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## High-strength, medium entropy Zr-Ta-Nb diboride ceramics

--Manuscript Draft--

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<b>Abstract:</b>	Reactive consolidation of medium entropy diboride in the ZrB <sub>2</sub> -TaB <sub>2</sub> -NbB <sub>2</sub> system was performed by spark plasma sintering of diboride powders at 2000 °C. The 3:2:1 ratio between Zr, Ta, and Nb showed the highest specific strength at room temperature during the initial screening of the mechanical properties. The flexural strength gradually decreased from 700±42 MPa at RT to 518±30 MPa at 1600 °C with the Weibull parameter exceeding 15. Diborides with an equimolar composition did not show a maximum in their strength, fracture toughness or hardness.

Dear Editor of Scripta Materialia Prof. Nitin P. Padture,

We would like to submit the revised version (R2) of the manuscript entitled “High-strength, medium entropy Zr-Ta-Nb diboride ceramics” by Dmytro Demirskyi, Toshiyuki Nishimura, Kyousuke Yoshimi and Oleg Vasylykiv for publication in the Scripta Materialia.

First, we want to express our gratitude for the comments that were asked for this submission. Second, the text was highlighted with a green background in the revised copy (r2) of the manuscript.

Reviewer #1:

English should be polished, for instance, page 5 line 13, two "using".

Thank you. We hope we improved the main issues with language in the revised copy.

If the chemical composition would affect the element distribution or even form different core-rim or core-shell structure, the discussion on the fracture mode or strength would become different.

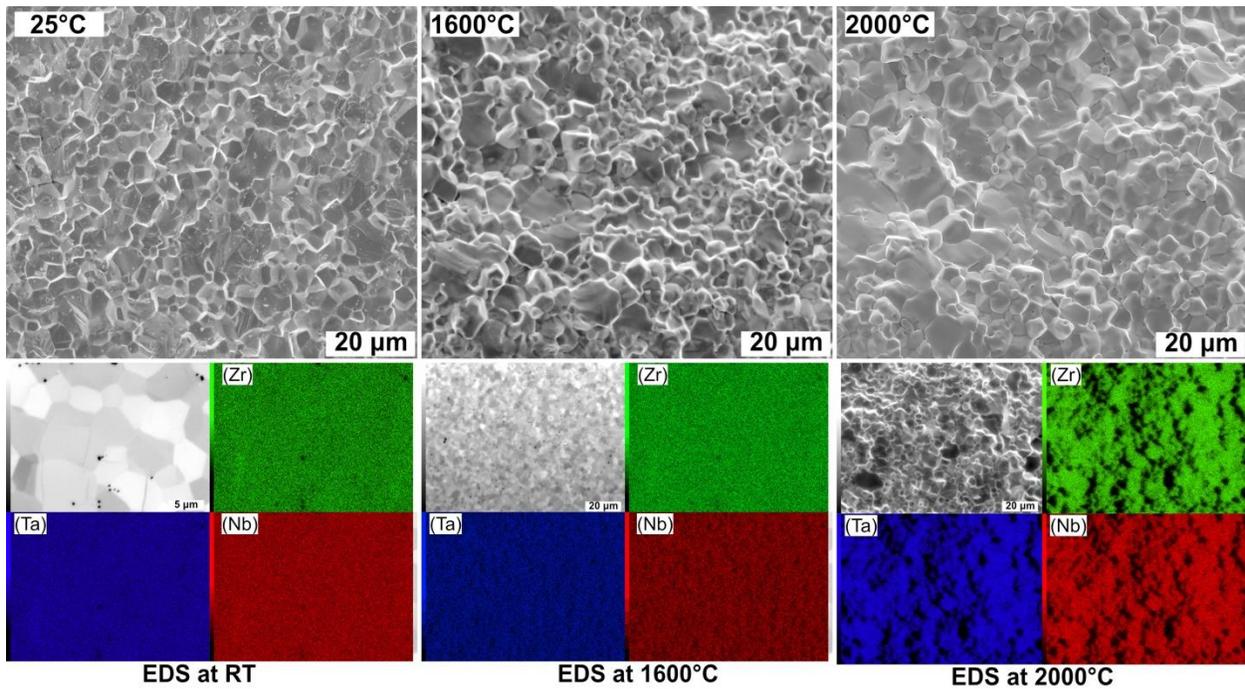
And

In Fig. 4, EDS maps from fractured surface can not provide accurate information on the element distributions, and those from polished surface are needed.

Thank you. The concerns are mutual. In this study, the core-rim structure was observed during processing at lower temperatures or due to the inappropriate mixing of powders. Nevertheless, if these being present in the specimens, we can detect these using the XRD. And only specimens with a single-phase XRD were selected for further strength/hardness/toughness testing. In other words, these issues were resolved using 2000°C/5 min configuration before the publication.

The polished probing was not so distinctive as for fractured surface, please kindly see the revised copy of the Figure 4. It was reorganized to show the EDS probes at

RT (polished), 1600 °C (thermally etched polished), and 2000 °C fracture (as it was in r1).



The EDS map for polished surface (EDS at RT) seems to have a core-rim-like structure, however the local probing shows an unchanged ratio. The other probe from the polished surface after the 1600 °C test indicates that there is no particular segregation of the metal in the individual grain i.e., homogeneous solid solution. Hopefully this interpretation will be acceptable for the publication. Alternatively, we can present the overlay of the probing lines for the polished specimens (as these may be clearer than the map right now). Also, all three of these EDS maps were acquired and analyzed using identical probing procedures and the same JEOL software.

All authors have seen and approved the revised manuscript for submission to Scripta Materialia.

On behalf of the authors,  
Oleg Vasylykiv

## High-strength, medium entropy Zr-Ta-Nb diboride ceramics

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Reactive consolidation of medium entropy diboride in the  $ZrB_2$ - $TaB_2$ - $NbB_2$  system was performed by spark plasma sintering of diboride powders at 2000 °C. The 3:2:1 ratio between Zr, Ta, and Nb showed the highest specific strength at room temperature during the initial screening of the mechanical properties. The flexural strength gradually decreased from  $700\pm 42$  MPa at RT to  $518\pm 30$  MPa at 1600 °C with the Weibull parameter exceeding 15. Diborides with an equimolar composition did not show a maximum in their strength, fracture toughness or hardness.

Borides of transition metals, such as  $ZrB_2$  or  $TaB_2$ , are being widely used for a variety of applications including thermal protection systems, cutting tools, etc. [1]. These diborides belong to the ultra-high-temperature ceramics (UHTCs) family and are capable of withstanding high temperatures and high external loads in severe or extreme environments [2].

The consolidation of diborides requires a relatively high consolidation temperature due to the presence of metal-covalent, covalent-ionic bonds in the diboride crystal cell [1,2]. Second, the raw powder may have a surface oxide layer, which will slow down the densification or will result in the formation of a considerable amount of the oxide [3]. Finally, the strength of the bulk  $ZrB_2$  has been extensively studied, but

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4 still requires improvement [4,5]. The solid-solution diborides have received  
5 considerable attention [6–9] in order to improve their strength. Recently,  
6 considerable attention has focused on medium- or high-entropy diboride ceramics  
7 [8]. For these compounds [10–12], an equimolar contribution of the principal  
8 transition metal is widely used and it is widely anticipated that effects characteristic  
9 for high-entropy alloys, such as ‘sluggish diffusion’, should lead to the higher  
10 strength or creep resistance at elevated temperatures [12].

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18 Nevertheless, for the binary solid-solutions [13], the optimum in the creep resistance  
19 sometimes lies outside an equimolar composition and, in general, there is a limited  
20 number of studies that focus on finding the optimum composition for the required  
21 set of material properties.

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28 Considering these observations within the present study, we investigated a ternary  
29 medium-entropy diboride system based on  $ZrB_2$ ,  $TaB_2$  and  $NbB_2$ . Exploring this  
30 system allows one 1) to obtain information about the lattice parameters; 2) to create  
31 a solid-solution between the diborides in order to explore if the solid-solution  
32 strengthening will improve the strength at elevated temperatures, and 3) to find the  
33 optimum composition for the room-temperature strength which was also studied up  
34 to 2000 °C. To fulfill these ideas, we applied a reactive sintering approach using  
35 commercial  $ZrB_2$ ,  $TaB_2$  and  $NbB_2$  which have a mean particle size below 4  $\mu m$ ,  
36 acquired from Wako Pure Chemicals. The concentration of the secondary elements  
37 in the  $ZrB_2$  powder was as follows: Fe 50 ppm, Hf  $\leq$  120 ppm, C < 0.5 wt.%, N  $\leq$  0.5  
38 wt.%, and O < 0.7 wt.%. For  $NbB_2$  and  $TaB_2$  oxygen content was within 0.5 wt.%,  
39 while the concentration of Ta or Nb impurities were up to 300 ppm. The  $NbB_2$  and  
40  $ZrB_2$  powders used in this study were also used in the [7] and [9], respectively.

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The received untreated powders were mixed using the Intelli-Mixer RM-2M (ELMI,  
Latvia) mixer. This procedure excludes using solvents or grinding the materials as  
mixing occurs due to fast vibrations in a relatively small volume (50 ml). The SPS

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4 experiments were conducted using the ‘Dr. Sinter’ 1050 (Sumitomo, Japan) unit  
5 with a 30-mm die and an inner Ta-foil to control the carbon diffusion [14] and argon  
6 as the atmosphere.  
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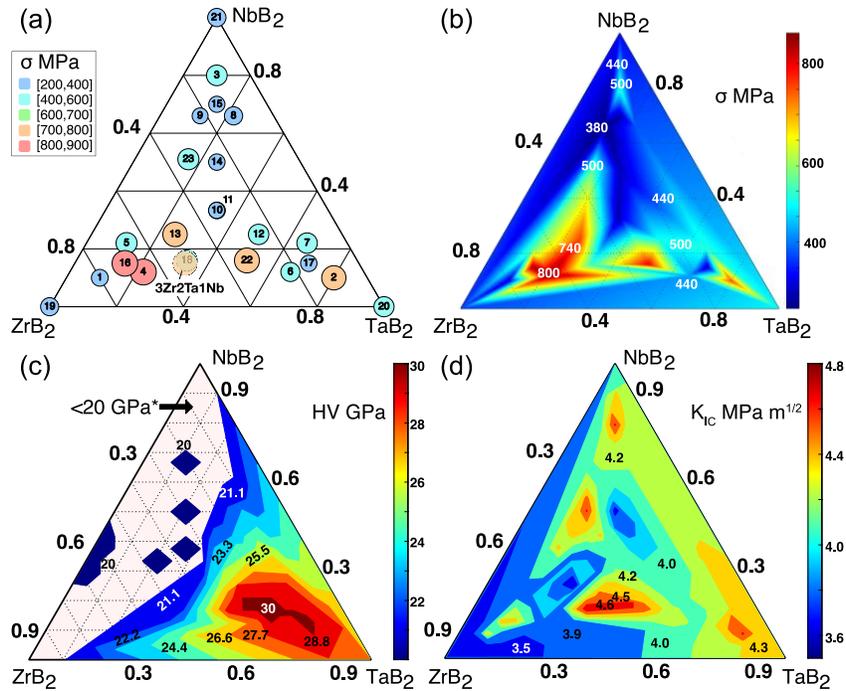
10 The schedule for the specimens prepared in this study had the following major steps:  
11 (1) heating to 700 °C in four minutes followed by (2) a 200 °C/min heating to the  
12 densification temperature of 2000 °C. At 2000 °C (3) a dwell of 5 min was used as  
13 a homogenizing step. (4) cooling to 600 °C at the rate of 20 °C/min was then  
14 performed. The pressure of 45 MPa was maintained during the heating,  
15 consolidation and cooling stages.  
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23 In order to produce the ceramic with the maximum performance the first step was  
24 determining the composition for which the diboride shows the highest strength. For  
25 this step, twenty three original mixtures were prepared, while the strengths for the  
26 monolithic ZrB<sub>2</sub> [5], TaB<sub>2</sub> [14] and NbB<sub>2</sub> [15] ceramics were from the previous  
27 studies. After completing the sintering, the specimens were polished by diamond  
28 abrasives to 0.5 μm. The XRD data were then collected using a D8 Advance (Bruker)  
29 and if the specimen was a single-phase diboride, these specimens underwent cutting  
30 and flexural tests. Based on the XRD data, the theoretical X-ray density was  
31 estimated which was used to evaluate the relative density of the medium entropy  
32 diboride. For each composition, two tests were performed at room temperature using  
33 the three-point flexural strength (16 mm span) and a single-test using the four-point  
34 flexural strength in a 20/10 mm configuration. Tests were performed using a  
35 modified Shimadzu set up that was previously described in detail [16]. The lowest  
36 values from these three tests were analyzed by a linear regression [17] using a  
37 custom code in MatLab.  
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55 The indentation fracture toughness was calculated based on the half-length of the  
56 crack  $c$  formed around the corners of the indentations at the load  $P$  of 196 N using  
57 the following equation [18]:  $K_{IC} = 0.0725 (P/c^{3/2})$ . The hardness was determined by  
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a Vickers hardness tester (Akashi, AVK-A, Japan) using a load of 196 N with a dwell time of 15 s following the standard procedure (ASTM C 1327–15). Similar to the strength, the hardness and toughness were analyzed for their maxima; however, the strength was the main factor under consideration.

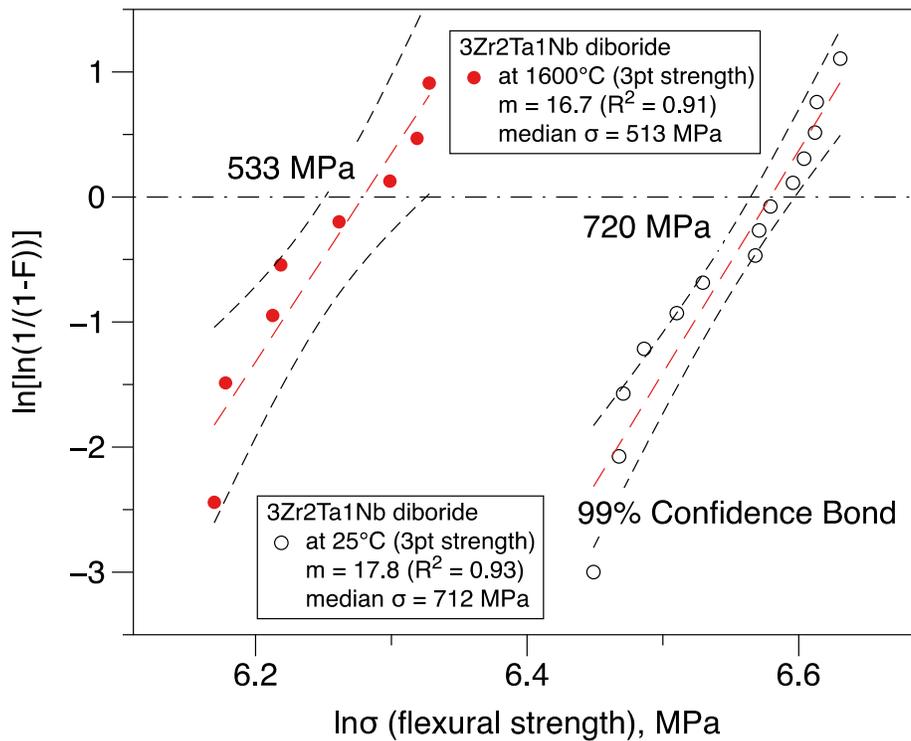
**Figure 1** shows the contours for the (b) room-temperature strength for the diborides in the Zr-Ta-Nb system. After close inspection of the data, it was decided that the ZrTaNb diboride with a 3:2:1 composition should be further evaluated as this diboride had a strength above 800 MPa and had one of the finest grain sizes after the SPS at 2000 °C ( $8 \pm 2 \mu\text{m}$ ). The lattice parameter for the 3:2:1 composition TaB<sub>2</sub> was estimated as  $a = 3.138(5) \text{ \AA}$  and  $c = 3.447(0) \text{ \AA}$ .



**Figure 1.** Mechanical properties as a function of the composition for the ternary ZrB<sub>2</sub>– TaB<sub>2</sub>–NbB<sub>2</sub> system. (a) compositions used in the screening process and their individual strength, surface projections of (b) strength at room temperature, (c) hardness and (d) indentation fracture toughness.

\* More data points are needed for correct approximation.

In order to further evaluate the properties of the 3:2:1 ZTN diboride, we prepared four specimens using the identical SPS schedule that was used for the three-point flexural tests (ASTM C1211-13, configuration B). A total of 16 tests were performed at room temperature and 12 tests at 1600 °C. The tests at 1600 °C were carried out using two separate batches of six specimens. Tests at the other temperatures averaged 3 tests per temperature.



**Figure 2.** Statistical variation in flexural strength of ZTN diboride with a 3:2:1 ratio at room temperature and at 1600°C.

The Weibull analysis was used as a method of determining the reliability of the strength tests for the room temperature and 1600°C data. **Figure 2** shows the statistical variation of the attempted flexural tests. In both cases, a relatively high Weibull exponent  $m$  of above 15 was obtained. The exponent reported here cannot be used for the engineering purposes, as at least 30 tests are required according to

the ASTM C1161-13. Nevertheless, the number of tests used in this study was sufficient to estimate the average/median strength. The median strengths for the tests at 25°C and 1600°C were 712 MPa and 513 MPa, respectively. The value for the room temperature strength is in acceptable agreement with the data presented in Fig. 1, however, one can expect slightly different results if all the compositions were tested using a statistically acceptable number of tests (i.e., above 10 according to ASTM C1161-13).

Figure 3 provides a summary for the flexural strength data of the ZrB<sub>2</sub>, ZrB<sub>2</sub>-TaB<sub>2</sub> composite and 3:2:1 ZTN diboride ceramic [4,5,19,20]. The ZrB<sub>2</sub>-TaB<sub>2</sub> composite was a two-phase diboride ceramic consolidated by non-reactive spark plasma sintering at 2300 °C [20]. There were no solid-solution detected between diborides owing to a short dwell and a special loading procedure. Hence, plastic failure of ZrB<sub>2</sub>-TaB<sub>2</sub> was observed only at 1800°C. During the present investigation, the flexural strength slowly decreased up to 1600°C, followed by a rapid decrease in strength above 1800 °C (362±16 MPa). Noticeably, at 800 °C or 1000 °C, the flexural strength for the selected ZTN diboride was from 100% to 50% higher than that reported in [4] and [5]. For clarity, the ZrB<sub>2</sub> data using refs [4,5,19] are illustrated by the pale background color in Fig. 3.

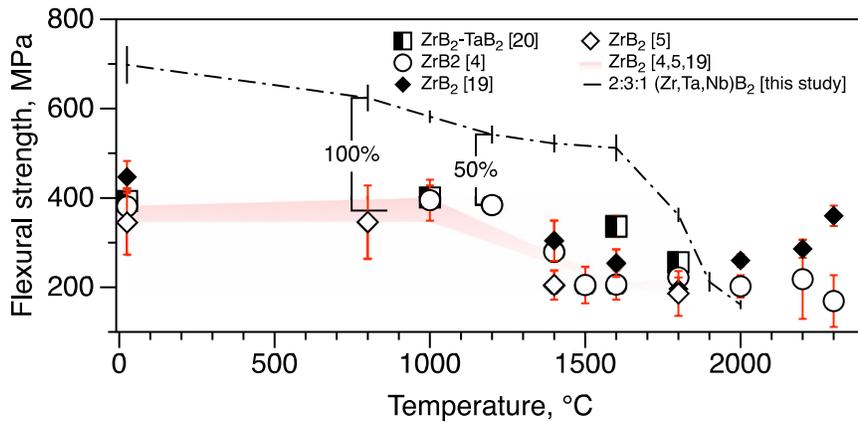
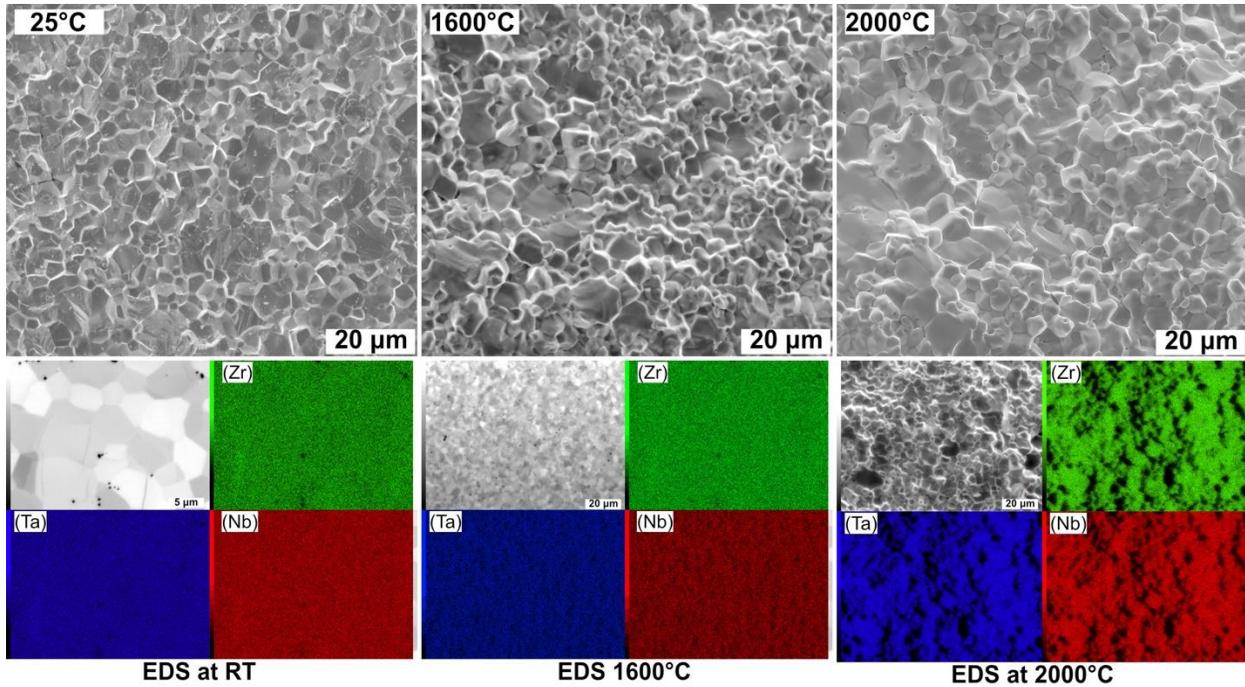


Figure 3. Temperature dependence of the flexural strength of the bulk ZrB<sub>2</sub> [4,5,19], ZrB<sub>2</sub>-TaB<sub>2</sub> [20], and ZTN diboride ceramics.



**Figure 4.** Typical fracture of the 3:2:1 ZTN diboride at selected temperatures. The fracture was acquired in the SE mode. EDS for polished surfaces was taken from the upper side of a specimen after testing at room temperature or at 1600 °C.

The gradual decrease in strength seems to follow the linear decrease in Youngs' modulus with the temperature [13]. The deviation from this quasi-linear decrease can be observed if (i) annealing was performed or (ii) existing surface flaws healed; while the rapid decrease in strength should correspond to (iii) generation of new flaws during the reheating process due to thermal expansion or elastic modulus anisotropy [13]. The rapid decrease in strength observed above 1800 °C can be explained by the increase in the dislocation generation or at higher temperatures by the fast dislocation movement as noted by Wang for the  $ZrB_2$  after high-temperature indentation tests [21]. Furthermore, once the macroscopic plastic deformation will be activated, the majority of the ceramics will possess a strength of ~200 MPa while any increase in strength is due to (a) loading rate [22] or (b) relief of the stress before the crack tip [7,13,15]. In addition, at these temperatures, the fracture changes to

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4 intergranular as evidenced by **Fig. 4** for the 321 ZTN diboride. One can presume  
5 that the high-temperature strength especially above 1800 °C can be optimized as in  
6 **Fig. 1**. At present, these tests are being conducted and analyzed. The effects of the  
7 composition on the resulting structures and properties will be subsequently reported.  
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15 In summary, within this, study the 3:2:1 ratio between Zr, Ta, and Nb showed the  
16 highest specific strength at room temperature during the initial screening of the  
17 mechanical properties within the Zr-Ta-Nb-B system. For the medium entropy  
18 diboride, the lattice parameter for the 3:2:1 composition was estimated as  
19  $a = 3.138(5) \text{ \AA}$  and  $c = 3.447(0) \text{ \AA}$ . The strength of the ZTN SPSed ceramics  
20 gradually decreased from  $700 \pm 42 \text{ MPa}$  at RT to  $518 \pm 30 \text{ MPa}$  at 1600 °C. Above  
21 1800 °C, the strength decreased to 200 MPa which is consistent with the data for  
22  $\text{ZrB}_2$  and is caused by the ongoing plastic deformation. Finally, the proposed quick  
23 method for the properties evaluation indicated that the optimum mechanical  
24 properties do not essentially correspond to the equimolar composition that is being  
25 widely used for medium- or high-entropy ceramics.  
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40 D.D. was supported by the Core Research Cluster for Materials Science, Tohoku  
41 University, Japan.  
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Dear Reviewers,

First, we want to express our gratitude for the comments that were asked for this submission. Second, the text was highlighted with a green background in the revised copy (r2) of the manuscript.

Reviewer #1:

English should be polished, for instance, page 5 line 13, two "using".

Thank you. We hope we improved the main issues with language in the revised copy.

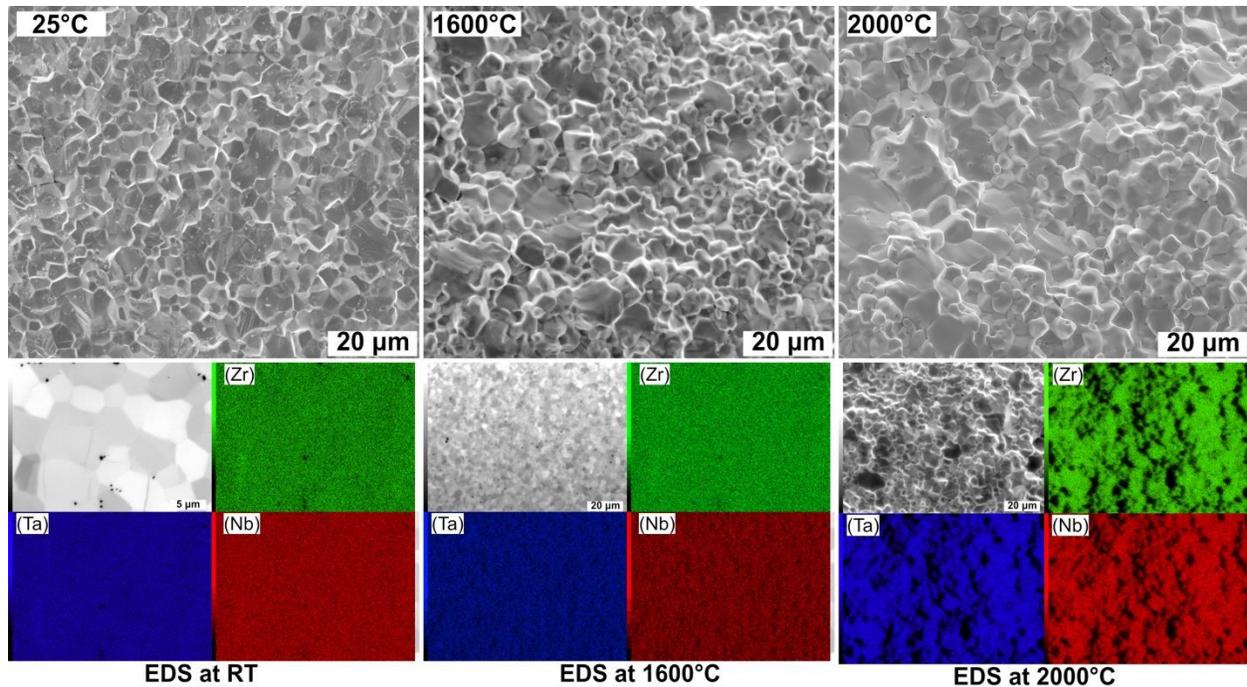
If the chemical composition would affect the element distribution or even form different core-rim or core-shell structure, the discussion on the fracture mode or strength would become different.

And

In Fig. 4, EDS maps from fractured surface can not provide accurate information on the element distributions, and those from polished surface are needed.

Thank you. The concerns are mutual. In this study, the core-rim structure was observed during processing at lower temperatures or due to the inappropriate mixing of powders. Nevertheless, if these being present in the specimens, we can detect these using the XRD. And only specimens with a single-phase XRD were selected for further strength/hardness/toughness testing. In other words, these issues were resolved using 2000°C/5 min configuration before the publication.

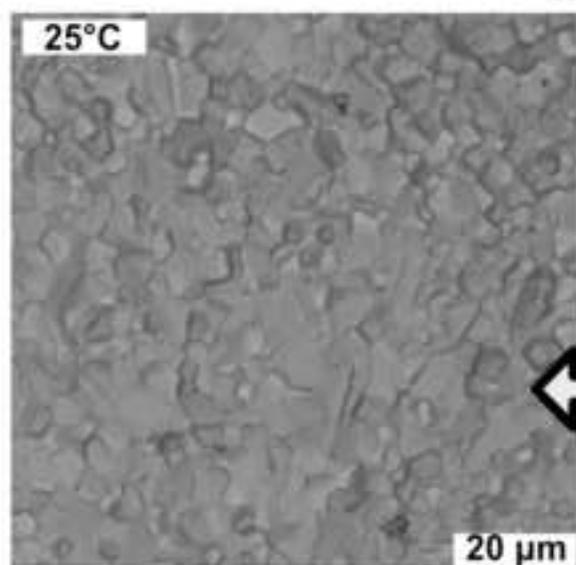
The polished probing was not so distinctive as for fractured surface, please kindly see the revised copy of the Figure 4. It was reorganized to show the EDS probes at RT (polished), 1600 °C (thermally etched polished), and 2000 °C fracture (as it was in r1).



The EDS map for polished surface (EDS at RT) seems to have a core-rim-like structure, however the local probing shows an unchanged ratio. The other probe from the polished surface after the 1600 °C test indicates that there is no particular segregation of the metal in the individual grain i.e., homogeneous solid solution. Hopefully this interpretation will be acceptable for the publication. Alternatively, we can present the overlay of the probing lines for the polished specimens (as these may be clearer than the map right now). Also, all three of these EDS maps were acquired and analyzed using identical probing procedures and the same JEOL software.

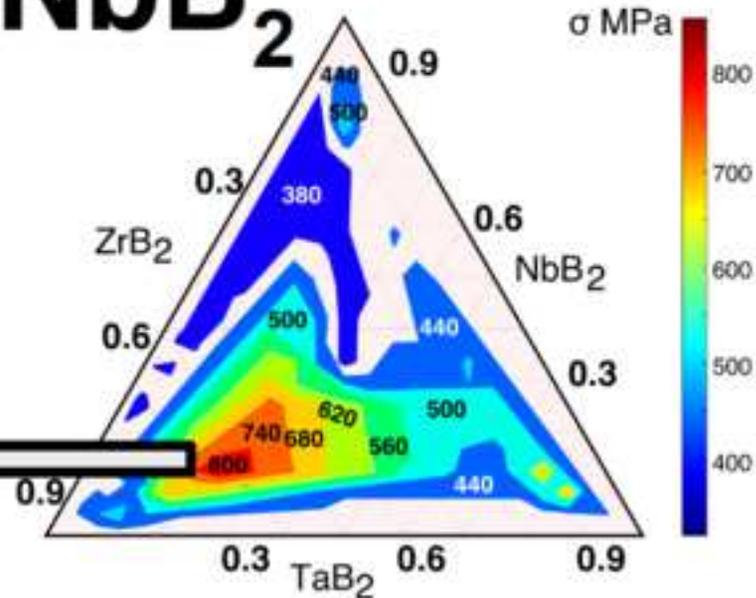
On behalf of the authors,  
 O. Vasykiv

# $3\text{ZrB}_2:2\text{TaB}_2:1\text{NbB}_2$



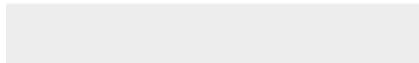
$\sigma$   
max

An upward-pointing arrow labeled  $\sigma$  max, indicating the direction of maximum stress.





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r1\_SUPL1 ztn\_diboride\_table.xlsx



**Compliance with Ethical Standards**

The authors declare that they have no conflict of interest.