Figure 6(c) shows the STEM-EDS maps  
 229 shown by at pct. Sb, Ca, Zr, and O were found in the inclusion. Figure 6(d) shows the structure of  
 230 the inclusion was determined by using NBED technique and the NBED pattern. The pattern shows

231 the halo ring, indicating that the inclusion is amorphous.  
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233  
 234 Fig. 6—Results of FIB-SEM and STEM observations for the starter of TMS-238+Sb(CaO) before  
 235 heat treatment. (a) CBS-SEM image, (b) HAADF-STEM image, (c) STEM-EDS maps, and (d)  
 236 NBED pattern. The plot on (b) represents the point analyzed to obtain (d).

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238 *B. Evaluation of Reaction at CaO/Melt Interface by Inserting CaO Rod in Melt*

239 Table II shows the Sb contents in the alloys of 0s(TMS-238), 240s(TMS-238), and 0s(pure Ni)  
 240 obtained by ICP-MS. Moreover, Table III shows the composition of 240s(TMS-238) and Al content  
 241 in the alloy of 0s(pure Ni) obtained by ICP-OES. There was no significant difference between the  
 242 nominal composition of TMS-238 and the chemical composition of the alloy of 240s(TMS-238).  
 243 The alloy of 240s(pure Ni) contains Al.

244

245

Table II. Sb contents in the alloys (ppm)

Sample	Sb
0s(TMS-238)	93.7
240s(TMS-238)	107.4
240s(pure Ni)	69.6

246

247

Table III. Chemical compositions of the alloys (Ni bal., wt pct)

Sample	Co	Cr	Mo	W	Al	Ta	Hf	Re	Ru
240s(TMS-238)	4.4	4.5	1.1	4.1	5.9	7.7	0.11	6.9	5.0
240s(pure Ni)	-	-	-	-	0.025	-	-	-	-

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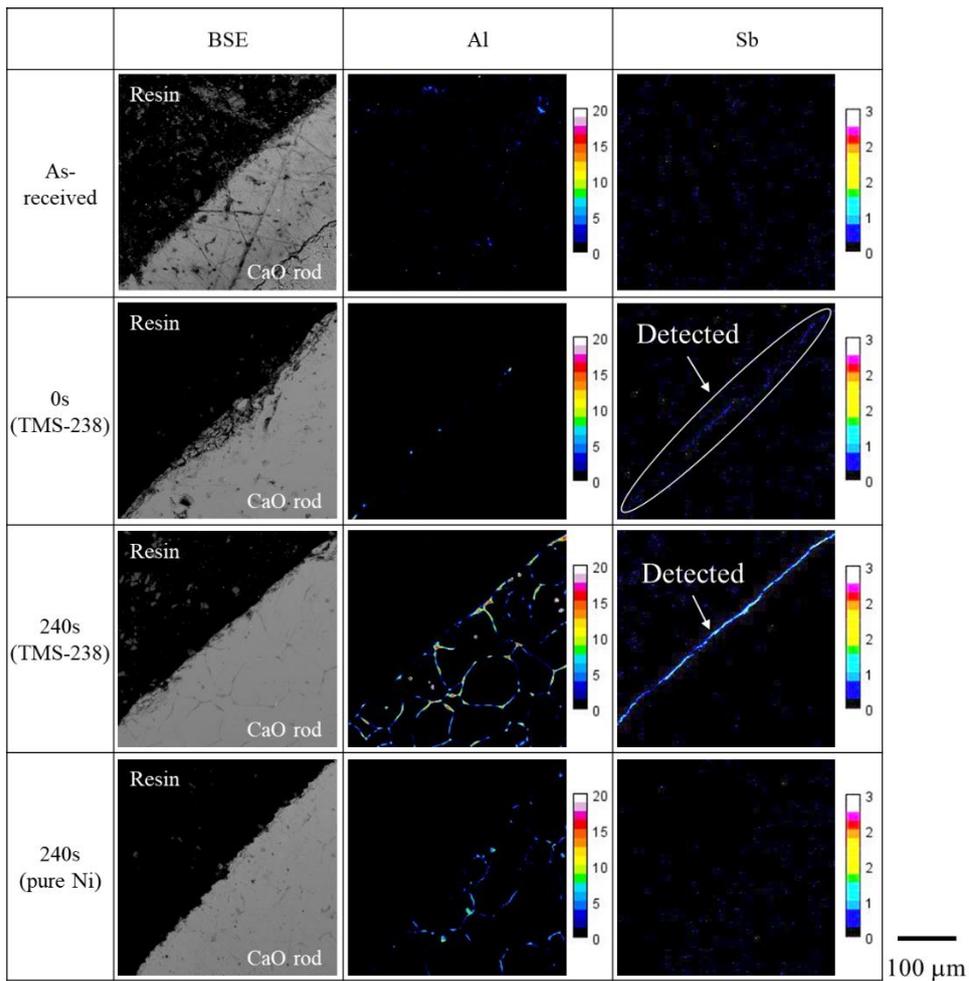
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Figure 7 shows the results of EPMA analysis for the CaO rods. For 240s(TMS-238), Al was detected on the surface and in the particle boundaries of the CaO rod. For 240s(pure Ni), Al was detected in the particle boundaries of the CaO rod. The particle boundaries were formed because the CaO rods was made by sintering CaO particles. The results for Sb are after interference corrections. The interference correction method applied in this study is described in Appendix. Sb was detected on the surface of the CaO rods of 240s(TMS-238) and 0s(TMS-238). The Sb concentration for 240s(TMS-238) was higher than that of 0s(TMS-238).



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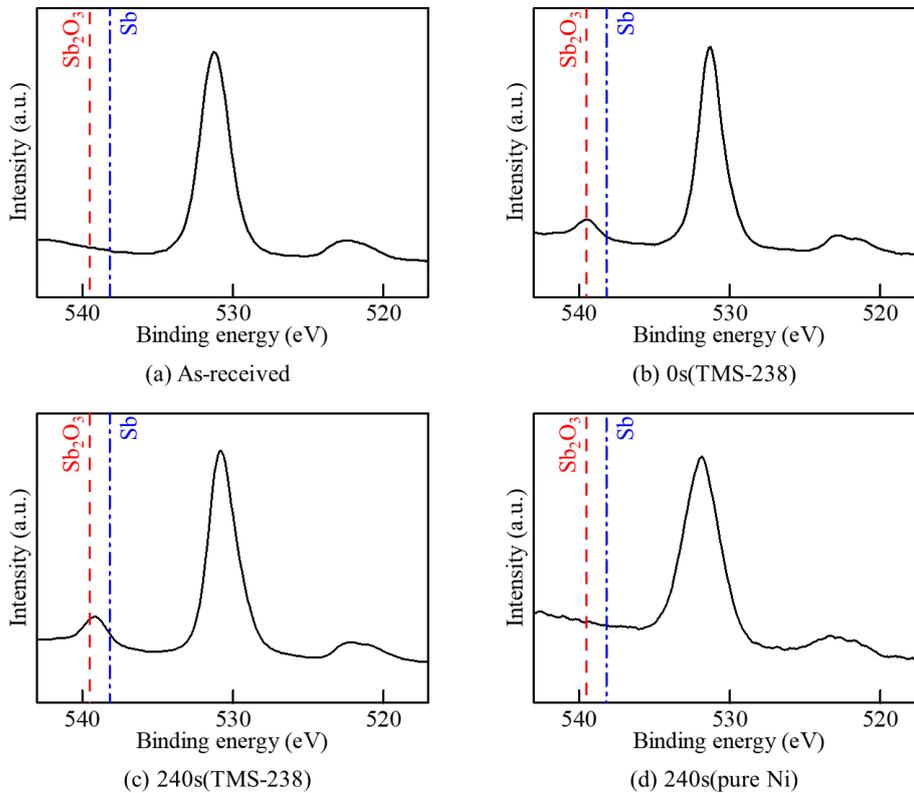
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Fig. 7—Results of EPMA analysis for the CaO rods. BSE images and elemental maps showing the distributions of Al and Sb (after interference correction, explained in Appendix). The unit of the color bars is wt pct.

Figure 8 shows the results of XPS analysis of the CaO rods before and after the CaO rod insertion experiment. The dotted red line shows the peak position of  $Sb_2O_3^{[20]}$ , and the single-dashed blue line shows the peak position of  $Sb^{[21]}$ . Neither Sb nor  $Sb_2O_3$  peaks were observed for the as-received CaO rod and the CaO rod of 240s(pure Ni). On the other hand, the  $Sb_2O_3$  peak was observed for the CaO rods of 0s(TMS-238) and 240s(TMS-238).



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269 Fig. 8—Results of XPS analysis of the CaO rods. (a) As-received, (b) 0s(TMS-238), (c)  
 270 240s(TMS-238), and (d) 240s(pure Ni). The dotted line shows the peak position of  $\text{Sb}_2\text{O}_3$ <sup>[20]</sup>, and the  
 271 single-dashed line shows the peak position of  $\text{Sb}$ <sup>[21]</sup>.

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#### 274 IV. DISCUSSION

##### 275 A. Effect on Improvement of Oxidation Resistance

276 The effect on the improvement of oxidation resistance by CaO crucible melting is discussed here. In  
 277 the cyclic oxidation tests shown in Figure 4, TMS-238+Sb( $\text{Al}_2\text{O}_3$ ) showed the largest weight loss,  
 278 while TMS-238+Sb(CaO) had little change in the mass, indicating that CaO crucible melting  
 279 improved the oxidation resistance for Ni-base superalloy containing impurity Sb. Because there is no  
 280 obvious difference in the initial oxidation of all samples, the difference in weight loss is considered  
 281 to be the difference in the amount of spallation of the oxide layers. Takata *et al.* reported that the  
 282 oxidation resistance of Ni-base superalloy containing Sb after  $\text{Al}_2\text{O}_3$  crucible melting had  
 283 significantly degraded and Sb segregated at the oxide/substrate interface.<sup>[11]</sup> Takata *et al.* reported that  
 284 1.1 ppm of Sb had a negative effect on the oxidation resistance of the alloy, and when the Sb content  
 285 was at 3.8 ppm, Sb segregation was observed. The Sb concentration is about 0.15 at pct at

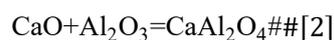
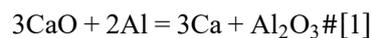
oxide/substrate interface. In this study, the amount of Sb in the alloy melt using a CaO crucible was 9.5 ppm, which is significantly larger than the Sb-containing alloy melt using an Al<sub>2</sub>O<sub>3</sub> crucible in the previous study<sup>[11]</sup>. However, for the sample melt using a CaO crucible, the Sb peak was not detected at the oxide/substrate interface in the mass spectrums from Figure 5(c). Therefore, Sb segregation at oxide/substrate interface is considered to have facilitated a spallation of oxide layers in the samples melted in an Al<sub>2</sub>O<sub>3</sub> crucible. Thus, it is thought that CaO crucible melting suppressed Sb segregation at the oxide/substrate interface, resulting in the prevention of spallation of the oxide layers and the improvement of oxidation resistance.

It should also be noted that S segregated at the oxide/substrate interface as the concentration profiles shown in Figure 5(b). However, the amount of S segregation at the oxide/substrate interface was thought to have been reduced by CaO crucible melting as reported in the study by Tabata *et al.*<sup>[17]</sup>. If CaO only had an effect on Sb segregation, it would be expected that oxidation resistance would recover from TMS-238+Sb(Al<sub>2</sub>O<sub>3</sub>) to TMS-238(Al<sub>2</sub>O<sub>3</sub>) because S segregation would still remain. However, the oxidation resistance improved from TMS-238+Sb(Al<sub>2</sub>O<sub>3</sub>) to TMS-238+Sb(CaO) by using CaO in this study. Therefore, CaO crucible melting suppressed both S and Sb segregations at the oxide/substrate interface of the Sb-containing alloy. When only the suppression of Sb segregation is considered, it is thought that the oxidation resistance of the Sb-containing alloy improved to the same level or more than that of the Sb-free alloy at least. In addition, the suppression of S segregation is considered, it is thought that the oxidation resistance of the Sb-containing alloy improved to the same level of the Sb-free alloy melted in a CaO crucible.

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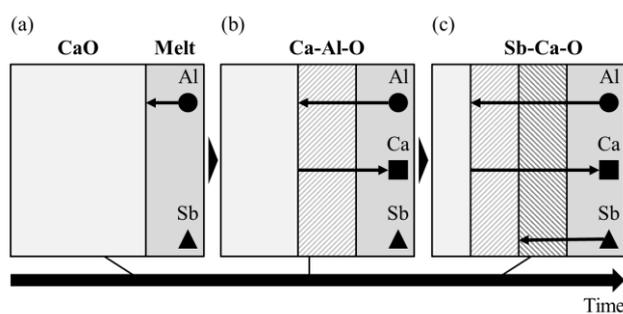
#### 308 B. Reaction at CaO/Melt Interface

The process of Ca formation contributing to the formation of inclusions is discussed here. Ca and Al were detected in the CaO rod of 240s(TMS-238) as the elemental maps of CaO rods shown in Figure 7 and Ca was detected in TMS-238+Sb(CaO) as the results of GD-MS for the alloys shown in Table I. These results suggest that, as reported in the previous studies<sup>[16,18,22,23]</sup>, the following reaction occurred, in which Al in the melt reacted with CaO to form calcium aluminate and Ca. Through this reaction, Ca dissolved into the melt.



Next, the reaction and the effect on removing Sb are discussed. As shown in Figure 7, Sb was not detected in the as-received CaO rod but concentrated on the surface of the CaO rod of 0s(TMS-238), indicating that Sb evaporated from the melt, and the deposit may have been observed on the surface of the CaO rod before inserting. Moreover, Sb concentrated on the surface of the CaO rod of

319 240s(TMS-238), with a higher Sb concentration than that of 0s(TMS-238). Therefore, CaO has the  
 320 effect on removing Sb from Ni-base superalloy melt. However, the free surface area of the melt was  
 321 large relative to the volume of the melt, resulting in a large amount of Sb evaporation. Therefore, it  
 322 was not possible to distinguish the amount of Sb removal by CaO and the amount of Sb evaporation.  
 323 Here, the removal reaction of Sb at the CaO/melt interface is discussed. Sb was not observed on the  
 324 CaO rod of 240s(pure Ni). Al was also detected in the particle boundaries of the CaO rod of  
 325 240s(pure Ni). The alloy of 240s(pure Ni) contained small amounts of Al as shown in Table III. It is  
 326 considered that the Al entered the melt from the Al<sub>2</sub>O<sub>3</sub> crucible and entered the particle boundaries of  
 327 the CaO rod but was not enriched on the surface of the CaO rod. Therefore, the reaction of Eq. [1]  
 328 did not occur on the surface of the CaO rod. On the other hand, the inclusion in the alloy after CaO  
 329 crucible melting consists of Sb, Ca, O, etc., and Al did not concentrate in the inclusion as shown in  
 330 Figure 6. These results suggest that the removal reaction of Sb at the CaO/melt interface requires  
 331 simple substance Ca, which is formed on the surface of the CaO rod by the reaction between CaO  
 332 and Al. The reaction at the CaO/melt interface considered in this study is shown in Figure 9. First,  
 333 Ca, which was formed by the reaction shown in Eq. [1], was not only dissolved into the melt but also  
 334 existed on the surface of the CaO rod. Next, Sb reacted with Ca and O on the surface of the CaO rod,  
 335 and was removed from the melt through the formation of Sb-Ca-O layer. Since the CaO crucible  
 336 (CaO: >99.9 vol.%) and the CaO rod (CaO: 98.9, MgO: 0.58, SiO<sub>2</sub>: 0.34, Al<sub>2</sub>O<sub>3</sub>: 0.02, Fe<sub>2</sub>O<sub>3</sub>: 0.02  
 337 vol.%) have similar compositions, it is thought that Sb-Ca-O layer was formed on the surface of the  
 338 CaO crucible as well.  
 339



340  
 341 Fig. 9—Schematic diagrams of the reaction at the CaO/melt interface considered in this study. (a) Al  
 342 in the melt touches the surface of CaO, (b) Ca-Al-O layer is formed and Ca is dissolved in the melt  
 343 by the reduction of CaO, and (c) it is assumed that Sb-Ca-O layer is formed on the surface of CaO.  
 344

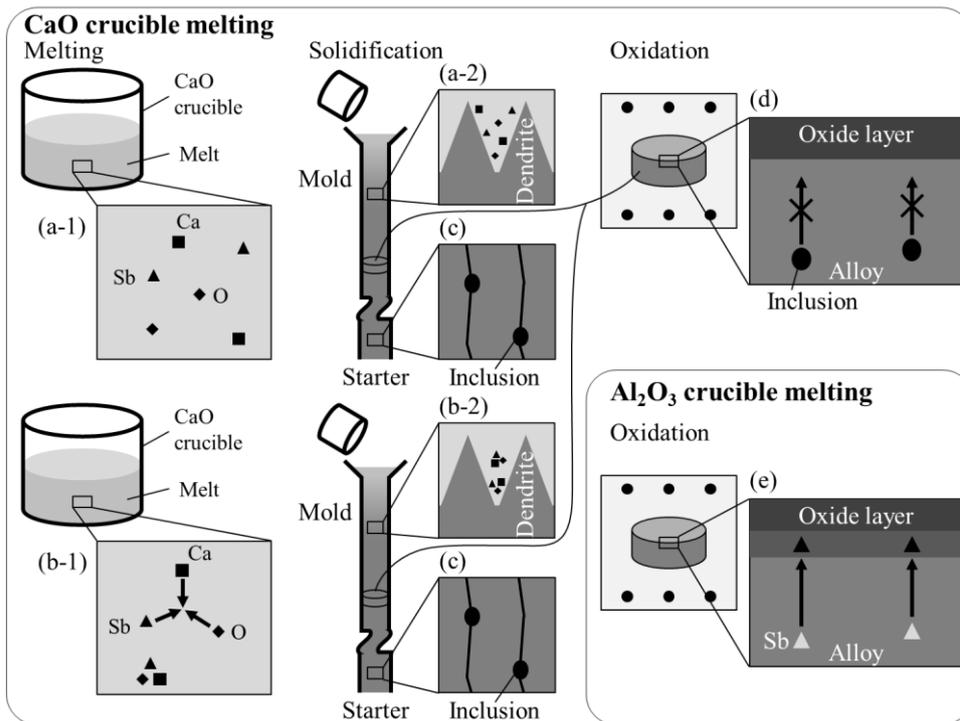
### 345 C. Reactions in Melt and Alloy During Solidification

346 The formation process of the inclusion in the melt is discussed. The reaction layer consisting of Sb,  
 347 Ca, and O formed at the CaO/melt interface as shown in the previous section, suggesting that the

348 complex oxides, which contain Sb, Ca, and O, were formed in the melt at 1600 °C (Figure 10(b-1)).  
349 Moreover, the Sb-Ca-O inclusion existed near the grain boundary of the starter of  
350 TMS-238+Sb(CaO) as shown in Figure 6, suggesting that Sb, Ca, and O were distributed to the  
351 liquid phase (Figure 10(a-2)) or that the complex oxides formed in the melt gathered in the final  
352 solidification part (Figure 10(b-2)). Note that the inclusion shown in Figure 6 also presented signs of  
353 Zr, which most likely came from the ZrO<sub>2</sub>-base mold (66.2 vol pct of ZrO<sub>2</sub>, 32.7 vol pct of SiO<sub>2</sub>,  
354 etc.), that was used to cast the alloy. Various oxides that are components of the crucibles and molds  
355 used to fabricate the alloys may be included in these inclusions.

356 Next, the effect on suppressing Sb segregation at the oxide/substrate interface is discussed. Figure  
357 10(d,e) shows the mechanism behind the improvement of oxidation resistance of Ni-base superalloy  
358 containing impurity Sb using CaO. The oxidation resistance of TMS-238+Sb(Al<sub>2</sub>O<sub>3</sub>) is degraded  
359 because Sb diffuses and segregates to the oxide/substrate interface during oxidation tests shown in  
360 Figure 4, and this results in the spallation of the oxide layers, as Takata *et al.* revealed<sup>[11]</sup>. On the  
361 other hand, the oxidation resistance of TMS-238+Sb(CaO) improved. This is because the amount of  
362 Sb segregation at the oxide/substrate interface decreased as shown in Figure 5. The suppression of  
363 Sb segregation at the oxide/substrate interface is likely caused by the removal of Sb from the melt  
364 and the fixation of Sb in the alloy, analogous to the previous studies on S<sup>[17,18]</sup>. In this study, it is  
365 likely that the formation of Sb-Ca-O inclusions, which is shown in Figure 6, fixes Sb to the alloy,  
366 similar to the effect on fixing impurity S revealed by Tabata *et al.*<sup>[17,18]</sup>. According to previous  
367 research, CaS did not affect the mechanical properties such as creep and fatigue strength<sup>[1,6]</sup>. Moreover,  
368 Sb-Ca-O inclusions found within the alloy do not affect to the spallation of the oxide layers because  
369 Sb-Ca-O inclusions were not observed at the oxide/ substrate interface, and its size were smaller than  
370 the CaS inclusions. Moreover, in terms of Sb contents, Sb content in TMS-238+Sb(CaO) is slightly  
371 higher than that of TMS-238+Sb(Al<sub>2</sub>O<sub>3</sub>). It is most likely because some of the Sb remained in the melt  
372 due to the formation of Sb-Ca-O inclusions inside the melt. In the previous studies<sup>[17,18]</sup>, S contents  
373 after CaO crucible melting were also slightly higher than those after Al<sub>2</sub>O<sub>3</sub> crucible melting. It is  
374 thought that these were due to the formation of CaS inclusions. Therefore, the improvement of  
375 oxidation resistance can be attributed to the prevention of spallation of the oxide layers, which is due  
376 to the suppression of Sb segregation at the oxide/substrate interface by removal of Sb and fixation of  
377 Sb in the alloy by CaO.

378



379

380 Fig. 10—Schematic diagrams of the reactions in melt and alloy during solidification and oxidation  
 381 test considered in this study. (a-1) Sb, Ca, and O exist in the melt, (a-2) Sb, Ca, and O are distributed  
 382 to the liquid phase, (b-1) the complex oxides are formed in the melt, (b-2) the complex oxides gather  
 383 in the final solidification part, and (c) the inclusions are formed in the final solidification part.  
 384 During the oxidation test, (d) Sb is fixed in the alloy and (e) Sb segregates at the oxide/substrate  
 385 interface.

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### 388 V. CONCLUSIONS

389 In this study, the following has been made clear through the CaO crucible melting experiments and  
 390 the CaO rod insertion experiments for Ni-base single crystal superalloy TMS-238 containing  
 391 impurity Sb.

392 1. CaO crucible melting improves oxidation resistance of the alloy containing Sb. This is because  
 393 CaO has the effect on removing Sb from the melt and fixing Sb in the alloy. This leads to the  
 394 suppression of Sb segregation at the oxide/substrate interface, and the prevention of spallation of the  
 395 oxide layers.

396 2. The removal of Sb from the melt occurs by the formation of Sb-Ca-O on the surface of CaO.  
 397 Sb-Ca-O is formed by the reaction of Sb with O and Ca, which is formed by the reduction of CaO by  
 398 Al.

399 3. The fixation of Sb in the alloy occurs by the formation of Sb-Ca-O inclusions in the alloy. Ca

400 dissolves into the melt by the reaction of CaO and Al, which then reacts with O and Sb to form  
401 Sb-Ca-O inclusions.

402

403

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412 experiment.

413

#### 414 **CONFLICT OF INTEREST**

415 On behalf of all authors, the corresponding author states that there is no conflict of interest.

416

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456

## 457 APPENDIX

458 Interference corrections between Ca and Sb for EPMA on the CaO rods were carried out. In Figure

459 7, the elemental maps for Sb are the results after interference correction. As shown in Figure A1(a),

460 Sb was detected in the CaO rods, but because the detection wavelengths of Sb (3.4354 Å) and Ca

461 (3.3524 Å) are close, the peak intensity at the detection wavelength of Sb before correction is most

462 likely includes the Ca from the CaO rod. Therefore, the removal of Ca from the peak intensity at the

463 detection wavelength of Sb is needed to know the actual point of Sb existing. In this study, an

464 interference correction method, which subtracts the increment due to the peak intensity of Ca from

465 the peak intensity at the detection wavelength of Sb, was applied. The correction factor  $R$ , which is

466 the ratio of the average peak intensity at the detection wavelength of Sb,  $I_{\text{Ca}(3.4354)}^0$ , to the average

467 peak intensity at the detection wavelength of Ca,  $I_{\text{Ca}(3.3524)}^0$ , was obtained within the range shown in

468 Figure A1(b) for the as-received CaO rod.

$$R = I_{\text{Ca}(3.4354)}^0 / I_{\text{Ca}(3.3524)}^0 \quad \# [A1]$$

469 Multiplying the correction factor  $R$  by the peak intensity at the detection wavelength of Ca for each

470 sample,  $I_{\text{Ca}(3.3524)}$ , the increment due to the peak intensity of Ca at the detection wavelength of Sb,

471  $I_{Ca(3.4354)}$ , was obtained.

$$I_{Ca(3.4354)} = R \times I_{Ca(3.3524)} \# [A2]$$

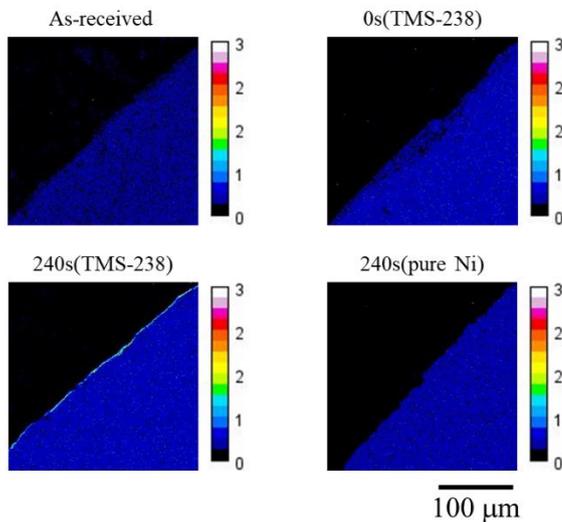
472 Subtracting the increment due to the peak intensity of Ca at the detection wavelength of Sb,  
473  $I_{Ca(3.4354)}$ , from the peak intensity at the detection wavelength of Sb,  $I_{Ca+Sb(3.4354)}$ , the peak intensity  
474 of Sb at the detection wavelength of Sb,  $I_{Sb(3.4354)}$ , was obtained.

$$I_{Sb(3.4354)} = I_{Ca+Sb(3.4354)} - I_{Ca(3.4354)} \# [A3]$$

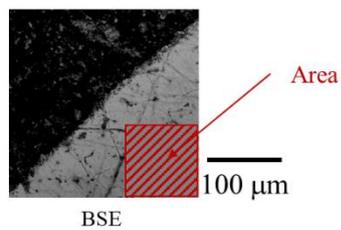
475 As shown in Figure 7, the Sb peak disappeared in the as-received CaO rod by the interference  
476 correction. Therefore, the increment due to the peak intensity of Ca at the detection wavelength of  
477 Sb was removed.

478

(a) Distribution of Sb (raw data)



(b) Area used by correction(As received)



479

480 Fig. A1—The interference correction for EPMA of the CaO rods. (a) The distribution of Sb before  
481 the interference correction. The unit of the color bars is wt pct. (b) The range used for the  
482 interference correction.