

*Abstract*

26 Mechanical properties of ultra-thin organic films are of fundamental importance considering  
27 coating applications. Micromechanical cantilever sensor (MCS) and laser-based surface  
28 acoustic wave (LA-SAW) techniques were both used to measure the Young's moduli of plasma  
29 polymerized films at different humidities. For plasma polymerized allylamine (ppAA) films  
30 deposited at 5 W and 90 W, Young's moduli of  $1400 \pm 350$  MPa and  $110 \pm 20$  MPa at humidities  
31 between 10 – 40 %, and  $1070 \pm 250$  MPa and  $32 \pm 10$  MPa at humidities between 70 – 80 %  
32 were measured. LA-SAW technique revealed Young's moduli lower than 60 % of those by  
33 MCS technique. The difference suggests an enhanced swelling at the air interface or a gradient  
34 of cross-linking density. (120 words)

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## 36 **1. Introduction**

37 Organic thin films are an attractive approach to immobilize molecules <sup>[1-3]</sup> and to realize  
38 superhydrophobic <sup>[4, 5]</sup> and dielectric coatings. <sup>[6, 7]</sup> Organic thin films can be directly deposited  
39 on almost any substrate by using a plasma-assisted polymerization process. <sup>[8]</sup> In order to  
40 achieve efficient functionality of plasma polymerized organic thin films, specific organic  
41 molecules can be used during the deposition process. <sup>[9-11]</sup> In addition to the chemical  
42 functionality, the mechanical stability of plasma polymerized films plays a major role. For  
43 example, the coatings of mechanical components need to be highly resistant to wear, <sup>[12, 13]</sup>  
44 corrosion, <sup>[14, 15]</sup> and delamination. Plasma-assisted polymerization processes offer a unique  
45 option in thin film preparation, since the mechanical stability can be adapted by varying the  
46 deposition conditions. This in turn affects the cross-linking density and mobility of polymeric  
47 chains. <sup>[16]</sup> In general, it has been shown that films deposited at a higher input power level  
48 exhibit a higher cross-linking density. <sup>[17]</sup> Thereby Young's modulus, <sup>[18]</sup> hardness of film <sup>[19]</sup>  
49 and its wear resistance can be increased. <sup>[20]</sup>

50 Dynamic mechanical analysis (DMA) is a standard characterization method used to determine  
51 mechanical properties of polymers. However, DMA requires thick films ( $> 1\mu\text{m}$ ) and is thus  
52 not appropriate for the study of thin ( $< 1\mu\text{m}$ ) films. Nano-indentation technique is considered  
53 to be one of the most useful methods for determining Young's modulus ( $E$ ) of thin films. <sup>[21-25]</sup>  
54 However, for ultra-thin films up to a thickness of 20 nm the indentation depth is limited to about  
55 10 – 20 % of the film thickness. <sup>[26, 27]</sup> In other words, indentions of  $< 2$  nm have to be made in  
56 order to avoid the influence of substrate materials. Such small indentations are possible by using  
57 scanning force microscopy methods. However, scanning force microscopy methods are able to  
58 sense local mechanical properties, which can be different to mechanical properties of entire  
59 film. Consequently, several measurements have to be performed at different positions.  
60 Mechanical properties of plasma-deposited films may vary according to their thickness.

61 Therefore, alternative methods have to be developed and evaluated to measure ultra-thin  
62 polymer films.

63 Recently, we described the possibility of calculating  $E$  of polymer films made by layer-by-layer  
64 deposition of polyelectrolytes ( $\leq 20$  nm thickness) on the micromechanical cantilever sensor  
65 (MCS).<sup>[28-35]</sup> The swelling of polymer films in a solvent vapor environment transduces into a  
66 measurable bending of MCS.<sup>[36,37]</sup> In this way,  $E$  of the thin polymer film can be calculated by  
67 determining the magnitude of bending and the swelling ratio. This method should in theory, be  
68 applicable for ultra-thin films made by plasma deposition.

69 Alternatively,  $E$  of ultra-thin films can be determined by laser-based surface acoustic wave  
70 (LA-SAW) technique.<sup>[38-41]</sup> Here, a surface acoustic wave (SAW) is generated by laser  
71 irradiation on top of the ultra-thin film. The phase velocity of SAW is dependent on  $E$ . Typically,  
72 LA-SAW technique performs well for ultra-thin films with a  $E > 300$  GPa.<sup>[24]</sup> However, it is  
73 an open question whether thin polymer films can be measured using LA-SAW technique, and  
74 whether it is reliable in the case of ultra-thin plasma polymerized films.

75 In this study we used plasma polymerized allylamine (ppAA) as a model material.<sup>[42]</sup> The  
76 ppAA films deposited on MCS swell upon exposure to humidity,<sup>[37]</sup> and we have previously  
77 shown that the resulting changes in their mechanical properties can be measured by the bending  
78 of MCS.<sup>[43]</sup> Our current work focuses on calculating  $E$  of ultra-thin plasma polymerized films  
79 using (i) the bending characteristics of ppAA coated MCS, and (ii) LA-SAW propagation at  
80 surface in response to humidity changes. Ellipsometry was used to determine the material  
81 parameters additionally required for ppAA films to calculate  $E$ .

82

## 83 **2. Experimental Section**

### 84 **I. Preparation of ppAA films**

85 Allylamine monomer (99 %, Sigma-Aldrich) was plasma-polymerized with input power levels  
86 of 5 W and 90 W under continuous wave conditions, and a process pressure of 10 Pa. This

87 corresponds to our previous published experimental work using a 13.56 MHz Pyrex plasma  
88 reactor. <sup>[37, 42]</sup> The ppAA films deposited at a higher plasma power level ( $P = 90$  W) resulted in  
89 a higher cross-linking density than those deposited at lower power level ( $P = 5$  W). <sup>[23]</sup> Generally,  
90 films deposited at higher input power showed a higher roughness and cross-linking density <sup>[17]</sup>.  
91 The energetic ion bombardment and UV irradiation during the plasma deposition process  
92 determines the cross-linking density of the film. <sup>[44, 45]</sup>

93

## 94 **II. Thickness measurement of ppAA films**

95 Imaging ellipsometry (EP3, Nanofilm Technologie GmbH, Germany) was used to measure the  
96 thickness changes ( $\Delta d/d$ ) of ppAA films under defined humidity conditions. The samples were  
97 mounted in the fluid cell (SL-cell, Nanofilm, volume  $7 \text{ cm}^3$ ) with windows at an angle of  $60^\circ$   
98 relative to the sample plane. A laser with a wavelength of 532 nm at an incident angle of  $60^\circ$   
99 was used. For ellipsometric measurements, ppAA film was deposited directly onto a piece of  
100 silicon wafer ( $n_{\text{Si}} = 3.879 - 0.0257i$ ). The refractive index of ppAA film,  $n_{\text{ppAA}}$ , was calculated  
101 from a fit to a model consisting of a single uniform layer on a substrate for each measurement.  
102 For a 5 W film of  $n_{\text{ppAA}} = 1.564 - 0.0i$ , and for a 90 W film of  $n_{\text{ppAA}} = 1.624 - 0.0i$  resulted in  
103 the best fit. The errors of fits in both cases were  $\pm 0.004$ . A scanning force microscope was  
104 used to measure the root mean square (RMS) surface roughness of ppAA films, which was 0.6  
105 nm for a corresponding area of  $1 \mu\text{m}^2$ .

106

## 107 **III. Humidity ( $H$ ) control**

108 A humidity controller was used to control the partial vapor pressure in a fluid cell which enabled  
109 relative  $H$  conditions from 0 to 100 %. <sup>[46]</sup> In the dry state, the fluid cell was flushed with  $\text{N}_2$   
110 gas at a defined  $H$  by using a specially-constructed gas mass flow setup (flow controller: Model  
111 80s, McMillan Company, Texas, USA). Different relative concentrations of  $\text{N}_2$  and  $\text{H}_2\text{O}$  were  
112 obtained by mixing dry  $\text{N}_2$  gas and  $\text{N}_2$  gas saturated with water vapor at  $T = 20^\circ\text{C}$ . The setup

113 was operated at a constant flow speed of 0.5 L/min. After buffering the vapor, a small amount  
114 of the vapor was fed into the fluid cell by using an electric pump (NMP-30, Neuberger Inc.,  
115 New Jersey, USA). For the measurements, a constant flow of 100  $\mu\text{L}/\text{min}$  through the fluid cell  
116 was established (volume of the fluidic cell  $\sim 30 \mu\text{L}$  and volume of the connecting tubes  $\sim 20 \mu\text{L}$ ).  
117 Given this set-up, the fluid cell environment changes between dry and different  $H$  states in  
118 desired steps of 10 %. After reaching the desired  $H$ , the system was flushed with dry  $\text{N}_2$  and  
119 then the  $H$  was increased further.

120

#### 121 **IV. MCS bending measurement**

122 The deflection and resonance frequency of MCS were measured by an optical beam deflection  
123 technique in the above-mentioned fluidic cell (SCENTRIS, VEECO Instruments, USA, **Figure**  
124 **1**). We measured the resonant frequencies of eight MCSs arranged in a linear array. We used  
125 MCSs fabricated from Si with a thickness of 1.2 – 1.9  $\mu\text{m}$ , a length of 500  $\mu\text{m}$ , a width of 90  
126  $\mu\text{m}$  and a pitch of 250  $\mu\text{m}$  (Octosensis, Micromotive GmbH, Germany). The resonant  
127 frequencies of uncoated MCS were  $10730 \pm 30 \text{ Hz}$  and  $6900 \pm 20 \text{ Hz}$  and were used for 5 W  
128 and 90 W ppAA coatings, respectively. The films of ppAA were prepared on the MCS chip and  
129 on Si wafer substrates in the same process described previously <sup>[42]</sup>. Each of the eight-MCS  
130 arrays had at least one MCS without a ppAA film (**Figure 1**). These were used as references  
131 and were required to compensate thermal drift in the setup that arises during a 24 h experiment.

132

### 133 **3. Principle of Measurements**

#### 134 **I. $E$ calculation by MCS technique**

135 Swelling of films deposited on MCS generates surface stress, which is proportional to the  
136 difference in expansion coefficients between the film and the substrate (**Figure 1**). <sup>[47]</sup> The  
137 deflection behavior of MCS can be described as being similar to the bending of a bi-metallic

138 strip upon temperature changes. <sup>[48]</sup> The change in deflection  $\Delta\delta$  of a bi-material strip upon  
 139 humidity changes  $\Delta H$  is given by <sup>[49]</sup>

$$140 \quad \Delta\delta = \frac{l^2}{2R} = \frac{3l^2 E_{Si} E_{film} t_{Si} t_{film} (t_{Si} + t_{film})}{(E_{Si} t_{Si}^2)^2 + (E_{film} t_{film}^2)^2 + 2E_{Si} E_{film} t_{Si} t_{film} (2t_{Si}^2 + 3t_{Si} t_{film} + 2t_{film}^2)} (\alpha_{film} - \alpha_{Si}) \Delta H \quad (1) ,$$

141 where  $\alpha_{film}$  and  $\alpha_{Si}$  are linear expansion coefficients of film and Si at a given  $H$ ,  $E_{Si}$  and  $E_{film}$   
 142 are  $E$  of Si and film,  $t_{Si}$  and  $t_{film}$  are thicknesses of Si cantilever beam and film,  $l$  is a length of  
 143 cantilever beam. The geometric values of  $l$ ,  $t_{Si}$  and  $t_{film}$  are given by the fabrication design of  
 144 MCS. Thus,  $\alpha_{film}$  and  $E_{film}$  are the unknown parameters in equation (1). In order to check  
 145 which magnitude of  $E_{film}$  values are accessible by this method, we calculated the change in  
 146 deflection,  $\Delta\delta$ , at a typical  $\alpha_{film}$  of 0.0001 for polymers for a  $\Delta H$  of 10 % (**Figure 2**). For  $t_{film}$   
 147  $< t_{Si}$  ( $t_{film}/t_{Si} = 0.05$ ), the deflection of MCS due to swelling of the film becomes almost  
 148 directly proportional to  $E_{film}$  in the range of  $10^7 - 10^{11}$  Pa. Typically, the deflection of MCS  
 149 can be measured with an accuracy of  $< 1$  nm. Thus  $E_{film}$  values of 10 MPa or more are  
 150 accessible.

151

## 152 **II. $E$ calculation by LA-SAW technique:**

153 Laser acoustic thin film analyzer (LA wave, IWS, Germany) was used to estimate  $E$  of thin  
 154 ppAA films near the air surface. SAWs were generated by illumination of the surface with short  
 155 laser pulses (wavelength: 337 nm, pulse duration of 0.5 ns, and power of 0.4 mW). This method  
 156 is based on measuring the phase velocity of generated SAW. The SAW propagates along the  
 157 surface of materials and its amplitude decays exponentially. In the case of thick bulk samples  
 158 (homogeneous and isotropic materials without any film on top), the phase velocity  $c_{bulk}$  of  
 159 SAW is given by equation (2) <sup>[50]</sup>

$$160 \quad c_{bulk} = \frac{0.87 + 1.12\nu^2}{1 + \nu} \sqrt{\frac{E}{2\rho(1 + \nu)}} \quad (2),$$

161 where  $\nu$  is Poisson ratio of a bulk sample material. Thus the phase velocity of SAW for bulk  
 162 samples in the propagation direction depends on both  $E$  and  $\rho$ , and is, in particular, not related  
 163 to the frequency of SAW. <sup>[51]</sup>

164 However, in the case of thin films on top of a bulk sample the depth of propagating  
 165 SAW needs to be considered. The depth of propagating wave becomes proportional to  
 166 wavelength <sup>[52]</sup> and decreases with diminishing frequency. Correspondingly, the phase velocity  
 167 of SAW depends on the film's thickness and the frequency of SAW. <sup>[53]</sup> The phase velocity is,  
 168 in particular, more relevant for thin films when the SAW is generated at a higher frequency.  
 169 For a homogeneous and isotropic thin film and a homogeneous and isotropic substrate, the  
 170 phase velocity  $c_{\text{film+substrate}}$  depends on  $E$  of the film and the substrate ( $E'_{\text{film}}$  and  $E_{\text{substrate}}$ ),  
 171 on their Poisson's ratios ( $\nu_{\text{film}}$  and  $\nu_{\text{substrate}}$ ), their densities ( $\rho_{\text{film}}$  and  $\rho_{\text{substrate}}$ ), the film  
 172 thickness  $t$ , and the frequency of SAW, as represented by the dispersion relation, shown in the  
 173 following equation (3).

$$174 \quad c_{\text{film+substrate}} = g(E_{\text{substrate}}, E_{\text{film}}, \nu_{\text{substrate}}, \nu_{\text{film}}, \rho_{\text{substrate}}, \rho_{\text{film}}, t, f) \quad (3)$$

175 In our measurements, a pulsed nitrogen laser was used to generate a wide band surface  
 176 wave. The laser beam was shaped into a line on sample surface by a cylindrical lens. The  
 177 generated SAW impulses were detected by a transducer for at least two different distances,  $x_1$   
 178 and  $x_2$ , from the transducer to the laser focus line. The surface wave velocity spectra  $c(f)$  is  
 179 obtained from:

$$180 \quad c(f) = \frac{2\pi f(x_2 - x_1)}{[\phi_2(f, x_2) - \phi_1(f, x_1)]} \quad (4)$$

181 where  $\phi_1(f, x_1)$  and  $\phi_2(f, x_2)$  are SAW phase values for frequency of  $f$  at positions  $x_1$  and  
 182  $x_2$ , respectively. The  $c(f)$  at a specific position can be obtained from a Fourier transformation  
 183 of SAW signals in the time domain registered by the transducer at both distances. Then  $E'_{\text{film}}$   
 184 is obtained by fitting equation (4) to the dispersion data.

185

## 186 4. RESULTS

### 187 I. Vertical expansion: Thickness and swelling measurements

188 In order to calculate  $E_{\text{film}}$  by MCS method, the  $\alpha_{\text{film}}$  at different  $H$  values of thin ppAA films  
189 were required. Here, we applied ellipsometry to determine the relative changes in the film  
190 thicknesses ( $\Delta d/d$ ) of ppAA film at different  $H$  values (**Figure 3 (a)**). The ppAA films deposited  
191 in dry nitrogen at a plasma power level of 5 W and 90 W, had thicknesses of  $86.4 \pm 0.1$  nm  
192 and  $98.1 \pm 0.4$  nm, respectively. We found that the thickness of ppAA films increased linearly  
193 up to  $H = 60$  %. In addition, the increase in thickness appeared to be independent of the plasma  
194 power level used until  $H = 60$  % was reached. Thus, the  $H$  induced expansion coefficients were  
195 similar to the 5 W and 90 W ppAA films in the range of  $H = 0$  % to  $H = 60$  %. Above  $H =$   
196 60 %, the relative film thickness of 5 W deposited ppAA thin film increased significantly more  
197 than 90 W ppAA films. This difference in swelling was consistent with the assumption that 5  
198 W ppAA films exhibit a lower cross-linking density and can thus incorporate more water  
199 molecules.

200 Since the ppAA film expansion was dependent on humidity, we calculated the  
201 corresponding humidity induced expansion coefficients,  $\alpha$ , by  $H$  increments of 10 % (**Figure 3**  
202 **(b)**). We obtained  $\alpha_{\text{film} \perp} = 0.0005 \pm 0.0002$  for the  $H$  range from 5 % to 60 % for both 5 W  
203 and 90 W films. At  $H > 60$  %,  $\alpha_{\text{film} \perp}$  becomes  $0.0032 \pm 0.0002$  and  $0.0012 \pm 0.0004$  for the  
204 5 W and 90 W ppAA films, respectively. The error bars correspond to the standard deviation  
205 of measurements at seven different areas of the same sample. The symbol  $\perp$  denotes that we  
206 measured thickness changes by ellipsometry.

207 However, for MCS technique the humidity induced expansion coefficients of ppAA films  
208 along MCS lengths (i.e.  $\alpha_{\text{film} //}$ ) are required. For thin films that swell homogeneously and  
209 isotropically  $\alpha_{\text{film} //} = \alpha_{\text{film} \perp}$  can be assumed. Nevertheless, the ppAA film is anchored to the  
210 MCS surface. Thus, the presence of MCS surface hinders the lateral expansion of the ppAA

211 film and  $\alpha_{\text{film} //} \leq \alpha_{\text{film} \perp}$ . We will discuss errors in calculating  $E$  given this connection in section  
212 III-A.

213

## 214 **II. Lateral Expansion: MCS bending measurement**

### 215 *Deflection of MCS by swelling ppAA films*

216 Identical ppAA films were prepared on MCS and exposed to different  $H$  ranging from 0 % to  
217 85 % using a computer-controlled setup (**Figure 4 (a) and (b)**). Two subsequent  $H$  cycles are  
218 plotted, showing the observed deflection for a 5 W ppAA film during both increasing and  
219 decreasing  $H$  cycles (**Figure 4 (a)**). One typical cycle of increasing and decreasing  $H$  for a 90  
220 W ppAA film is shown in **Figure 4 (b)**. At time  $t = 10$  minutes (**Figure 4(b)**) the stability of  
221 measured deflection signal was confirmed by keeping  $H = 20$  % for a few hours. At constant  
222  $H$ , fluctuations of deflections  $< 0.2$  % were measured and were considered negligible.  
223 Furthermore, the drift of the entire setup was measured by determining the response of adjacent  
224 MCSs, acting as references that were not coated with a polymer film. The deflection values of  
225 such reference measurements were used to subtract background signals (**Supporting**  
226 **information**). Our measurements confirm reproducible swelling of ppAA films and indicate  
227 no significant or measurable polymer degradation during repeated exposure to humidity over  
228 the time frame studied in this experiment (30 hours).

229 **Figure 4 (c) and (d)** show the bending response of 5 W ppAA film and 90 W ppAA  
230 film over time for a stepwise  $H$  variation from 0 % to 10 %. After the exposure to each humid  
231 environment for 10 minutes (in steps of 1 %, 2.5 %, 5 %, and 10 %) the samples were exposed  
232 to dry  $\text{N}_2$  for 20 minutes before the next exposure to humidity. By switching the single four-  
233 way valve we were able to create a dry condition in the sample chamber (**Figure 1**). For each  
234 dry exposure, the bending deflection returned to the initial zero point of deflection which  
235 confirmed that (i) the gas inside the chamber was replaced completely, and (ii) the reversible  
236 swelling of PPAA film (**Figure 4 (c) and (d)**).

237 It is worth to notice that the saturated deflection magnitude at the same  $H$ -level between  
238 the increasing and decreasing cycle is different. For example, at  $H = 10\%$  in the decreasing  
239 cycle the saturated deflection of 594 nm was measured. This value was slightly smaller than  
240 that deflection magnitude of 630 nm in the increasing cycle for a 5 W ppAA film. The hysteresis  
241 could be up to 6% in deflection magnitude and indicates that the de-swelling of films was  
242 affected by remaining water molecules left over from previous humidity exposure to films.

243

#### 244 *Stress changes of swelling ppAA films*

245 The change in stress within the swollen ppAA film can be calculated from the deflection  
246 magnitude of MCS using Stoney's formula. <sup>[54-56]</sup> Within the entire  $H$  range from 10% to 80%,  
247 we measured a larger stress change for the ppAA film deposited at 90 W compared with the  
248 one deposited at 5 W (**Figure 5**). Moreover, the experiments that were performed in the  
249 increasing  $H$  process showed a larger stress change compared to the subsequent experiments  
250 performed while reducing  $H$ . This behavior was found for both 5 W and 90 W ppAA films and  
251 was fully reproducible in the second cycle recorded the next day. At first glance, this additional  
252 water residue should lead to a higher stress magnitude while decreasing  $H$ , because more water  
253 was present in ppAA films. However, the presence of residual water also influences the  $E_{\text{film}}$ .  
254 Specifically, the presence of residual water decreases  $E$  of ppAA films. Consequently, the  
255 deflection magnitude and the calculated stress change both decrease compared to the  
256 experiment where  $H$  was increased stepwise. In order to address the overall changes in  $E_{\text{film}}$ ,  
257 we calculated averaged stress changes (lines plotted in **Figure 5**). The results indicate an  
258 increase of the averaged stress change of the swollen ppAA film when exposed to humidity.  
259 However, the increase of averaged stress was not directly proportional to the level of  $H$ . At the  
260 highest measured level of  $H$ , the stress value for 90 W ppAA film was 1.6 times larger than that  
261 of 5 W ppAA film.

262

263 **III. E calculations**

264 **A. MSC technique.** We calculated  $E_{\text{film}}$  using equation (1) (circle symbols in **Figure 6**). For  
265 the calculation, the averaged deflections and calculated  $\alpha_{\text{film} \perp}$  values based on ellipsometry  
266 data (**Figure 3 (b)**) were used. Furthermore, in humid environments, the values of  $\alpha_{\text{Si}} = 0$  and  
267  $E_{\text{Si}} = 165$  GPa remained constant. However, it was important to consider the relationship of  
268  $\alpha_{\text{film} //} \leq \alpha_{\text{film} \perp}$  which was deduced from ellipsometry measurements. Therefore, our  
269 calculations of  $E_{\text{film}}$  using  $\alpha_{\text{film} \perp}$  can be seen as the lower limits of  $E_{\text{film}}$ . In order to estimate  
270 an upper limit for  $E_{\text{film}}$ , the minimum swelling state of a ppAA film at a low  $H$  level was used.  
271 In the case of the small volume expansion, the effects from substrate can be disregarded. We  
272 determined that the swelling of ppAA film was isotropic and that the film expansion in both  
273 parallel ( $//$ ) and vertical ( $\perp$ ) directions was identical, i.e. 0.0005 for both of the ppAA films  
274 deposited at 5 W and 90 W (**Figure 3 (b)**).

275 Irrespective of the power level during plasma polymerization,  $E_{\text{film}}$  of both films  
276 decreased with increasing  $H$  (**Figure 6**). The  $E_{\text{film}}$  calculated for 5 W and 90 W ppAA films  
277 were  $1070 \pm 250$  MPa and  $1400 \pm 350$  MPa for  $H = 0\%$  and  $H = 10\%$ , respectively. These  
278 results were slightly lower than  $E$ -values obtained by nano-indentation on plasma-polymerized  
279 thin films of vinyltriethoxysilane ( $3 - 10$  GPa<sup>[18]</sup>). We attribute the lower  $E$ -values for ppAA  
280 films to the different composition of films and their partially swollen state during  
281 measurements.

282 For  $H < 60\%$  almost no difference in  $E_{\text{film}}$  values between 5 W and 90 W ppAA films  
283 was found. For  $H > 60\%$  the higher cross-linking density in 90 W film leads to a reduced  
284 swelling and thus to an increased  $E_{\text{film}}$  of  $110 \pm 20$  MPa between  $H = 70\%$  and  $H = 80\%$   
285 compared to 5 W film ( $32 \pm 1$  MPa). The results indicate that the mechanical properties vary  
286 less upon  $H$ -changes for a film with a higher crosslinking density, i.e. deposited at a higher  
287 power.

288

289 **B. LA-SAW.** LA-SAW measurement was performed over a  $H$  range of 20 – 50 % and at a  
290 room temperature of  $25 \pm 3$  °C for ppAA films of thickness  $100 \pm 10$  nm deposited on a Si-  
291 substrate. Then, SAW propagation along  $\langle 011 \rangle$  direction of Si (100) was measured. SAWs  
292 with a frequency of 30 MHz were detected at different distances,  $l + \Delta l$ , where  $l$  was 25 mm  
293 and  $\Delta l$  was varied from 0 to 5 mm. The calculated phase velocity spectra of SAW wave-packets  
294 were used to fit  $E'_{\text{film}}$  of ppAA films (square symbols in **Figure 6**).  $E_{\text{substrate}}$  corresponds to  
295 Si substrate (density:  $2.33 \text{ g/cm}^3$ ) and we took  $C_{11}$ : 165 GPa,  $C_{12}$ : 63.5 GPa,  $C_{44}$ : 79.6 GPa for  
296 each crystal orientation, respectively. X-ray reflectivity measurements (Rigaku RINT ATX-G)  
297 revealed a ppAA film density of  $1.12 \pm 0.06 \text{ g/cm}^3$  (5 W) and  $1.55 \pm 0.04 \text{ g/cm}^3$  (90 W).<sup>[57, 58]</sup>  
298 To determine the Poisson's ratio of films we are taking 0.4, which is a widely used value for  
299 polymers<sup>[59]</sup>. The  $E'_{\text{film}}$  of the ppAA film of 5 W decreased from 0.249 GPa to 0.138 GPa for  
300 a  $H$  change from 15 % to 46 %. These  $E'_{\text{film}}$  values were  $41 \pm 38$  % and  $60 \pm 30$  % lower than  
301 ones calculated by MCS technique for 5 W ppAA and 90 W ppAA, respectively (**Figure 6**).  
302 However, the relative differences of  $E$  between both films at different  $H$  levels were confirmed:  
303 The  $E'_{\text{film}}$  of the 90 W film was larger compared to the 5 W film, and the  $E'_{\text{film}}$  in high  $H$   
304 conditions was smaller than in a low  $H$  environment.

305

## 306 **6. Discussion**

307 The  $E'_{\text{film}}$  in LA-SAW measurement was smaller compared to the  $E_{\text{film}}$  measurement using  
308 MCS technique. Based on surface acoustic waves, LA-SAW technique was more sensitive to  
309 polymer properties at its air interface and less sensitive to polymer properties at its substrate  
310 interface. The difference of calculated  $E'_{\text{film}}$  and  $E_{\text{film}}$  can be explained by two possible  
311 theories: (a) ppAA films swell more at polymer/air interface compared to the interface of solid  
312 substrate. The presence of substrate may even lead to some inhibition of swelling. (b) The  
313 crosslinking density of ppAA films was lower at the air-interface compared to its interface with

314 a solid substrate. In contrast, the driving force for cantilever bending occurs at the silicon  
315 substrate interface. We are of the opinion that both theories are feasible for ultra-thin ppAA  
316 films.

317

## 318 **7. Conclusion and summary**

319 We measured Young's modulus of approximately 100 nm thick polymerized films that  
320 were made using a plasma deposition process. We selected plasma-polymerized allylamine  
321 (ppAA) as model films that swell upon exposure to humidity. A decrease of Young's modulus  
322 with increasing  $H$  was consistently observed. The MCS technique is based on the measurement  
323 of the deflection of cantilever beams. The error of the measurement was dominated by the error  
324 of the expansion coefficient that was inferred from ellipsometry. In contrast, the LA-SAW  
325 technique revealed lower  $E$  values. We attribute this difference to a lower cross-linking density  
326 of ppAA films at the air interface, or to enhanced swelling of polymer close to the air interface.

327 One major outcome of this work is that both techniques MCS and LA-SAW are applicable  
328 for measuring ultra-thin plasma polymerized films and represent an alternative to nano-  
329 indentation measurements. Nano-indentation measurements might not be easily feasible in  
330 harsh environments such as polymer solvents. However, MCS technique is not only restricted  
331 to experiments involving humidity. It can be readily used in experiments using various polymer  
332 solvents and vapors. <sup>[46, 60, 61]</sup> A simple estimation revealed that MCS is able to measure  $E$  down  
333 to 10 MPa. In principle, the MCS method can be used to determine  $E$  for films with a thickness  
334 of less than 10 nm, as long as the expansion coefficient can be determined.

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336

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353 Keywords: cantilever sensing, humidity sensor, plasma polymerization, Young's modulus of  
354 ultra-thin films

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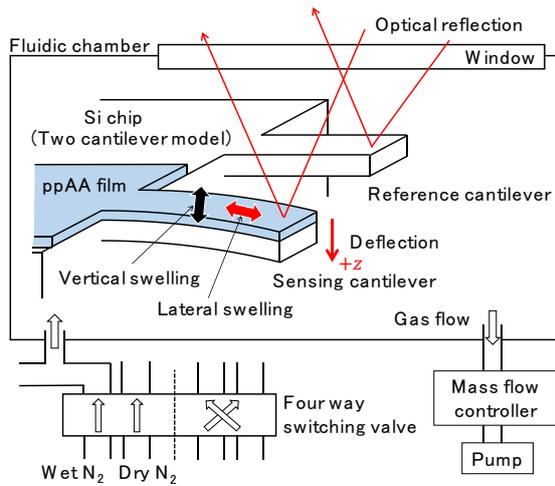
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456 **Figures**

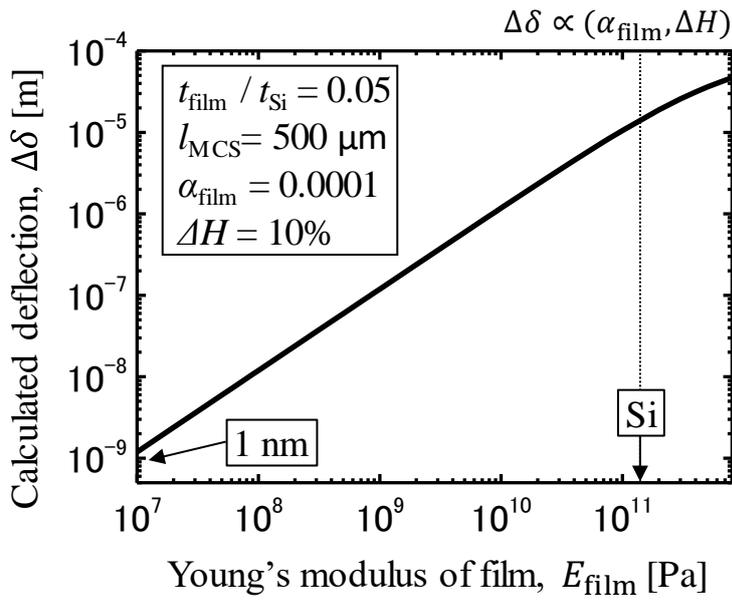


457

458 *Figure 1. This schematic diagram shows the principle of the MCS bending technique. The*  
459 *deflection is measured along the +z direction. Here, the lateral swelling (red arrow) of polymer*  
460 *film deposited on top of the MCS along the beam direction results in a bending of MCS.*

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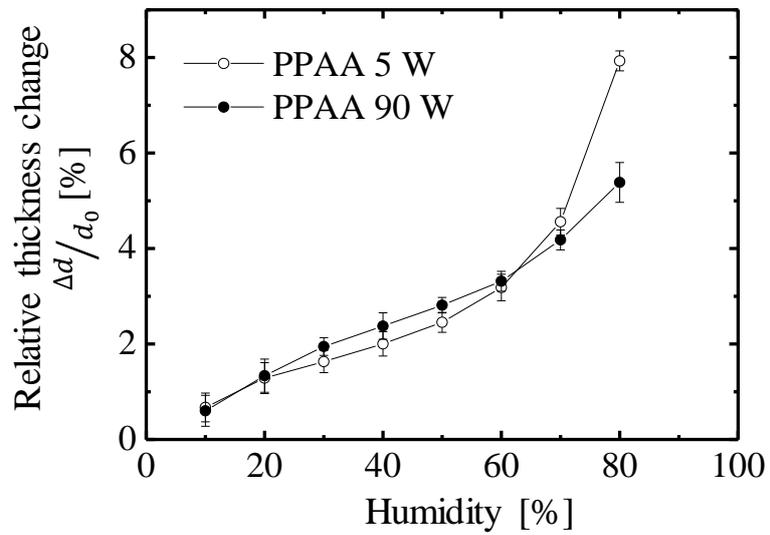
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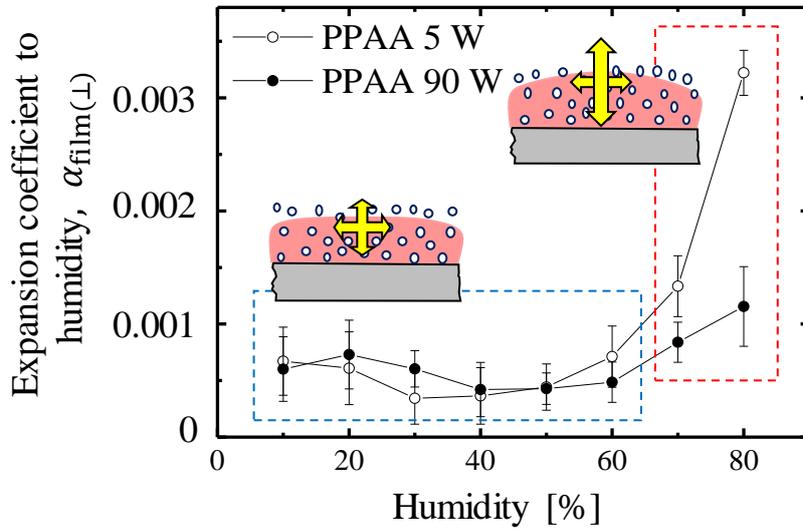
464 *Figure 2. Calculated Young's modulus of a thin uniform polymer film ( $t_{\text{film}} = 100 \text{ nm}$ ) that is*  
465 *located on a  $500 \text{ }\mu\text{m}$  long MCS made from silicon ( $t_{\text{Si}} = 2 \text{ }\mu\text{m}$ ). The deflection is induced by a*  
466 *humidity change  $\Delta H$  of 10 % while a constant expansion coefficient  $\alpha_{\text{film}}$  is assumed.*

467



469 *Figure 3 (a). The relative film thickness change, i.e. ( $\Delta d/d_0$ ), of ppAA films prepared with 5 W*  
470 *and 90 W plasma power levels as a function of humidity.  $\Delta d$  and  $d_0$  are the changes in the*  
471 *thickness and the thickness at 0 % humidity, respectively.*

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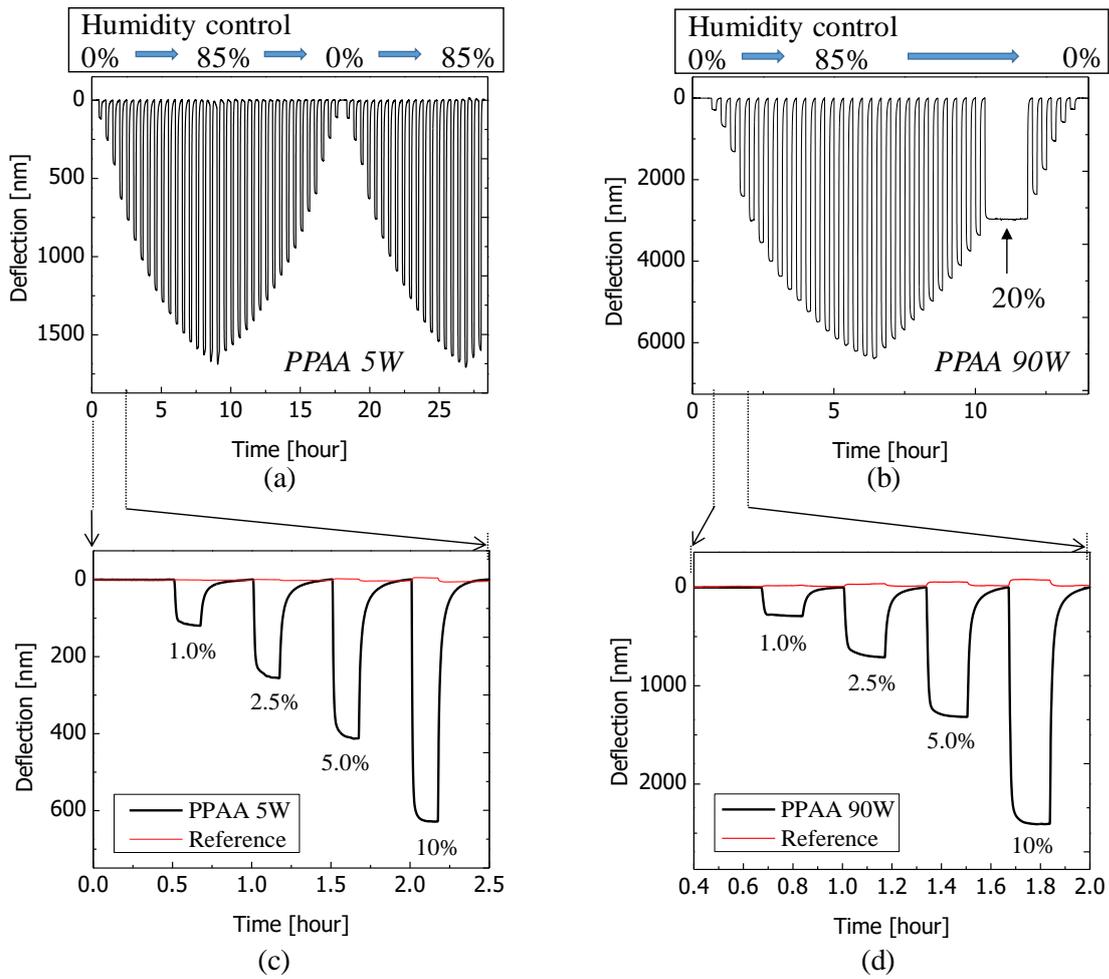


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475 *Figure 3 (b). Calculated expansion coefficients of ppAA films. Ellipsometry*  
 476 *measurements indicated that the expansion coefficient at  $H < 60\%$  is constant (blue dashed*  
 477 *line). This can indicate isotropic swelling (yellow arrows). At  $H > 70\%$ , the measured  $\alpha_{\text{film} \perp}$*   
 478 *increased up to six times for the 5 W ppAA film and two times for the 90 W ppAA film (red*  
 479 *dashed line). Here it possibly indicates hindrance in lateral expansions while vertical*  
 480 *expansion is promoted. This is depicted by different sized yellow arrows.*

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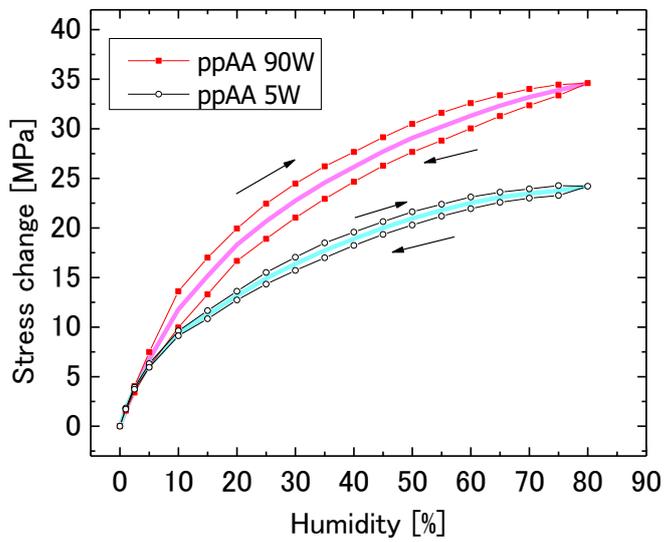


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485 *Figure 4. Series of exposures of the MCSs coated with ppAA films at different humidities. The*  
 486 *MCSs were exposed alternatively to each defined humidity value followed by exposure to dry*  
 487 *nitrogen. Switching the 4-way solenoid valve allowed rapid changes (< 100 msec) of flow in*  
 488 *the sample cell between 0 % humidity and different levels of humidity. One complete cycle of*  
 489 *increasing and decreasing humidity was performed in 18 hours. (a, c) ppAA films deposited*  
 490 *with 5 W and (b, d) 90 W plasma power.*

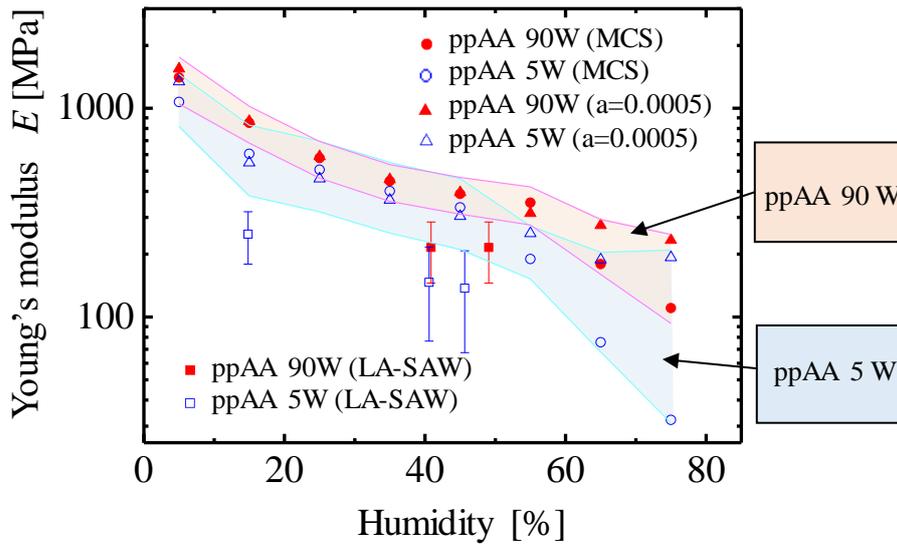
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494 *Figure 5. Saturated surface stress in compressive responses at each stage of humid environment*  
 495 *for ppAA films. The black arrows indicate increasing and decreasing humidity cycles. The solid*  
 496 *green and pink lines correspond to the average stress changes calculated from increasing and*  
 497 *decreasing humidity cycles.*

498



499

500 *Figure 6. The calculated Young's modulus using the MCS (circles and triangles) and the LA-*  
 501 *SAW (squares) techniques. The uncertainty in  $E_{film}$  values for the MCS technique is dominated*  
 502 *by the error in  $\alpha_{film}$ , which was calculated from the thickness measurements by ellipsometry at*  
 503 *different humidities. The error bars for the LA-SAW measurement correspond to measurements*  
 504 *at different points on the sample and different distances  $\Delta l$ . An error of  $\pm 3\%$  in the humidity*  
 505 *values based on the stability of the humidity of the measurement system during the experiments*  
 506 *was calculated in. In total, we obtained error bars of 0.07 GPa in the value of  $E'_{film}$ .*

507