



Consolidation and high-temperature strength of monolithic lanthanum hexaboride

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Consolidation and high-temperature strength of monolithic lanthanum hexaboride

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Abstract

In this study we explored the densification, microstructure evolution and high-temperature properties of bulk lanthanum hexaboride. LaB_6 bulks were consolidated using spark-plasma sintering only in the temperature range between 1400 °C and 1700 °C. We adopted flash spark plasma sintering of LaB_6 using a direct current heating without a graphite die. We observed a peculiar grain-size gradient when coarse grains exceeding 300 μm were observed on the top side of the specimen, while the bottom side had a grain size of 15–20 μm . Such large grain were not

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3 observed using SPS at 2000°C, suggesting that these might originate from a local
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6 overheating.
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9 Based on the three-point flexural tests, it was observed that the toughness and
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11 strength of the LaB₆ were acceptable at room-temperature (3.1 ± 0.2 MPa m^{1/2},
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14 300±20 MPa). However, at 1600 °C, these parameters would decrease to 1.3 ± 0.1
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16 MPa m^{1/2} and 120±40 MPa, respectively.
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22 **Keywords:** flash sintering; grain growth; densification; borides; spark plasma
23
24 sintering.
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30 **1. Introduction**

31
32 Rare-earth hexaborides are excellent thermionic electron emitter materials. Among
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34 all the binary ceramic compounds ever fabricated, LaB₆ shows the best figure of
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36 merit as a thermionic emission material [1–4]. LaB₆ was determined to have many
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38 advantageous properties, including a low electron work function and good chemical
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40 resistance [1,4,5]. The melting-point is high, generally exceeding 2200 C (~2210 °C
41
42 is the lowest reported [4], as the melting point may depend on impurities and is
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44 sensitive to the B to La ratio) [1–3]. Based on this combination of properties, LaB₆
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47 plays an important role in several industries, while its composites with SiC have the
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49 potential for novel applications in harvesting of solar energy [6].
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3 Like other hexaborides, a majority of the properties come from the crystallographic
4 structure. LaB_6 has an interpenetrating simple cubic structure in which the La atom
5 sits in the center while boron octahedrons occupy the corners of the unit cell [1,2].
6
7 In this configuration, covalent bonds between the boron atoms provide the hardness
8 [1,7] and stability of the structure at elevated temperatures, while the free electrons
9 of the lanthanum atoms are responsible for its hot-emission properties.
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14 Other noticeable features of the lanthanum hexaboride are a relatively low specific
15 density (4.72 g/cm^3) similar to TiB_2 and its ability for the near-infrared absorption
16 which is useful for special coatings.
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19
20 As a structural material, only a few studies have reported the strength or toughness
21 at room temperature [8–10]. Zhou et al. [11] reported that the local doping of LaB_6
22 with Sm may lead to a strength increase. Nonetheless, the high-temperature strength
23 data were confined to the LaB_6 -based eutectic composites with transition metal
24 diborides [12,13]. In these studies, it was shown that the LaB_6 -based ceramic
25 composites containing ZrB_2 or TiB_2 fibrils will show an increase in their flexural
26 strength up to $1600 \text{ }^\circ\text{C}$. This was explained by the activation of the plastic
27 deformation in the eutectic system, however, such phenomenon for regular
28 composites should lead to a decrease in their strength. In this regard, it is important
29 to reevaluate the possibility of lanthanum hexaboride being used as a high-
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3 temperature structural material because only a selected number of monolithic
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6 ceramic compounds may experience a strength increase at 1600 °C.
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9 In addition, it is important to note that a number of recent reports about the
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11 processing of LaB₆ or other hexaboride would involve spark plasma sintering
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13 [8,11,14]. This method is known for its ability to achieve the near-theoretical density
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15 in a matter of minutes, rather than hours using a 5–10°C/min heating or cooling rate
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17 [10]. However, the specifics on the densification kinetics are rarely reported [11,14].
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21 The authors failed to find any reports about the analysis of the densification process
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23 for LaB₆, hence, it was suggested that this study focus on the processing of
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25 lanthanum hexaboride. A step forward from the SPS would be to densify LaB₆ in
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27 the flash mode, i.e., an electrical current directly passes through the material without
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29 the use of a graphite die. LaB₆ is known to have an increase in electrical resistivity
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31 from 20 μΩ cm at room-temperature to 120 μΩ cm at 1600°C [15]. Furthermore, the
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33 resistivity at 2000 °C can be as high as 350 μΩ cm for LaB₆ with a relative density
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35 of 60% of the theoretical density (TD) [16]. As a cathode material, when heated to
36
37 above 1200°C, the lanthanum hexaboride will emit its own electrons, making it a *de*
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39 *facto* local plasma source. Macroscale devices of LaB₆ operating in helium were
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41 recently proposed as a plasma source for the next generation of tokamaks [17]. The
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43 local electron cloud emitted between grains on a microscale may promote the
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3 material's ability to locally self-heat itself. These are precursors that share some
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5 similarities with the regular flash sintering phenomenon [18].
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9 In the present study, the effect of processing on the microstructure, toughness,
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11 strength, and the performance at high-temperatures of LaB₆ fabricated by SPS was
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13 examined. We expect that the high-temperature strength can result in a strength
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15 increase as suggested for the LaB₆-based ceramic composites [12,13], which is the
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17 focus of this paper. Thus, the purpose of this study is to report the detailed processing
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19 conditions, microstructure evaluation and structure–property relationships of the
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21 LaB₆ ceramics processed by SPS. For the latter purpose, we used conventional SPS
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23 with a graphite die to evaluate the densification kinetics, while die-free or direct SPS
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25 in the 'flash' regime [19–21] was explored as a potential rapid method for producing
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27 bulk lanthanum hexaboride.
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38 **2 Materials and Methods**

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40 Commercially-available LaB₆ (Lot #64647, Mitsuwa Chemical Co., Ltd. Japan)
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42 powder was used as the starting material. LaB₆ powder had a mean particle size of
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44 5±1 μm. The concentration of the secondary elements in the LaB₆ was as follows:
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46 Fe ≤ 90 ppm, Ce ≤ 50 ppm, C ≤ 0.5 wt.%, N ≤ 0.5 wt.%, and O ≤ 0.5 wt.%. Boron
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48 content was within 32.8±0.5 wt.%. The received untreated powder was used for
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50 consolidation by the SPS using the 'Dr. Sinter' 1050 (Sumitomo, Japan) unit with a
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3 25-mm or 30-mm die. For all the specimens we used an inner Ta-foil and outer
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6 graphite foil configuration, in which the Ta-foil would be in direct contact with the
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9 powder. From the top of the specimen the Ta-foil would be followed by the graphite
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11 circle, and to distinguish the bottom side, Nb+graphite configuration was used.
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14 These steps were made to minimize the diffusion of carbon [22,23] into the LaB₆ or
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17 to assist the pre-heating during the flash spark plasma sintering (FSPS).
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20 Green specimens for the FSPS runs were consolidated at 1000°C using a 5-min dwell
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22 and pressure of 40 MPa. 50 °C/min heating and cooling rates were used. These
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24 specimens had a relative density of 48 to 52 % of the TD.
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28 The schedule for the lanthanum hexaboride isothermal kinetics can be summarized
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30 as follows: the LaB₆ specimens prepared in this study had four major steps: (1)
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32 heating to 900 °C in four minutes followed by (2) a 50 °C/min heating to the
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34 densification temperature. A dwell up to 20 min was used. The final step included
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36 cooling to 600 °C at the rate of 40°C/min. The pressure of 40, 60 or 80 MPa was
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38 maintained during the consolidation and cooling stages. Argon gas at the flow rate
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40 of 2 L/min was used. Based on the previous studies [8–10], the densification
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42 temperature of the LaB₆ was selected between 1200 °C and 1700 °C. In [8], it was
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44 suggested that 5 min dwell at 1200 °C should be enough to achieve full density for
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46 nanocrystalline LaB₆. While in [9], pressureless sintering at 1950 °C/2h resulted in
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3 only 85.1% of the TD, while hot-pressing at 1950°C/2h resulted in 99.9 % of the
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6 TD.
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9 In the case of the FSPS, after cooling, the green specimens pre-consolidated at
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11 1000 °C were removed from the graphite die and subjected to a mold-free [19–21]
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13 FSPS consolidation. This step consisted of wrapping the pre-consolidated LaB₆
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15 specimens with additional graphite foils and placed in the setup described in ref [19].
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18 The Sumitomo unit was operated in the current control mode, thus a current limit of
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20 2000 to 3000 A was selected based on previous FSPS runs to reach the temperature
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22 of 1600 °C.
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27 The temperature during the FSPS experiments was determined by a side pyrometer
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29 focused on the side of the graphite felt using an emissivity of 0.90. In order to
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31 minimize any errors associated with the emissivity during the temperature
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33 measurements, a top layer of graphite foil was painted with a graphite paint that
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35 originated from the crushed die used for the SPS. Because the graphite felt is a
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37 thermal insulator, a tolerance for the accuracy of the temperature measurement was
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39 considered as ±10 °C. Based on our previous studies of the densification kinetics
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41 using FSPS one can presume that the sample temperature was at least 100 °C hotter
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43 than the probed one [21]. For all the FSPS experiments, a constant uniaxial pressure
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45 of 18 MPa was applied. The apparatus was operated in the constant current control
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47 mode. In this case, a typical heating profile would always have a dome-like top, and
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3 after reaching a peak temperature, despite a constant current and voltage, the
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6 temperature of the specimen would remain constant or decrease. After shutting down
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9 the unit, the specimens usually cooled down to 570–600°C withing 60 to 90 s. The
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11 FSPS experiments were performed in argon gas at the flow rate of 2 L/min.

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14 An X-ray diffraction (XRD) analysis (D8 Advance, Bruker, Karlsruhe, Germany)
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16 was performed on the polished surfaces of the bars of the flexural tests using Cu-K α
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18 radiation. The intensity data were collected over the 2 θ range of 20°–145° in steps
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20 of 0.02–0.05° using a sampling time of 5 s for each step. The software used for
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22 refinement was TOPAS (TOPAS Ver. 4.2, Bruker AXS, Germany).
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27 The structural characteristics of the lanthanum hexaboride ceramics were studied by
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29 scanning electron microscopy (SEM, JCM-6000, JEOL) with secondary electrons
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31 (SE) or backscattered electrons (BSE mode).
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35 The three-point flexural strength was determined using rectangular blocks
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37 (2 mm×2 mm×25 mm, 2 mm×2.5 mm×25 mm) and the strength testing equipment
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39 that was previously described in detail [24]. A span of 16 mm was used. The fracture
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41 toughness of the ceramics was evaluated by the specimen bending testing which
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43 contained a single edge through-thickness notch (2 × 4 × 25 mm, notch width
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45 90 μ m, depth 0.4–0.6 mm, a/W <0.15) following ASTM C1421-16. At least three
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47 tests with loading rates of 0.05 and 0.5 mm/min were performed. Eight tests were
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49 conducted at room temperature and six specimens were tested at elevated
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3 temperatures. For the fracture toughness, the high temperature tests were performed
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5 at 1000 °C, 1200 °C and 1600 °C. Tests below 1800 °C were performed at the
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7 loading rate of 0.5 mm/min. Tests at elevated temperatures were performed in argon
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9 [24], and the loading rate of 3 mm/min was used at 1800 °C or 2000 °C. We
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11 evaluated the elastic modulus (E_f) for the tests from the linear portion of the load-
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13 displacement curve using the procedure described in ASTM E111–04. Within this
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15 study, the compressive load during flexural tests was applied parallel to the pressing
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17 direction used in the SPS/FSPS procedure.
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25 The toughness was tested in the same direction as the applied pressure during the
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27 SPS consolidation. Details of the testing configuration and the notch profile were
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29 presented in ref. [25]. In the case of the FSPSed specimens, a notch was made on the
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31 top side of the specimen. This side was facing the electrode providing current for the
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33 sintering process.
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39 The hardness was determined by an MMT-7 Vickers hardness tester (Matsuzawa
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41 MMT-7; Matsuzawa SEIKI Co., Ltd., Tokyo, Japan) using loads of 9.8 N and 98 N
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43 with a dwell time of 15 s following the standard procedure (ASTM C 1327–15).
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45 Hardness measurements were performed on the surface polished down to 0.1 μm
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47 diamond polishing paste.
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54 3. Results and discussion

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3.1. Isothermal sintering of LaB_6

Figure 1 shows the effect of the dwell time and temperature on the relative density at the constant pressures of 40, 60 and 80 MPa. The common observation can be made that when using a temperature of 1600 °C or 1700 °C density close to the theoretical density can be obtained within a relatively short dwell. Because there are no data for the diffusivity or densification kinetics of this compound, and, crucially, no data on creep mechanism(s) in LaB_6 , we decided to use an approach proposed by Murray et al. for analysis of the densification kinetics [26,27]. Originally, the approach was developed on the basis of the theoretical model of a viscous flow mechanism, but was successfully used for a number of compounds [27–30]. The densification process of an amorphous powder body under load should obey the following relationship [26,31]:

$$dD/dt = 4P / 4\eta (1 - D)$$

leading to

$$\ln (1 - D) = -3P / 4\eta t + \ln (1 - D_i)$$

where D is the relative density (i.e., $D = \rho/\rho_{th}$, ρ is the measured density, and ρ_{th} is the theoretical density (XRD density)), D_i is the initial relative density, η is the viscosity in Pa·s, P is the applied pressure in N/m² and t is the time.

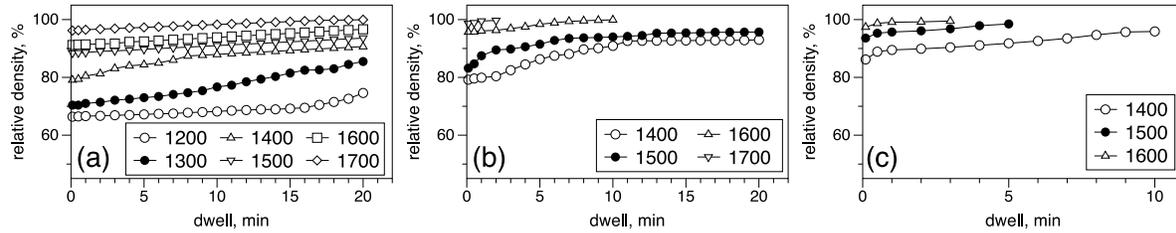


Figure 1. Effect of temperature and dwell on relative density the LaB₆ using the constant pressure of (a) 40 MPa, (b) 60 MPa, and (c) 80 MPa.

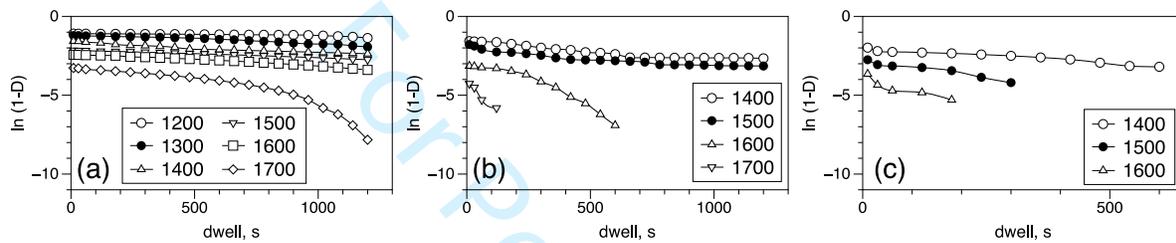


Figure 2. Relationship between logarithm of porosity and time for LaB₆ compacts SPSed at various temperatures using the constant pressure of (a) 40 MPa, (b) 60 MPa, and (c) 80 MPa.

Based on this model, the effective viscosity of the powder body can be evaluated using a plot of $\ln(1-D)$ vs time. Such plots are presented in **Fig. 2**. Because we used different pressures, the viscosities can be evaluated for every pressure. Such an analysis would yield the activation energy for diffusion of 105 ± 40 kJ/mol, 254 ± 19 kJ/mol, or 192 ± 10 kJ/mol for 40 MPa, 60 MPa, and 80 MPa, respectively. Despite a slight scatter between the values, one notes that within a close approximation based on the three pressures, the activation energy of 150 ± 30 kJ/mol (**Fig. 3**) may be used

to describe the densification process of lanthanum hexaboride. For reference, the molar enthalpy of formation of LaB_6 was estimated to be 527 kJ/mol in [1,2], or 400 ± 19 kJ/mol in [32]. Activation energy for the evaporation of the lanthanum hexaboride was estimated to be 698.7 kJ/mol for the temperature range 1730–1910 °C [1]. As noted by Lafferty [4], this process is directly related to diffusion of La via migration in the boron sublattice.

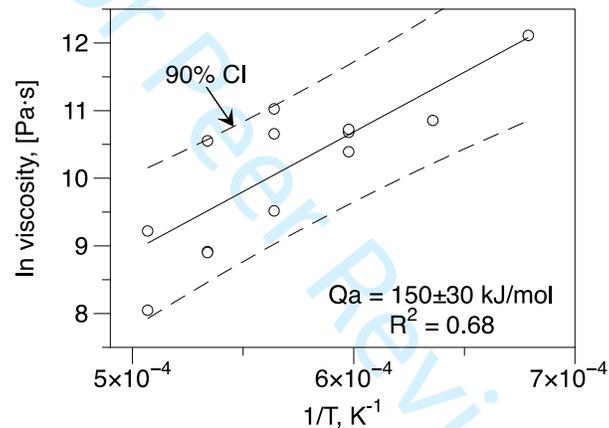


Figure 3. Arrhenius dependence of logarithm of viscosity evaluated for LaB_6 during spark plasma sintering using the constant pressures of 40, 60, and 80 MPa.

In terms of the level of actual values of the viscosities predicted by this approach, it is observed in **Fig. 4** [33–35] that the powder body has not reached an amorphous (liquid-like) state as do most metals while approaching their melting points (see La or Ta) that would show a viscosity similar to milk at room temperature.

At the same time we noticed that processing at relatively low temperatures using SPS may be beneficial to the grain size. **Figure 5** shows a summary for the grain size for the various SPS runs. The largest grain size ($33.0 \pm 4.2 \mu\text{m}$) was observed for the 10 min run at 1600°C at the pressure of 60 MPa.

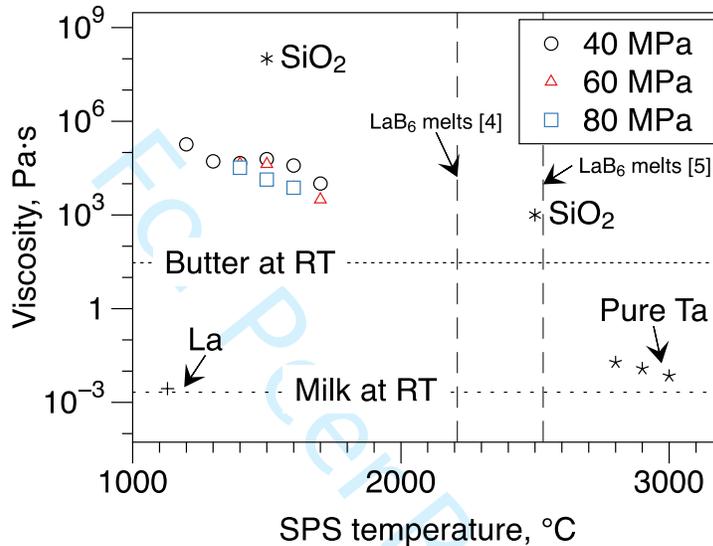
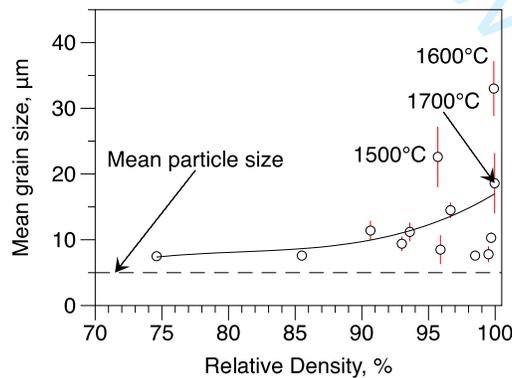


Figure 4. Effect of temperature on the evaluated viscosity of the LaB_6 using different pressures.



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3 **Figure 5.** Grain size as a function of the relative density during isothermal dwell
4 runs using spark plasma sintering. We present the temperature for the isothermal
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6 dwell in the case of extremely coarse grains.
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10 11 12 13 14 **3.2. Flash spark plasma sintering of LaB_6**

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16 In order to attempt to preserve the processing time or minimize the grain growth
17 [18], we attempted to perform flash spark plasma sintering experiments on the
18 lanthanum hexaboride. Multiple runs were performed using pre-consolidated
19 specimens at 1000°C/5 min/40 MPa (48–52 % TD, 5 ± 1 μm mean grain size). The
20 approach described in depth in [19–21] was used. In short, the flash SPS was
21 performed on the specimens without the graphite die using thick top and bottom
22 graphite sheets in order to minimize any contact between SPS press and specimen.
23
24 The fixed load of 18 MPa was used for the flash SPS runs. The pre-consolidated
25 powder specimen was encapsulated in Ta foil / Graphite foil combination, so that
26 tantalum carbide would be formed as a protective medium for the LaB_6 . The outer
27 layer of the graphite foil was painted with the graphite paint (made from the crushed
28 SPS die) to ensure that the reading of the pyrometer would be identical during in the
29 FSPS or SPS. In order to distinguish the top side of the specimen from the bottom
30 side, as a rule, we covered the top of the specimen with Ta+Graphite foil, while the
31 bottom was covered with the Nb+Graphite foil combination.
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Once the SPS process was initiated, an incubation period would be required in order to preheat the graphite felt, or directly initiate the current flow through the specimen if the specimen becomes conductive. Unlike zirconia, SiC or HfB₂, this preheating time was at most 20 seconds. The electrical and emissive properties of LaB₆ are well studied [15], and in some reports, the electrical resistivity is directly proportional to the temperature within a 900–1600 °C range. Thus, once the lower end of this temperature is being reached the specimen can self-heat itself due to the ohmic losses [36].

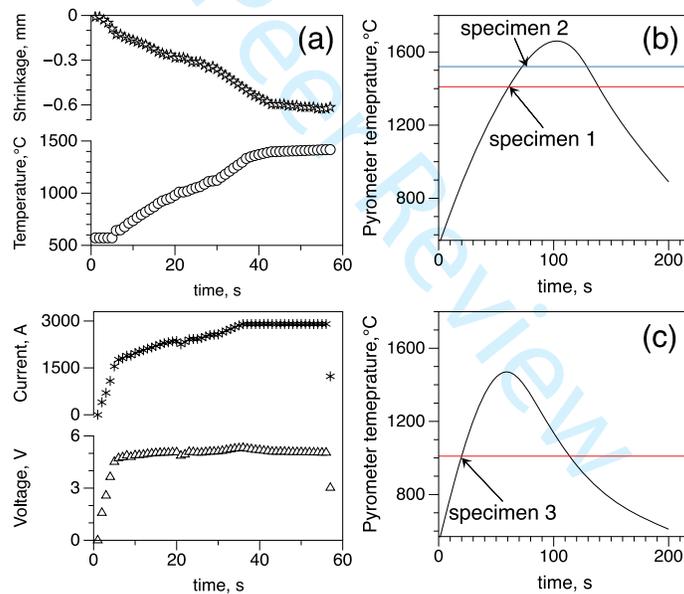
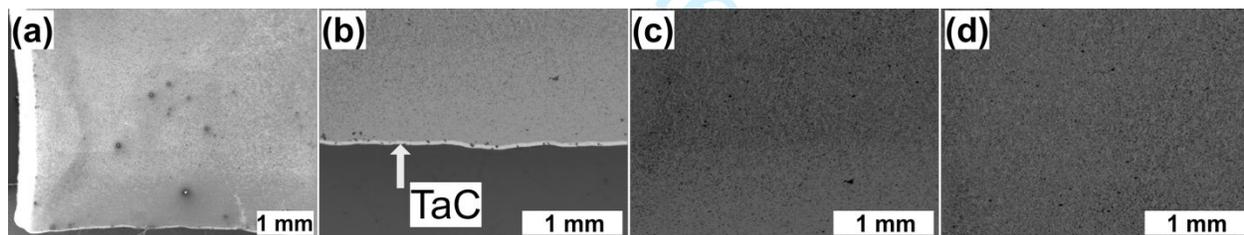


Figure 6. Variation in shrinkage, temperature, current and voltage during flash SPS of LaB₆. Note the quasi-linear dependence between the temperature and current. The maximum current was fixed at (a) 3000 A, (b) 2000 A, and (c) 2700 A. The

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3 horizontal lines are for the reference specimens stopped during heating that were
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6 used to understand the microstructure evolution.
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11 In order to understand the densification process during the flash SPS, we stopped the
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13 runs at selected temperatures (**Fig. 6**). **Figure 7** shows the cross-section of specimen
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15 #3 after the flash SPS at 1300 ± 20 °C. First, the tantalum carbide layer marks the top
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17 of the specimen, and, hence, the current flow is from the bottom to top. Second, there
18
19 is no reaction between the TaC and LaB_6 . Third, the specimen is being quickly
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21 densified only by ~ 500 μm inside the pre-consolidated specimen, while the mean
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23 grain size remained identical to the green specimen (5 ± 1 μm).
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41 **Figure 7.** Representative microstructures of lanthanum hexaboride consolidated
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43 using flash SPS at different locations on the specimen. Flash SPS process was
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45 stopped after reaching $\sim 1300\pm 20$ °C (see specimen 3 in **Fig. 6 (c)**). Current flow is
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47 from the bottom to top. Images are taken in the BSE mode. The 20–25 μm thick
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49 tantalum carbide layer that originated from the green-specimen processing can be
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51 seen as a white continuous strip. No reaction between Ta or TaC with LaB_6 was
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3 noticed during processing. The thickness of the green specimen was approximately
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5 10 mm, and at an $\sim 500 \mu\text{m}$ distance from the top surface a more dense microstructure
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7 was observed. The mean grain size was unchanged at $5 \pm 1 \mu\text{m}$. The black areas are
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9 pores or dirt introduced during polishing of the specimen.
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17 With an increase in the flash temperature, **Figure 8**, a peculiar grain-size evolution
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19 is noted while observing the specimen. First, there is a distinct coarse grain area
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21 without any observed porosity (see **Fig. 8 (b,c)**). Second, it was noted that the size
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23 and the shape of the grains are completely random, although, in all cases, exceeding
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25 $100 \mu\text{m}$. In some reports about hexaborides [8,11,14], there are distinctive findings
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27 that the cubic-like grains can be preserved even in bulk specimens. There are then
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29 areas of void-like cavities, which may originate due to the grain rearrangement
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31 during fast densification. As the volume is still somehow constrained, the particle
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33 accommodation, such as formation of a brick-style wall can be expected. Finally,
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35 after reaching $\sim 3 \text{ mm}$ inside the specimen the grain size and porosity become
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37 constant. There is a slight increase in the grain size ($9 \pm 2 \mu\text{m}$), if one completely
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39 removes the 3-mm layer by polishing, and the relative density of the specimen
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41 exceeded 98 % of the TD. These suggested that some of the black areas observed in
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52 (j) are grain-pull outs and not the pores.
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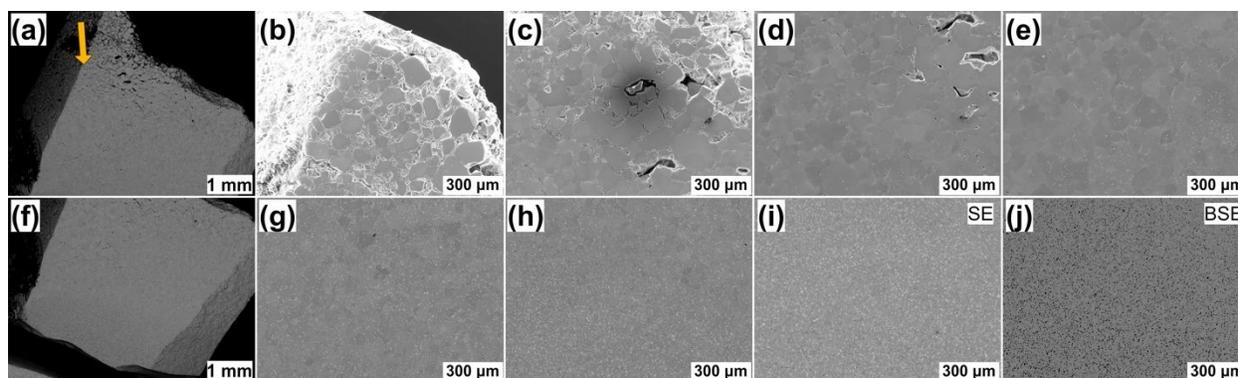


Figure 8. Representative microstructures of lanthanum hexaboride consolidated using flash SPS at different locations on the specimen. The flash SPS process was stopped after reaching $\sim 1410 \pm 10^\circ\text{C}$. Current flow is from the top to bottom as indicated by the arrow in (a). Macroscopic images are taken in the BSE mode. The thickness of the green specimen was 8 mm. The black areas in (j) are pores or pull-outs introduced during polishing of the specimen.

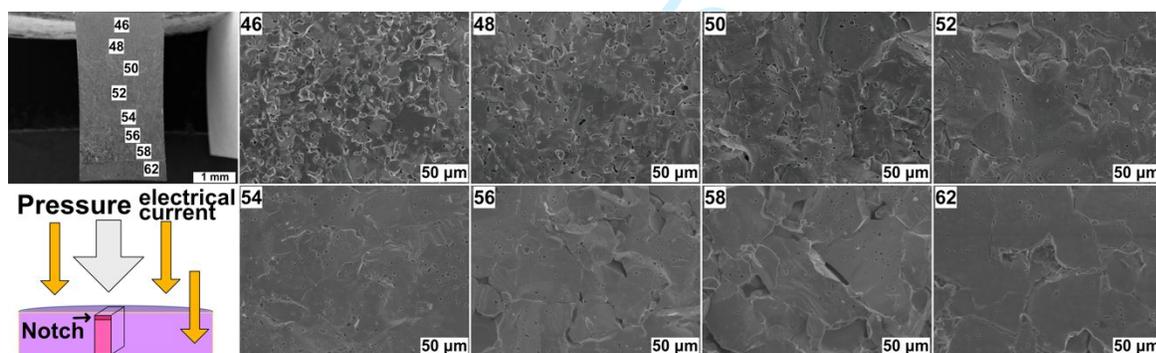
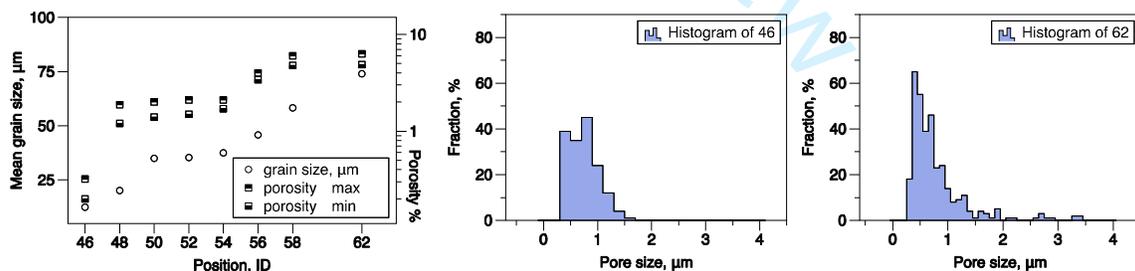


Figure 9. Representative microstructures of lanthanum hexaboride consolidated using flash SPS at $1480 \pm 10^\circ\text{C}$ at different locations on the specimen. Internal SEM numbering was used. This is a half-bar after the flexural test to determine the fracture toughness at room temperature.

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3 A further increase in the temperature leads to a dense specimen, and the large-sized
4 area becomes localized. **Figure 9** shows a specimen after the room-temperature
5 fracture toughness test that originated from the 7-mm thick green specimen. The
6 flash SPS was stopped at $1480 \pm 5^\circ\text{C}$. After cooling the specimen was crack-free, and
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Figure 10. Mean grain size and total porosity for lanthanum hexaboride consolidated using flash SPS at different locations on the specimen. Numbers are the same as in **Fig 9**. (b) and (c) show the histograms for the pore-size distribution at different ends of the specimen.

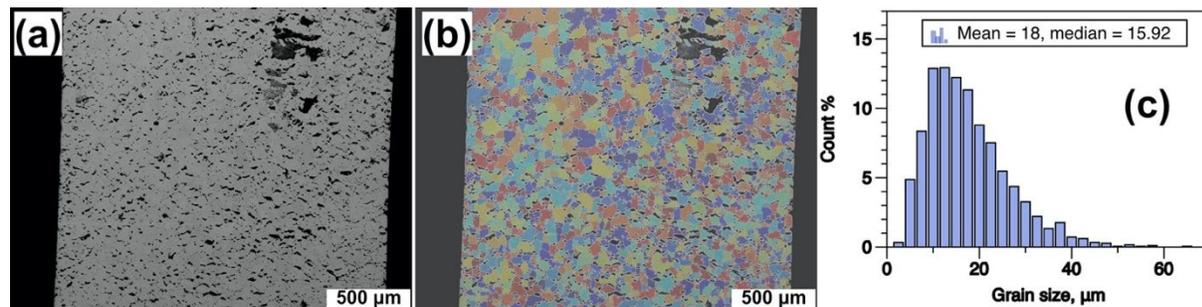


Figure 11. Representative microstructure of lanthanum hexaboride consolidated using flash SPS at $\sim 1500 \pm 10^\circ\text{C}$. Current flow is from the top to bottom. The thickness of the green specimen was 5 mm. (a) image is taken in the BSE mode. (b) image shows the algorithm for evaluation of the grain size using a self-learning engine. (c) provides a grain size distribution for the specimen. The black areas in (a) and (b) are grain pullouts during polishing or voids associated with fast grain-growth and related accommodation in the enclosed volume.

Figure 11 shows the top surface of the bulk LaB_6 using a thinner green specimen (5 mm) and flash SPS was stopped at $1500 \pm 10^\circ\text{C}$. The current flow was from the top to bottom. One can see that similar to **Fig. 9** there were a lot of pull-out voids, while the mean grain size remained below $20 \mu\text{m}$. Finally, after optimization of the processing, we were able to produce a fine-grained specimen using the flash SPS.

Figure 12 shows the typical microstructure for such an attempt. As expected, the X-ray diffraction results showed (**Fig. 13**) that only LaB_6 peaks were detected. The

lattice parameter remained constant ($a = 4.156 \text{ \AA}$) for the powder, SPSeD or FSPSeD specimens.

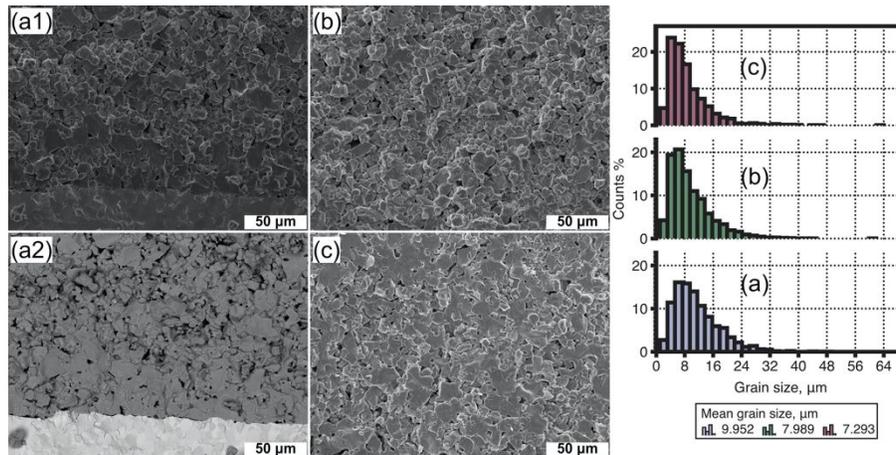
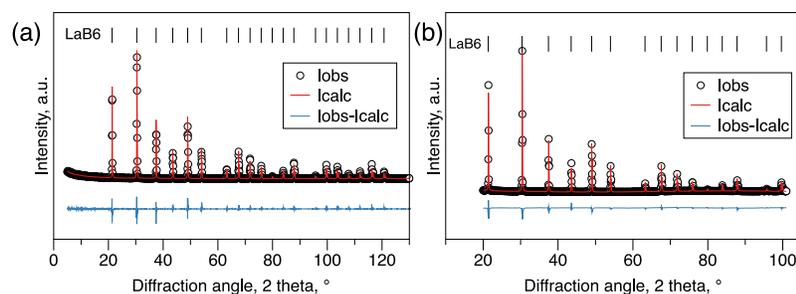


Figure 12. Representative microstructures of lanthanum hexaboride consolidated using flash SPS at $\sim 1500 \pm 20^\circ\text{C}$. Current flow is from the bottom to top. The thickness of the green specimen was 6 mm. (a2) image is taken in the BSE mode. The 30- μm thick tantalum carbide foil originated from the green-specimen processing can be seen as a white continuous strip in (a2). Right side shows grain size distribution for SEM images observed 300 μm from each other. Relative density of the specimen was $98.7 \pm 0.2\%$ of the theoretical density.



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3 **Figure 13.** Diffraction pattern of the lanthanum hexaboride specimen (a) before
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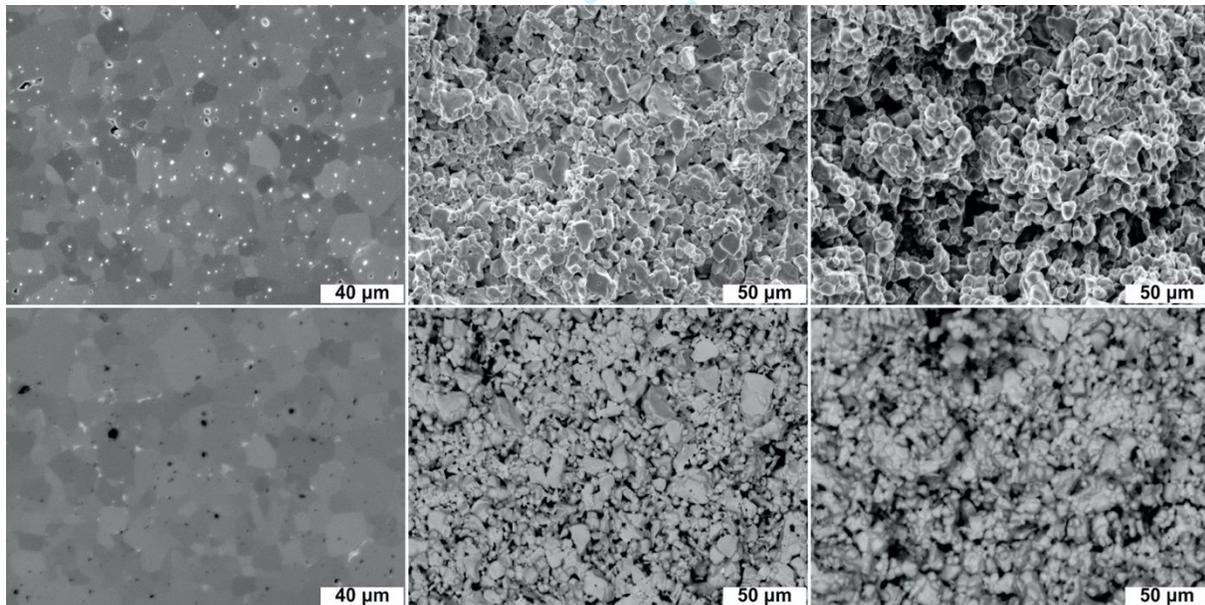
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11 Structure evolution during the flash SPS might suggest that lanthanum hexaboride
12 will instantly densify as a result of the direct heating. The specimen quickly
13 (instantly) densifies, but as we reach a temperature saturation point during the FSPS,
14 overheating and grain growth occurs. The kinetics of the grain growth cannot be
15 understood at the moment as it may be directly connected to the temperature, and
16 temperature propagation in a short time slot which is limited (or constrained) by
17 thermal diffusion of the LaB_6 . As already noted, when heated, the LaB_6 may act as
18 a source of electrons or plasma discharges. Due to a difference in resistivity of the
19 porous and bulk LaB_6 [16], local overheating is quite plausible. Using the approach
20 of Raitchenko [35] described in [37] for YSZ, one can estimate that for LaB_6 at
21 1600 °C a maximum temperature gradient should lie within 300–350 °C. Data
22 regarding the resistivity, thermal capacity, and specific heat capacity are taken from
23 [16,5,38]. Here it is important to stress the short processing time during the FSPS.
24 Note that the melting was not observed during any of the FSPS runs. **During the**
25 **microstructure evaluation, we did not encounter any grains that had resemblance to**
26 **the solution-precipitation process characteristic for the liquid phase sintering. On the**
27 **contrary, a large number of pores that were entrapped inside the grains indicate that**
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3 gases were entrapped during ultra-fast consolidation. Using our previous estimate
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5 for a 100 °C [21] minimum difference between the probe temperature at the graphite
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7 foil and sample temperature, one can presume that 1500°C can be at most viewed at
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9 1950°C. Furthermore, we did not observe such coarse grains during the regular SPS
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11 (Fig. 14), while specially performed runs at 2000 °C without a dwell would result in
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13 the grain sizes of 40–70 μm as presented in (Fig. 15). We also observed that for
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15 specimens prepared at 2000 °C by SPS, the pores were predominantly located near
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17 or at the grain-boundaries, and their size was ~1 μm. One may also notice that the
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19 specimens after heating at 2000 °C were severely cracked either at the specimen
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21 center (Fig. 15 (a)) or at the edge (Fig. 15 (b)). These features were not observed in
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23 Figure 8, suggesting that the coarse grains can be obtained by instant overheating.
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25 Another peculiarity of the top side in Fig. 8 is that the coarse and less-coarse grains
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27 are well accommodated like a brick-wall prototype. As pores are absent for such
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29 coarse grains, we cannot hypothesize the exact mechanism of local
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31 densification/grain growth, thus further studies are required.
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43 Lafferty [4] summarized the key points on the use of LaB₆ as a cathode material at
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45 elevated temperatures regarding the diffusion processes. Because the surface is
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47 significantly involved, it was summarized that lanthanum on the LaB₆ surface is
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49 replaced, and a vacancy occurs at a faster rate compared to the ability of the
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51 lanthanum to evaporate from the LaB₆. Obviously, the evaporation rate, as well as
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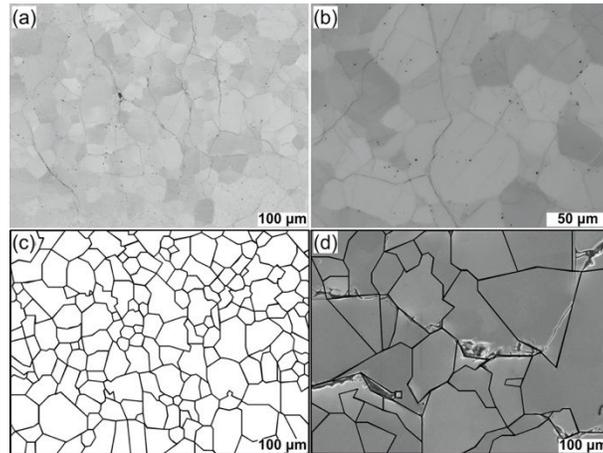
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3 the emissivity, have different rates from different crystallographic orientations on
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5 the surfaces [1–3,39]. According to Lafferty [4] the average lifetime of a lanthanum
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7 atom on the LaB_6 surface is five minutes at 1500 °C, while the average replacement
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9 time for this atom is only six seconds. With an increase in the temperature and time,
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11 the emission would decrease due to the inadequate rates of the alkaline-earth metals
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13 through the boron framework. At the temperature of 1200 °C, the emission cannot
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15 be instantly activated, due to the slow diffusion of La in the boron framework. Based
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17 on these observations, one can hypothesize that the shape of the heating curve during
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19 the flash experiments should be at some point connected to the diffusivity of La in
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21 the boron framework, since it is a common observation that hexaborides would
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23 essentially have a local non-stoichiometry as LaB_{6-x} [1]. These LaB_{6-x} crystals would
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25 have a deviation in the lattice parameter as well as in the color ranging from violet
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27 to dark blue. The polished surface presented in **Fig. 8** had an even, non-changing
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29 mild violet color or light purple color (color gradation depended on the light source,
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31 under the natural lighting conditions, the color was similar to that used on the
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33 Tohoku University logo), and the same was true for the outer surface of the bar
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35 presented in **Fig. 9**. The macroscopic observations do not directly imply that the
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37 chemistry of the grains, would not be different from another taking into account the
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39 observations made for the cathodes [4]. Note that creep deformation, the heating
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41 process or the diffusion is always non homogeneous. For this reason, in practical
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3 applications, the majority of the processes is slow. Hence, one should consider the
4 artifacts of the gradient in the grain-size (**Figs. 8, 9**) as the incomplete process or a
5 stage of the ongoing process, as similar observation were made for HfB_2 , where the
6 coarse grains were imbedded into the fine-grained matrix [21]. Strangely enough,
7 this structure does not seem to have a direct impact on the strength or toughness at
8 room-temperature. The bar presented in **Fig. 9** showed an adequate toughness (3.05
9 $\text{MPa m}^{1/2}$) at room-temperature. With that in mind, one can presume that if the
10 appearance of coarse grains cannot be controlled during the FSPS or similar high-
11 rate heating techniques, this part of the specimen can be just removed by polishing.
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51 **Figure 14.** Representative microstructures of lanthanum hexaboride consolidated
52 using SPS at $1700\text{ }^{\circ}\text{C}$ and pressure of 40 MPa . (a,d) polished surface, black areas in
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3 (d) are pores and pull-out grains. (b,e) and (c,f) are fractured at 1600 °C and 1800 °C,
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27 **Figure 15.** Representative microstructures of lanthanum hexaboride consolidated
28 using SPS at 2000 °C and pressure of 50 MPa. Specimens were heated and cooled
29 at the rate of 100°C/min. Dwell was not used. (a) is for the specimen center, while
30 (b) was observed at the specimen edge. In both cases, the top polished surface was
31 used. Images are taken in the BSE mode. (c) and (d) show typical grain-boundary
32 shape using the self-learning engine. (c) for the SPS at 2000°C using (a); and (d) for
33 the FSPS (**Fig. 8 (d)**) using identical magnification.
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48 **3.3. Mechanical properties of LaB₆ bulks**

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51 The hardness of the LaB₆ using a 96 N load was 16.3±0.4 GPa. At the lower load of
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53 9.6 N, the hardness data showed a more profound deviation of 17.2±1.8 GPa,
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3 however, the grain size or density had a weak or no effect on the hardness. Sonber
4 et al. reported a hardness of 20.34 ± 1 GPa using a 100g-force load [9]. Otani et al.
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6 reported the room temperature hardness of LaB_6 to be around 20 GPa using a 200g-
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8 force load [40]. In [40], the hardness decreased with an increase in the temperature.
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10 Zhou et al. reported the hardness of LaB_6 as 22.3 GPa using a 1 kg load [9]. Loboda
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12 et al. reported the microhardness (1.5 N load) and nano-hardness of LaB_6
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14 monocrystals as 22.6 GPa and 28 ± 0.3 GPa, respectively [41].
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18 To the best of the authors knowledge, the fracture toughness of the bulk LaB_6 was
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20 reported only by Sonber et al. [9]. They evaluated the fracture toughness by the
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22 indentation method using a 10kg-force load. They summarized their toughness
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24 findings as 3.02 ± 0.5 MPa $\text{m}^{1/2}$.
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28 In this study, we evaluated the toughness by the flexural method. The average room
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30 temperature toughness of 3.2 ± 0.3 MPa $\text{m}^{1/2}$ was observed. With an increase in the
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32 temperature we observed (see *Fig. S1*) a decrease in toughness to the level of 1.8
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34 MPa $\text{m}^{1/2}$ at 1200 °C. Tests at 1600 °C showed that the toughness reached a level of
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36 1.3 ± 0.1 MPa $\text{m}^{1/2}$, and does not depend on the specimen thermal history. It has been
37
38 previously reported for the LaB_6 - MeB_2 eutectics that the plasticity of LaB_6 should
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40 contribute to its strengthening [12,13]. Despite observing a plasticity on the load-
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42 displacement curves for the toughness or strength tests above 1600 °C, we observed
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44 a decrease in the strength and toughness.
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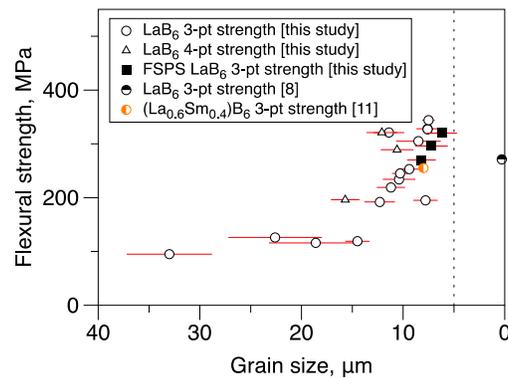


Figure 16. Effect of grain size on flexural strength for LaB₆ at room temperature. Grain size was measured for every individual bar using optical microscopy and SEM. A total of 20 bars originating from different specimens were measured. The FSPS-ed specimens are depicted as closed squares. The four-point flexural tests data are presented with an open triangles. Vertical dashed line shows the mean size of the starting powder.

The flexural strength at room temperature was reported by Zhou et al. [8] and Arabei et al. [10]. The latter study reported the strength of 126 MPa for specimens with a porosity of 3–5%, but the grain size was not reported. We summarized the results of our study for the flexural strength of the LaB₆ bulks as a function of the grain size in **Figure 16**. One can see that there is a certain Hall-Petch-like dependence on the flexural strength when the grain size changes from 8 to 20 μm. We selected two SPS conditions that yielded the highest and mean flexural strength at room temperature

to perform the high-temperature flexural tests. These attempts are summarized in **Figure 17** [12,13]. Because of a limited amount of specimens, the actual tests values are presented.

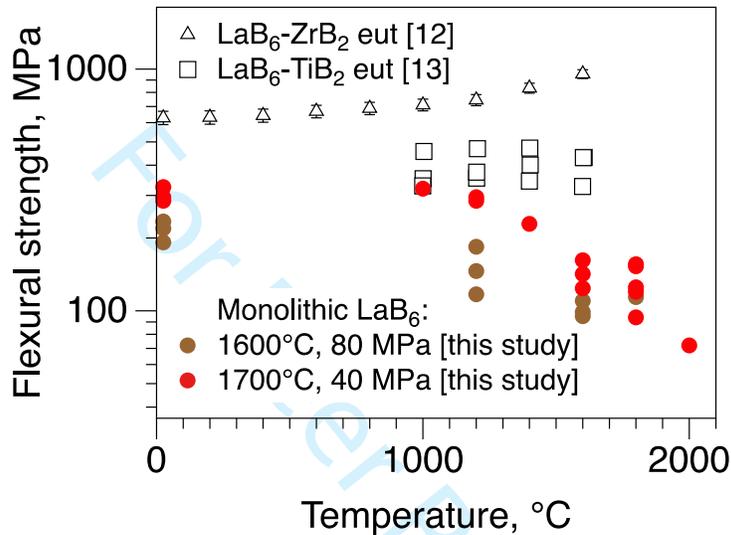


Figure 17. Effect of temperature on the flexural strength of lanthanum hexaboride and selected LaB₆-based composites [12,13].

Only the data for the LaB₆-based eutectics are available for direct comparison with the LaB₆ bulks. These studies showed either a constant increase in strength [12,13] or a slight decrease after reaching 1600 °C. In our study, we observed a slight or constant strength up to 1200 °C, while a further increase in the temperature up to 1600 °C leads to a decrease in the strength to 120±40 MPa. Tests at 1800 °C

suggested that the strength remains around the 80–120 MPa, while the single test at 2000 °C suggested that the strength further drops to 68 MPa.

The strain-stress curves (see **Fig. S2**) suggested that the lanthanum hexaboride shows a typical plastic deformation curve. Hence, tests at 1800 °C and 2000 °C were performed using a 3 mm/min loading rate. In [12,13], it was suggested that the increase in strength can be attributed to the plasticity of the LaB₆ matrix or diboride inclusions. In the present study, we see the opposite effect; with a significant plasticity at 1600 °C, the flexural strength rapidly decreased. Fracture was mainly transgranular at the elevated temperatures (**Fig. 14**), while some large-sized grains fractured in a transgranular manner.

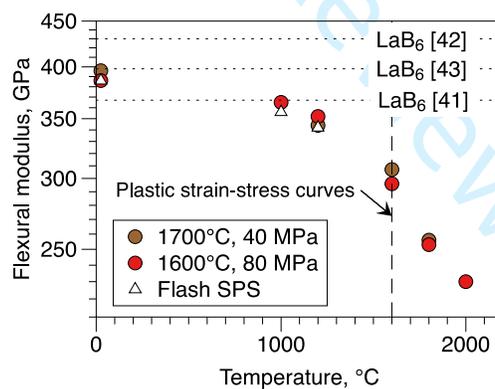


Figure 18. Effect of temperature on the flexural modulus of lanthanum hexaboride between 25 °C and 2000 °C. Horizontal dot-lines are data for polycrystalline [42] and monocrystalline [41] specimens by the nanoindentation method.

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3 **Figure 18** summarizes the flexural modulus data determined using the linear portion
4 of the strain-stress curves, and data by Loboda et al. [41] and Wu et al. [42] from the
5 nano-hardness. Samsonov et al. [43] reported modulus of 398 GPa for bulk LaB₆.
6 At room temperature, we observed modulus values between 380 and 418 GPa, and,
7 as one may expect, the elastic modulus can be approximated as a function of the
8 porosity as $E = 414.9 \exp(-0.226 \cdot \text{porosity})$ with the R² value of 0.874. With an
9 increase in the temperature, we observed a decrease in the modulus, while after
10 1600 °C the decrease in the elastic modulus was more noticeable, as the values for
11 1800 °C are 255±6 GPa suggesting a 40% decrease in the modulus.

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27 Considering that strength at room temperature or elastic moduli (**Figs. 16 and 18**)
28 for the LaB₆ specimens after the SPS or FSPS are within a margin of error, one
29 should recall that the FSPS processing may offer a significant time or energy savings
30 [18]. Obviously, one cannot ignore the coarse grains on the overheated side of the
31 FSPSed specimen (such as in **Figs. 8 or 9**). We may only suggest to remove such an
32 area by grinding if the LaB₆ specimen with a homogenous grain size is required.
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46 Conclusions

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48 In summary, we show that lanthanum hexaboride bulks can be consolidated to their
49 theoretical density consolidated using spark-plasma sintering while using a
50 relatively short dwell time. An alternative method of direct heating a porous sample
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3 using SPS in the flash regime allows to further decrease the time of consolidation
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6 within hundreds of seconds. The flash spark plasma sintering process is
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9 accompanied by local coarsening of the LaB₆ to 200–300 μm, which is believed to
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11 be a direct consequence of the self-heating of the ceramic, including the possibility
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13 of local electron plasma ignition. Isothermal kinetics using regular SPS allowed us
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16 to determine the activation energy for sintering as 150±30 kJ/mol.
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19 This is the first study to show the high-temperature strength or toughness of LaB₆.
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21 The bulk LaB₆ was shown to have a relatively low fracture toughness of 3.2±0.3
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23 MPa m^{1/2} at room temperature and relatively high hardness of 16.3±0.4GPa. The
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25 flexural strength had a Hall-Petch-like dependence on the grain size and varied from
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27 90 MPa to 330 MPa. With an increase in the temperature, both the toughness and
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29 strength showed a gradual decrease to 1.3±0.1 MPa m^{1/2} and 120±40 MPa at
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31 1600 °C, respectively. Above 1600 °C, we observed a plastic-like deviation on the
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33 strain-stress curves suggesting that initiation of the plastic deformation leads to a
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35 decrease in the strength, toughness and elastic modulus.
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50
51 providing access to the evaluation of high-temperature strength, this study would not
52
53 be as complete otherwise. D.D. expresses his gratitude to Dr. Byung-Nam Kim
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(NIMS) for his comments on the structure evolution during the flash spark plasma sintering.

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Figure caption

Figure 1. Effect of temperature and dwell on relative density the LaB_6 using the constant pressure of (a) 40 MPa, (b) 60 MPa, and (c) 80 MPa.

Figure 2. Relationship between logarithm of porosity and time for LaB_6 compacts SPSed at various temperatures using the constant pressure of (a) 40 MPa, (b) 60 MPa, and (c) 80 MPa.

Figure 3. Arrhenius dependence of logarithm of viscosity evaluated for LaB_6 during spark plasma sintering using the constant pressures of 40, 60, and 80 MPa.

Figure 4. Effect of temperature on the evaluated viscosity of the LaB_6 using different pressures.

Figure 5. Grain size as a function of the relative density during isothermal dwell runs using spark plasma sintering. We present the temperature for the isothermal dwell in the case of extremely coarse grains.

Figure 6. Variation in shrinkage, temperature, current and voltage during flash SPS of LaB_6 . Note the quasi-linear dependence between the temperature and current. The maximum current was fixed at (a) 3000 A, (b) 2000 A, and (c) 2700 A. The horizontal lines are for the reference specimens stopped during heating that were used to understand the microstructure evolution.

Figure 7. Representative microstructures of lanthanum hexaboride consolidated using flash SPS at different locations on the specimen. Flash SPS process was

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3 stopped after reaching $\sim 1300 \pm 20^\circ\text{C}$ (see specimen 3 in **Fig. 6 (c)**). Current flow is
4 from the bottom to top. Images are taken in the BSE mode. The 20–25 μm thick
5 tantalum carbide layer that originated from the green-specimen processing can be
6 seen as a white continuous strip. No reaction between Ta or TaC with LaB_6 was
7 noticed during processing. The thickness of the green specimen was approximately
8 10 mm, and at an $\sim 500 \mu\text{m}$ distance from the top surface a more dense microstructure
9 was observed. The mean grain size was unchanged at $5 \pm 1 \mu\text{m}$. The black areas are
10 pores or dirt introduced during polishing of the specimen.
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Figure 8. Representative microstructures of lanthanum hexaboride consolidated
25 using flash SPS at different locations on the specimen. The flash SPS process was
26 stopped after reaching $\sim 1410 \pm 10^\circ\text{C}$. Current flow is from the top to bottom as
27 indicated by the arrow in (a). Macroscopic images are taken in the BSE mode. The
28 thickness of the green specimen was 8 mm. The black areas in (j) are pores or pull-
29 outs introduced during polishing of the specimen.
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Figure 9. Representative microstructures of lanthanum hexaboride consolidated
41 using flash SPS at $1480 \pm 10^\circ\text{C}$ at different locations on the specimen. Internal SEM
42 numbering was used. This is a half-bar after the flexural test to determine the fracture
43 toughness at room temperature.
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Figure 10. Mean grain size and total porosity for lanthanum hexaboride consolidated
51 using flash SPS at different locations on the specimen. Numbers are the same as in
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3 **Fig 9.** (b) and (c) show the histograms for the pore-size distribution at different ends
4 of the specimen.
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8 **Figure 11.** Representative microstructure of lanthanum hexaboride consolidated
9 using flash SPS at $\sim 1500 \pm 10^\circ\text{C}$. Current flow is from the top to bottom. The
10 thickness of the green specimen was 5 mm. (a) image is taken in the BSE mode. (b)
11 image shows the algorithm for evaluation of the grain size using a self-learning
12 engine. (c) provides a grain size distribution for the specimen. The black areas in (a)
13 and (b) are grain pullouts during polishing or voids associated with fast grain-growth
14 and related accommodation in the enclosed volume.
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27 **Figure 12.** Representative microstructures of lanthanum hexaboride consolidated
28 using flash SPS at $\sim 1500 \pm 20^\circ\text{C}$. Current flow is from the bottom to top. The
29 thickness of the green specimen was 6 mm. (a2) image is taken in the BSE mode.
30 The 30- μm thick tantalum carbide foil originated from the green-specimen
31 processing can be seen as a white continuous strip in (a2). Right side shows grain
32 size distribution for SEM images observed 300 μm from each other. Relative density
33 of the specimen was $98.7 \pm 0.2\%$ of the theoretical density.
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46 **Figure 13.** Diffraction pattern of the lanthanum hexaboride specimen (a) before
47 FSPS, and (b) after FSPS.
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51 **Figure 14.** Representative microstructures of lanthanum hexaboride consolidated
52 using SPS at 1700°C and pressure of 40 MPa. (a,d) polished surface, black areas in
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3 (d) are pores and pull-out grains. (b,e) and (c,f) are fractured at 1600 °C and 1800 °C,
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6 respectively.
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8 **Figure 15.** Representative microstructures of lanthanum hexaboride consolidated
9 using SPS at 2000 °C and pressure of 50 MPa. Specimens were heated and cooled
10 at the rate of 100°C/min. Dwell was not used. (a) is for the specimen center, while
11 (b) was observed at the specimen edge. In both cases, the top polished surface was
12 used. Images are taken in the BSE mode. (c) and (d) show typical grain-boundary
13 shape using the self-learning engine. (c) for the SPS at 2000°C using (a); and (d) for
14 the FSPS (**Fig. 8 (d)**) using identical magnification.
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27 **Figure 16.** Effect of grain size on flexural strength for LaB₆ at room temperature.
28 Grain size was measured for every individual bar using optical microscopy and
29 SEM. A total of 20 bars originating from different specimens were measured. The
30 FSPS-ed specimens are depicted as closed squares. The four-point flexural tests data
31 are presented with an open triangles. Vertical dashed line shows the mean size of the
32 starting powder.
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43 **Figure 17.** Effect of temperature on the flexural strength of lanthanum hexaboride
44 and selected LaB₆-based composites [12,13].
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49 **Figure 18.** Effect of temperature on the flexural modulus of lanthanum hexaboride
50 between 25 °C and 2000 °C. Horizontal dot-lines are data for polycrystalline [42] and
51 monocrystalline [41] specimens by the nanoindentation method.
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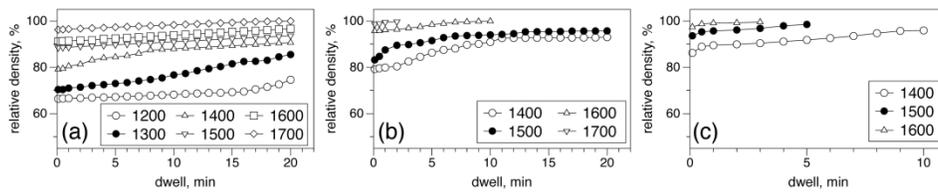


Figure 1. Effect of temperature and dwell on relative density the LaB₆ using the constant pressure of (a) 40 MPa, (b) 60 MPa, and (c) 80 MPa.

386x81mm (300 x 300 DPI)

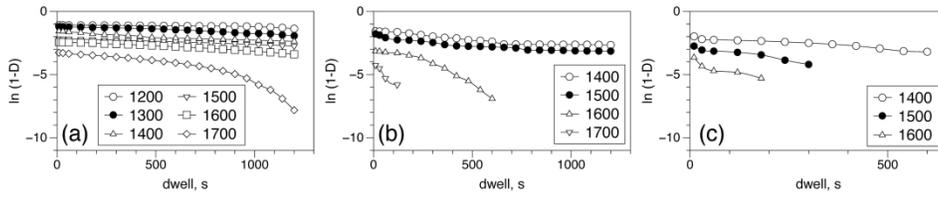


Figure 2. Relationship between logarithm of porosity and time for LaB₆ compacts SPSed at various temperatures using the constant pressure of (a) 40 MPa, (b) 60 MPa, and (c) 80 MPa.

386x81mm (300 x 300 DPI)

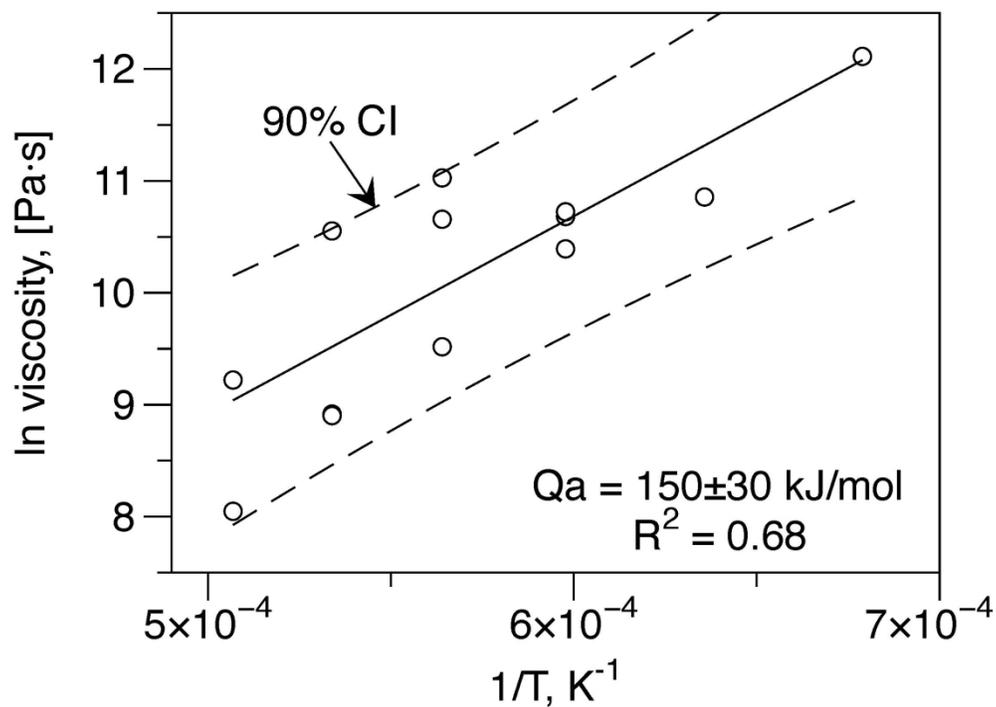


Figure 3. Arrhenius dependence of logarithm of viscosity evaluated for LaB₆ during spark plasma sintering using the constant pressures of 40, 60, and 80 MPa.

101x76mm (600 x 600 DPI)

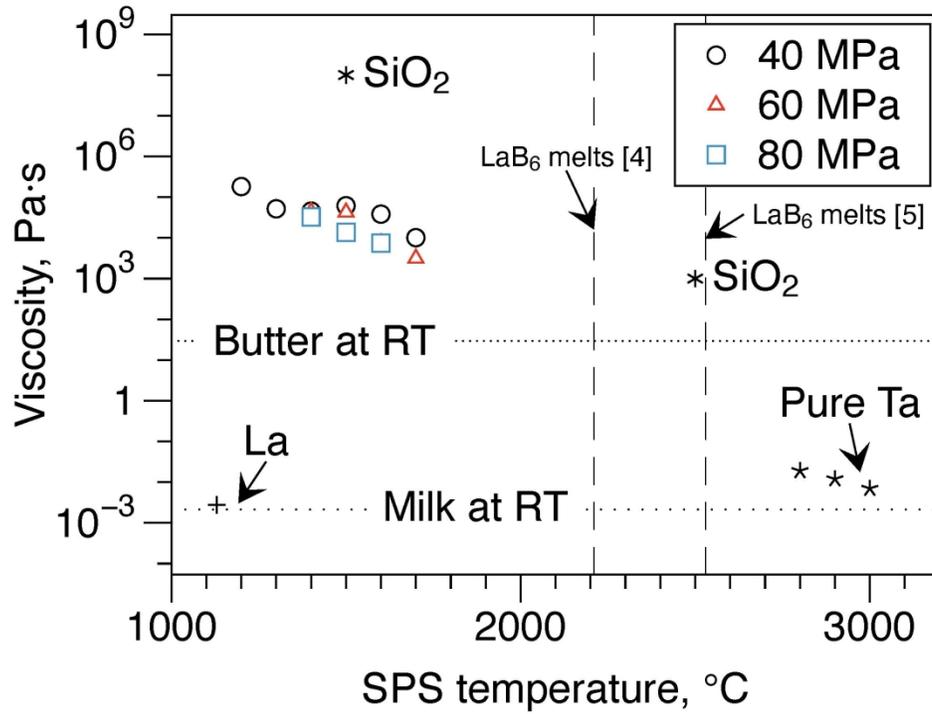


Figure 4. Effect of temperature on the evaluated viscosity of the LaB₆ using different pressures.

101x76mm (600 x 600 DPI)

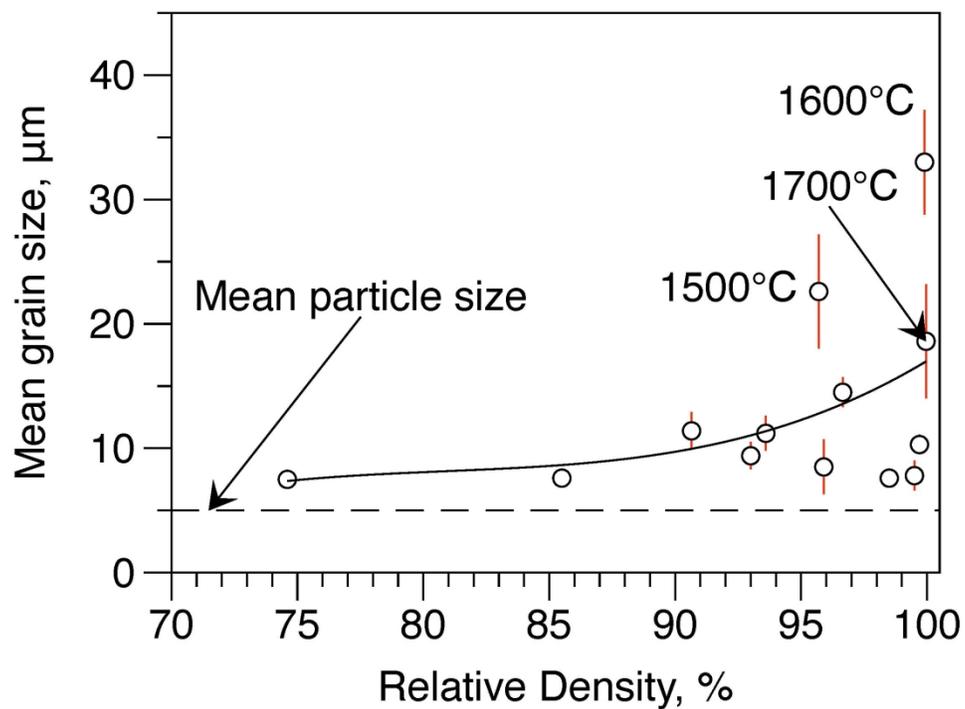


Figure 5. Grain size as a function of the relative density during isothermal dwell runs using spark plasma sintering. We present the temperature for the isothermal dwell in the case of extremely coarse grains.

101x76mm (600 x 600 DPI)

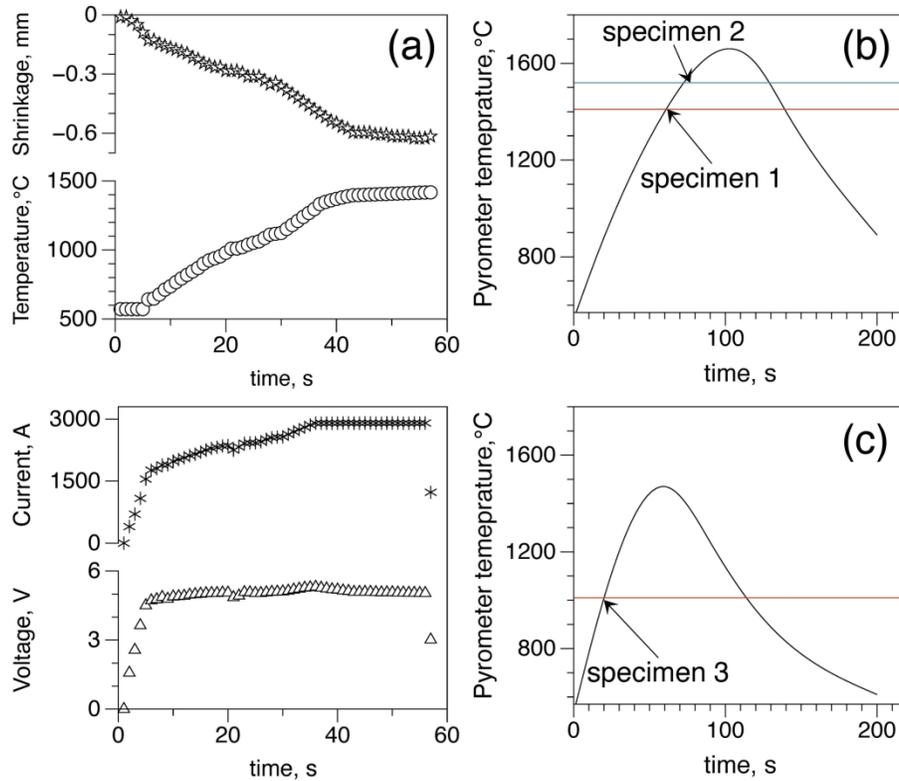


Figure 6. Variation in shrinkage, temperature, current and voltage during flash SPS of LaB_6 . Note the quasi-linear dependence between the temperature and current. The maximum current was fixed at (a) 3000 A, (b) 2000 A, and (c) 2700 A. The horizontal lines are for the reference specimens stopped during heating that were used to understand the microstructure evolution.

213x177mm (300 x 300 DPI)

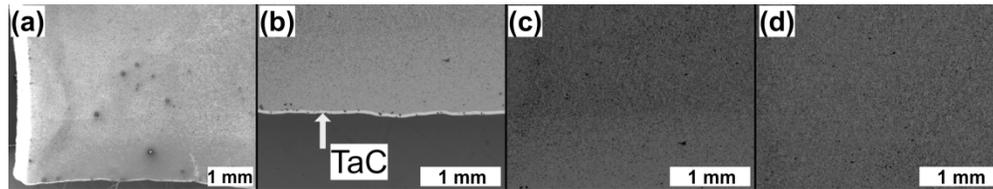


Figure 7. Representative microstructures of lanthanum hexaboride consolidated using flash SPS at different locations on the specimen. Flash SPS process was stopped after reaching $\sim 1300 \pm 20^\circ\text{C}$ (see specimen 3 in **Fig. 6 (c)**). Current flow is from the bottom to top. Images are taken in the BSE mode. The 20–25 μm thick tantalum carbide layer that originated from the green-specimen processing can be seen as a white continuous strip. No reaction between Ta or TaC with LaB_6 was noticed during processing. The thickness of the green specimen was approximately 10 mm, and at an $\sim 500 \mu\text{m}$ distance from the top surface a more dense microstructure was observed. The mean grain size was unchanged at $5 \pm 1 \mu\text{m}$. The black areas are pores or dirt introduced during polishing of the specimen.

326x60mm (300 x 300 DPI)

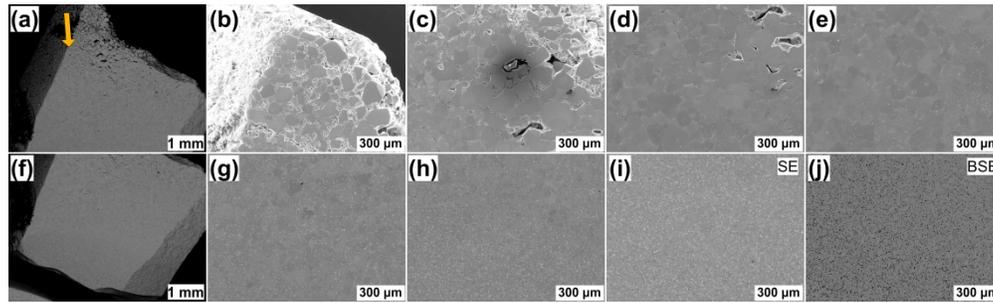


Figure 8. Representative microstructures of lanthanum hexaboride consolidated using flash SPS at different locations on the specimen. The flash SPS process was stopped after reaching $\sim 1410 \pm 10^\circ\text{C}$. Current flow is from the top to bottom as indicated by the arrow in (a). Macroscopic images are taken in the BSE mode. The thickness of the green specimen was 8 mm. The black areas in (j) are pores or pull-outs introduced during polishing of the specimen.

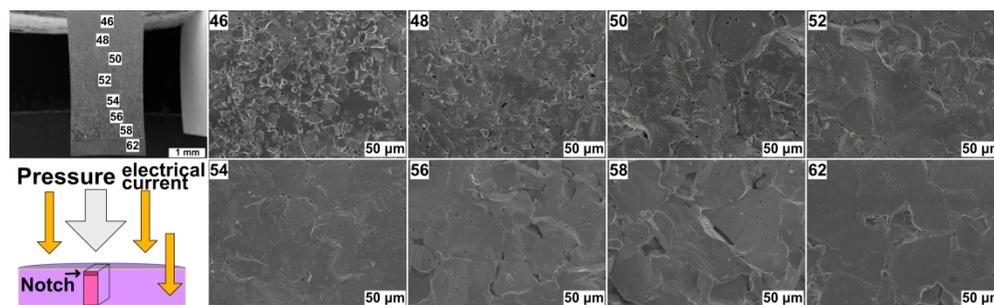


Figure 9. Representative microstructures of lanthanum hexaboride consolidated using flash SPS at $1480\pm 10^{\circ}\text{C}$ at different locations on the specimen. Internal SEM numbering was used. This is a half-bar after the flexural test to determine the fracture toughness at room temperature.

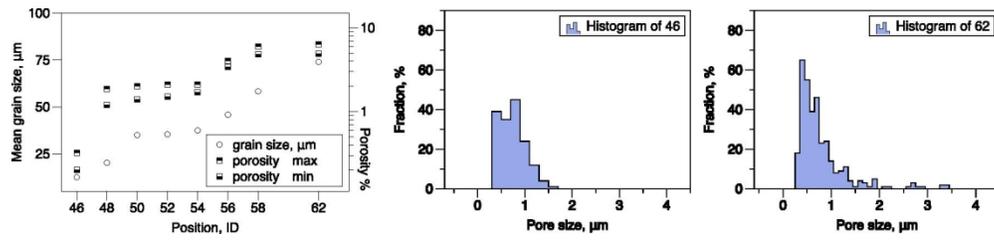


Figure 10. Mean grain size and total porosity for lanthanum hexaboride consolidated using flash SPS at different locations on the specimen. Numbers are the same as in **Fig 9.** **(b)** and **(c)** show the histograms for the pore-size distribution at different ends of the specimen.

76x17mm (600 x 600 DPI)

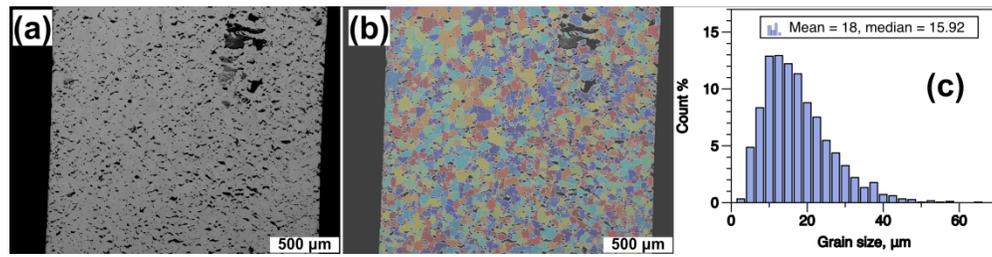


Figure 11. Representative microstructure of lanthanum hexaboride consolidated using flash SPS at $\sim 1500 \pm 10^\circ\text{C}$. Current flow is from the top to bottom. The thickness of the green specimen was 5 mm. (a) image is taken in the BSE mode. (b) image shows the algorithm for evaluation of the grain size using a self-learning engine. (c) provides a grain size distribution for the specimen. The black areas in (a) and (b) are grain pullouts during polishing or voids associated with fast grain-growth and related accommodation in the enclosed volume.

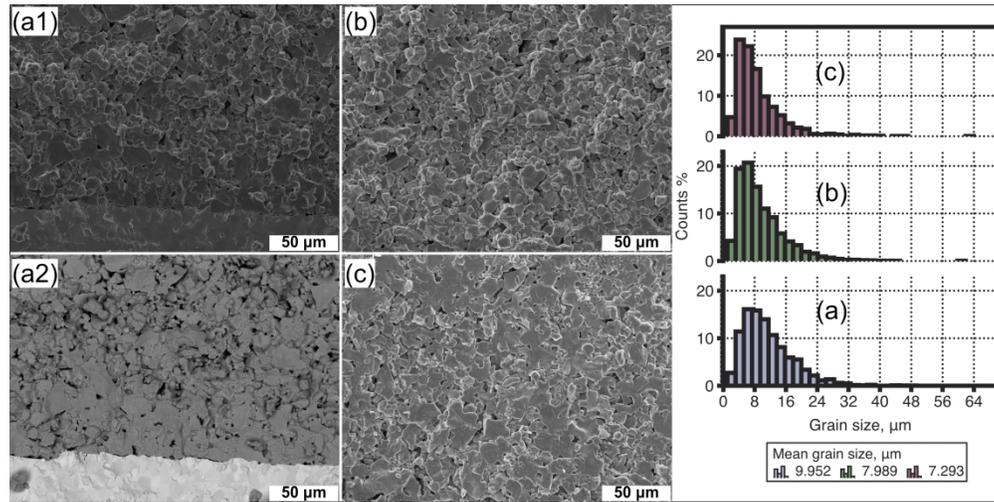


Figure 12. Representative microstructures of lanthanum hexaboride consolidated using flash SPS at $\sim 1500 \pm 20^\circ\text{C}$. Current flow is from the bottom to top. The thickness of the green specimen was 6 mm. (a2) image is taken in the BSE mode. The 30- μm thick tantalum carbide foil originated from the green-specimen processing can be seen as a white continuous strip in (a2). Right side shows grain size distribution for SEM images observed 300 μm from each other. Relative density of the specimen was $98.7 \pm 0.2\%$ of the theoretical density.

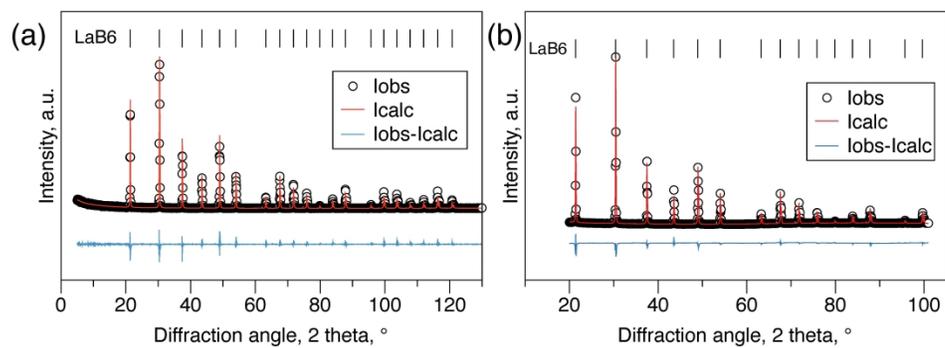


Figure 13. Diffraction pattern of the lanthanum hexaboride specimen (a) before FSPS, and (b) after FSPS.

211x81mm (600 x 600 DPI)

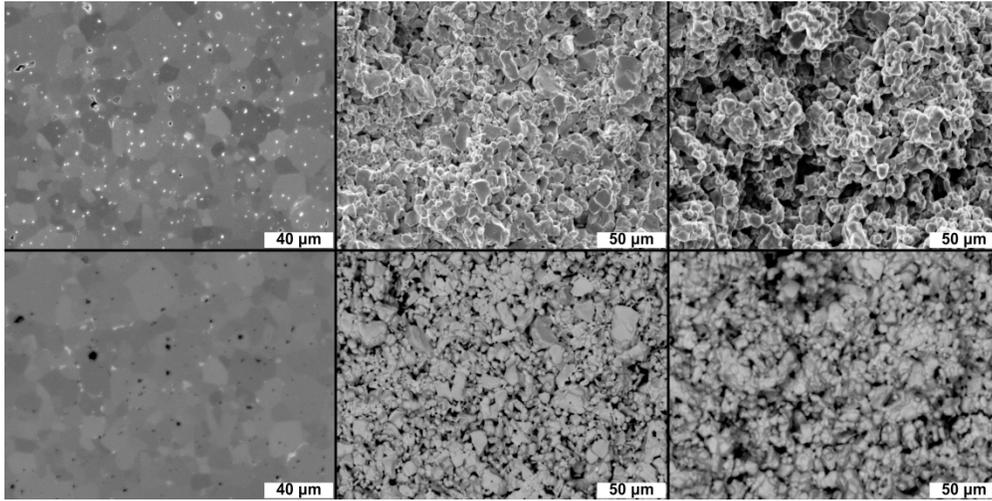


Figure 14. Representative microstructures of lanthanum hexaboride consolidated using SPS at 1700°C and pressure of 40 MPa. (a,d) polished surface, black areas in (d) are pores and pull-out grains. (b,e) and (c,f) are fractured at 1600°C and 1800°C, respectively.

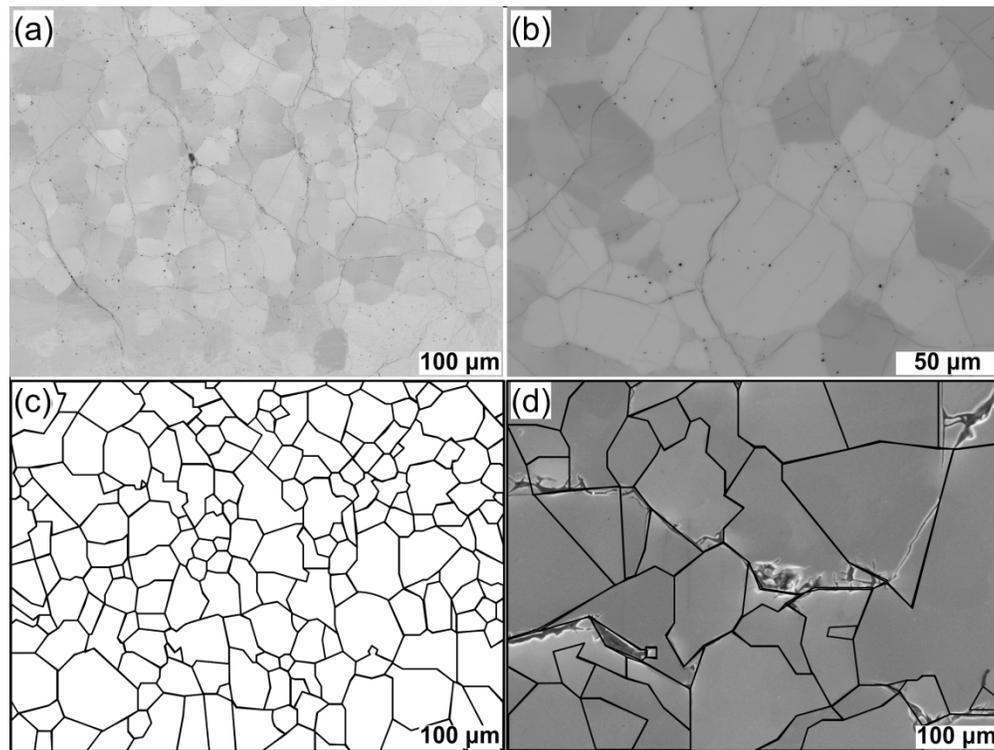


Figure 15. Representative microstructures of lanthanum hexaboride consolidated using SPS at 2000°C and pressure of 50 MPa. Specimens were heated and cooled at the rate of 100°C/min. Dwell was not used. (a) is for the specimen center, while (b) was observed at the specimen edge. In both cases, the top polished surface was used. Images are taken in the BSE mode. (c) and (d) show typical grain-boundary shape using the self-learning engine. (c) for the SPS at 2000°C using (a); and (d) for the FSPS (**Fig. 8 (d)**) using identical magnification.

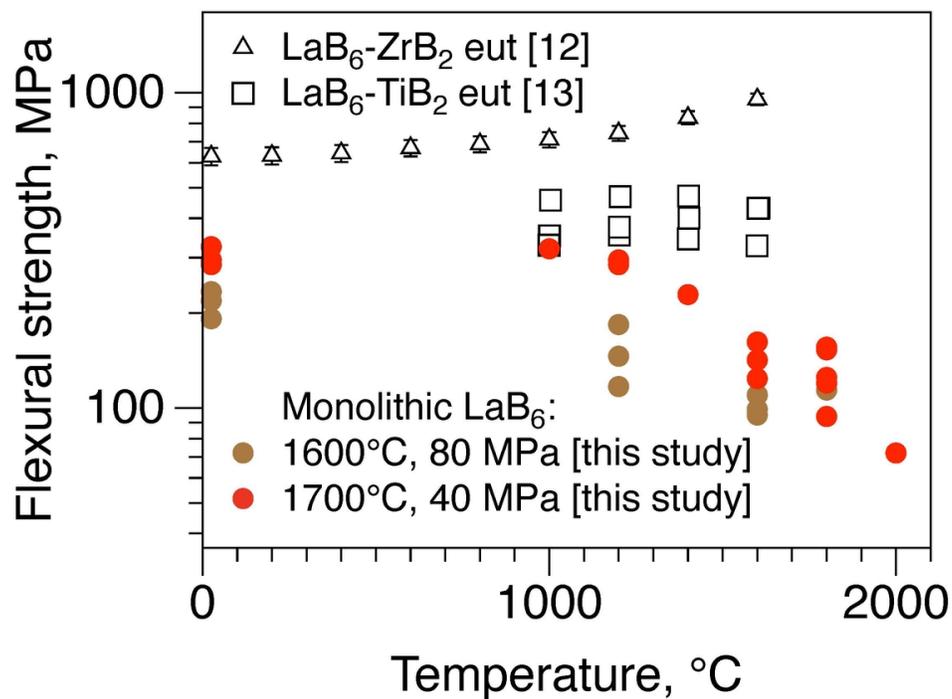


Figure 17. Effect of temperature on the flexural strength of lanthanum hexaboride and selected LaB₆-based composites [12,13].

101x76mm (300 x 300 DPI)

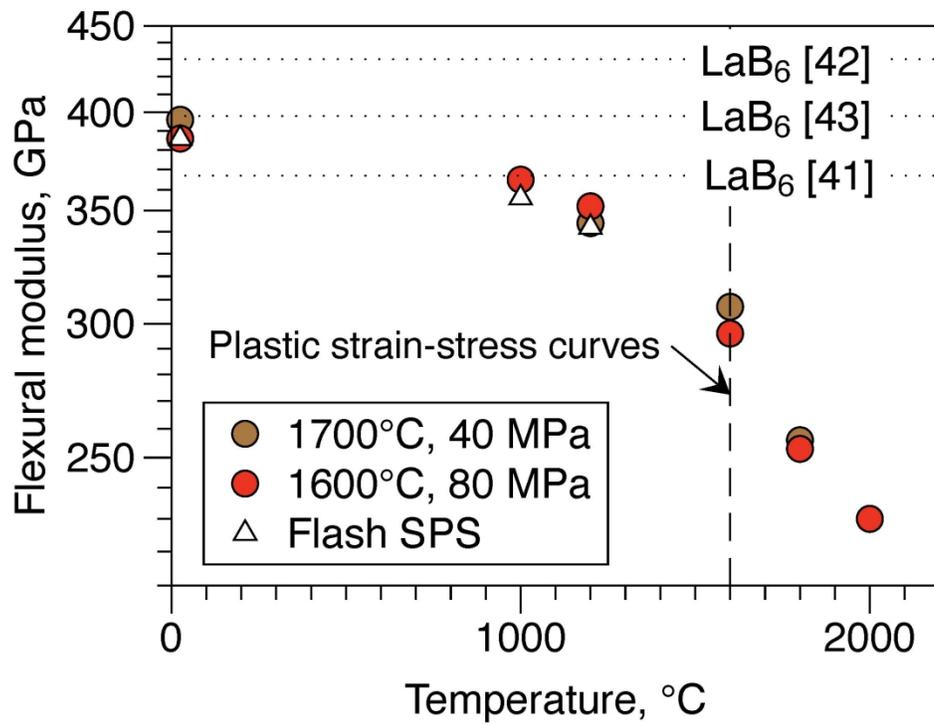


Figure 18. Effect of temperature on the flexural modulus of lanthanum hexaboride between 25°C and 2000°C. Horizontal dot-lines are data for polycrystalline [42] and monocrystalline [41] specimens by the nanoindentation method.

101x76mm (600 x 600 DPI)

Consolidation and high-temperature strength of monolithic lanthanum hexaboride

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Supplementary data.

In this appendix, the typical loading curves obtained during flexural tests in LaB₆ ceramics will be presented.

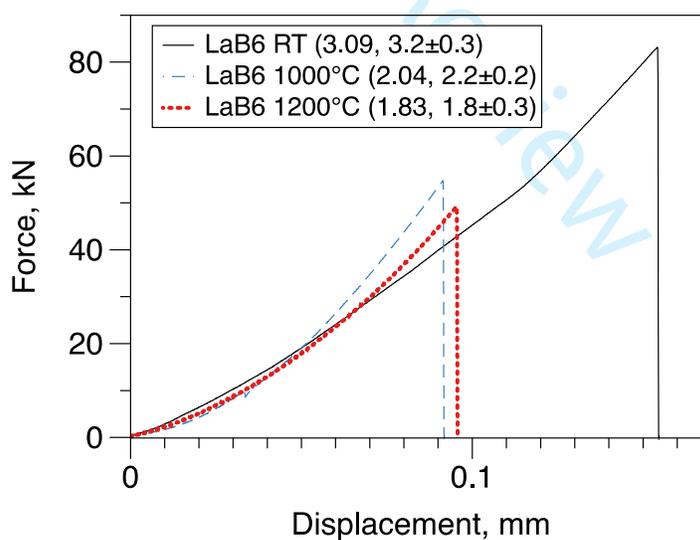
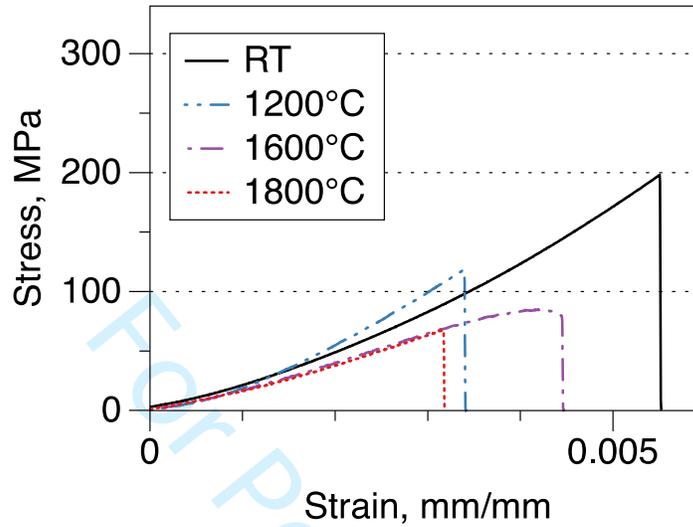


Figure S1. Effect of temperature on the fracture toughness of lanthanum hexaboride between 25 °C and 1200 °C. Numbers in brackets are the fracture toughness values

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3 for presented curves followed by a mean value with a standard deviation observed
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6 for all attempted specimens at this temperature.
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28 **Figure S2.** Typical strain-stress curves of lanthanum hexaboride bulks at different
29 temperatures. For 1800 °C, the 3 mm/min loading rate was used, while the other
30 tests were performed using a loading rate of 0.5 mm/min.
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