

1 **Characteristic deformation microstructure evolution and deformation**
2 **mechanisms in face-centered cubic high/medium entropy alloys**

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Abstract

Face-centered cubic (FCC) high/medium entropy alloys (HEAs/MEAs), novel multi-principal element alloys, are known to exhibit exceptional mechanical properties at room temperature; however, the origin is still elusive. Here, we report the deformation microstructure evolutions in a tensile-deformed $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ representative MEA and $\text{Co}_{60}\text{Ni}_{40}$ alloy, a conventional binary alloy for comparison. These FCC alloys have high/low friction stresses, fundamental resistance to dislocation glide in solid solutions, respectively, and share similar other material properties, including stacking fault energy. The $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA exhibited higher yield strength and work-hardening ability than in the $\text{Co}_{60}\text{Ni}_{40}$ alloy. Deformation microstructures in the $\text{Co}_{60}\text{Ni}_{40}$ alloy were marked by the presence of coarse dislocation cells (DCs) regardless of grain orientation and a few deformation twins (DTs) in grains with the tensile axis (TA) near $\langle 1\ 1\ 1 \rangle$. In contrast, the MEA developed three distinct deformation microstructures depending on grain orientations: fine DCs in grains with the TA near $\langle 1\ 0\ 0 \rangle$, planar dislocation structures (PDSs) in grains with other orientations, and a high density of DTs along with PDSs in grains oriented $\langle 1\ 1\ 1 \rangle$. Three-dimensional electron tomography revealed that PDSs in the MEA confined dislocations within specific $\{1\ 1\ 1\}$ planes, indicating suppression of cross-slip of screw dislocations and dynamic recovery. In-situ X-ray diffraction during tensile deformation showed a higher dislocation density in the MEA than in the $\text{Co}_{60}\text{Ni}_{40}$ alloy. These findings demonstrate that FCC HEAs/MEAs with high friction stresses naturally develop unique deformation microstructures which is beneficial for realizing superior mechanical properties compared to conventional materials.

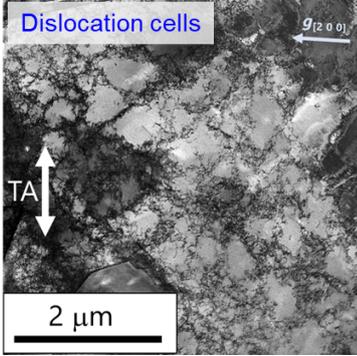
Keywords: High/Medium entropy alloys; Dislocation structure; Deformation twinning; Strain hardening; 3D characterization.

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Graphical abstract

Co₆₀Ni₄₀
($\sigma_0 = 52$ MPa)

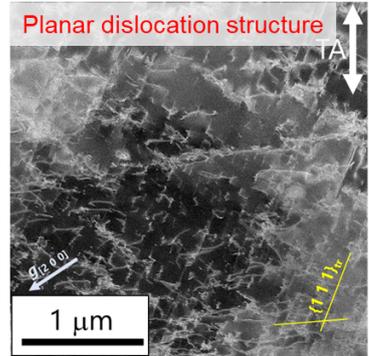
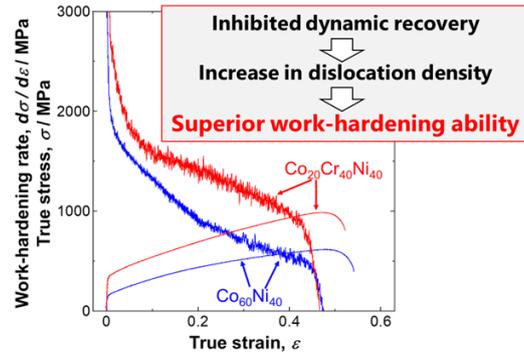
Low



← **Friction stress, σ_0** →
Similar

High **Co₂₀Cr₄₀Ni₄₀**
($\sigma_0 = 280$ MPa)

Stacking fault energy, Elastic modulus, etc.



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1. Introduction

Dislocations play an essential role in plastic deformation of metallic materials. If an applied shear stress is higher than the critical resolved shear stress (CRSS) of materials, dislocations start moving, resulting in macroscopic yielding. Furthermore, as deformation proceeds, dislocations and other crystal defects such as stacking faults and deformation twins (DTs) spontaneously form characteristic morphologies, so-called dislocation microstructures or deformation microstructures. Previous studies have shown that, with increasing strain, dislocation microstructures evolve into some distinct patterns depending on various factors such as crystal structure, activated slip systems (deformation modes), crystallographic orientation, stacking fault energy (SFE), and grain size. For example, Huang *et al.* have found that dislocation microstructures formed in individual grains of tensile-deformed pure Al [1] and Cu [2] (with face-centered cubic (FCC) structure) having coarse grain sizes could be classified into three types depending on the tensile axis (TA) orientation of the grains: (Type I) extended and straight dislocation boundaries along $\{1\ 1\ 1\}$ planes; (Type II) randomly oriented dislocation cell boundaries defining a three-dimensional cell structure; (Type III) a structure similar to (I) but having extended boundaries deviating from $\{1\ 1\ 1\}$ planes. This classification was found to be consistent with deformation microstructures observed in single-crystals having similar orientations. It was also found that Type II structure could be more elongated and aligned along TA with decreasing SFE [3]. The differences in these three kinds of deformation microstructures has been attributed to differences in activated slip systems in each grain, leading to different dislocation reactions [4–6]. Le *et al.* [7] reported deformation microstructures of tensile-deformed Al with various mean grain sizes. They found that, with decreasing the grain size, Type I and III structures were gradually mixed, and the materials having a mean grain size smaller than several micrometers only showed dislocation cells (DCs) and tangled dislocation structures. This suggested that grain boundaries also affected the formation of deformation microstructures in fine-grained materials. Most importantly, these

1 deformation microstructures are responsible for work-hardening of metals. Hughes and Hansen [8]
2 showed that flow stress of metals could be explained by theoretical formulas, in which inputs were
3 structural parameters extracted from actual deformation microstructures. Therefore, careful
4 characterization of deformation microstructure is essential to understand underlying physics of plastic
5 deformation in metals.

6 Compared with pure FCC metals, superior mechanical properties (high strength and large
7 ductility) and different deformation microstructures have been reported for high-alloy systems, such
8 as high-Mn steels [9,10], Hadfield steels [11–14], and high-nitrogen steels [15–18]. Gutierrez-Urrutia
9 *et al.* [9,10] investigated deformation microstructures in a tensile-deformed Fe-Mn-Al-C twinning-
10 induced plasticity (TWIP) steel (SFE: $\gamma = 63 \text{ mJm}^{-2}$). They reported planar slip of dislocations along
11 specific $\{1\ 1\ 1\}$ planes and subsequent formation of planar dislocation structures (PDSs) with the
12 Taylor lattices. With increasing strain, development of DCs in TA $\sim // \langle 1\ 0\ 0 \rangle$ oriented grains, and fine
13 cell blocks (CBs), in which PDSs were subdivided by incidental dislocation boundaries (IDBs), were
14 observed in other grains. In addition, DTs were observed in TA $\sim // \langle 1\ 1\ 1 \rangle$ oriented grains. Work-
15 hardening behavior of the TWIP steel was found to be directly linked to the deformation microstructure
16 development. Similar results were reported by Ueji *et al.* for an Fe-Mn-Al-Si TWIP steel ($\gamma < 40 \text{ mJm}^{-2}$) [19]. Deformation microstructure development in high/medium entropy alloys (HEAs/MEAs),
17 which are new classes of high-alloy systems composed of five or more / four or fewer alloying
18 elements with near-equimolar composition [20–25], has been studied by several groups recently.
19 Laplanche *et al.* investigated deformation microstructures of polycrystalline CoCrFeMnNi HEA [26]
20 and CoCrNi MEA [27], and subsequently many groups also reported the deformation microstructure
21 evolution in CoCrNi-based HEAs/MEAs, as reviewed by Lu *et al.* [28], for instance. Their deformation
22 microstructures were found to be very similar to that seen in the TWIP steels (i.e., the formation of
23 PDS, fine CBs, DCs, and DTs). Similar results have also been reported for single-crystalline FCC
24 HEAs with similar crystal orientations [29–37]. It is generally believed that the formation of these
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1 PDSs and fine CBs is attributed to the low SFE [38] or short-range ordering (SRO) [39], which make
2 it difficult for dissociated screw dislocations lying on $\{1\ 1\ 1\}$ planes to cross-slip. However, some
3 TWIP steels exhibiting PDSs do not show any evidence of SRO and have SFEs close to that of pure
4 Cu [10] that generally shows the non-planar dislocation microstructures [2]. This implies that there
5 must be additional factors contributing to the deformation microstructure development that lead to the
6 superior strength-ductility balance in FCC high-alloy systems, other than the SFE. Despite the great
7 efforts described above, a quantitative understanding on effect of alloying on deformation
8 microstructure development and its relation to mechanical properties has not been attained owing to
9 lack of systematic studies. Elucidating such additional factors can be of great importance for a broader
10 understanding of deformation mechanisms in various FCC metals and alloys.

11 One important difference between high-alloy systems and dilute systems (pure metals) can be a
12 magnitude of the yield strength. High-alloy systems are essentially heterogeneous at an atomic scale
13 because different alloying elements occupy different lattice sites, and the crystal lattice can be severely
14 distorted owing to differences in atomic size. Our previous studies [40–42] have revealed that the high
15 yield strength of high-alloy systems like HEAs and MEAs is attributed to the high friction stresses
16 (fundamental resistance to dislocation motion in a crystal lattice), measured as intercept values of the
17 Hall-Petch relationships [41–48]. This is because of an interaction between elastic field of dislocations
18 and the severe lattice distortion (large atomic size misfits of alloying elements). Thus, the high friction
19 stress (high yield strength) can be characterized as an essential characteristic of high-alloy systems.
20 On the other hand, an increase in yield strength and flow stress generally results in a decrease in tensile
21 ductility of materials. This contrasts with the fact that FCC high-alloy systems normally exhibit
22 superior strength-ductility balance compared to conventional materials. Thus, in high-alloy systems
23 with high friction stresses like HEAs and MEAs, there must be a special mechanism that leads to
24 superior mechanical properties, which might also be related to the deformation microstructure
25 evolution.

1 Based on this idea, we hypothesized that high friction stress might be a key to clarify the additional
2 factors affecting deformation microstructure evolution and resultant mechanical properties in FCC
3 high-alloy systems. For FCC HEAs and MEAs having high friction stress such as CoCrFeMnNi HEA
4 [49] and CoCrNi MEA [40], Co-Ni binary system is a very suitable benchmark for comparison because
5 it has low friction stresses close to that of pure Ni [40] and very similar other materials properties (e.g.,
6 elastic constants, lattice constant, and homologous temperature) [50,51]. Also, their SFEs can be easily
7 tailored over a wide range by changing the atomic fractions of Co and Ni [52]. Thus, in the present
8 study, we quantitatively compared deformation behavior and deformation microstructure evolution in
9 a Co₆₀Ni₄₀ (at.%) alloy and a Co₂₀Cr₄₀Ni₄₀ (at.%) MEA, which have the lowest and highest friction
10 stresses, respectively, among subsystems of the CoCrFeMnNi HEA [41,51–55] and have other
11 comparable materials properties including SFE, by using state-of-the-art two/three-dimensional
12 microstructure analysis in transmission electron microscopy (TEM) and in-situ synchrotron X-ray
13 diffraction (XRD) during tensile deformation. The ultimate goal of this study is to elucidate the
14 essential characteristics of deformation microstructure evolution in FCC high-alloy systems and their
15 relation to superior mechanical properties achieving both high strength and large ductility.

16

17 **2. Experimental Procedures**

18 **2.1. Materials processing**

19 Ingots of the Co₆₀Ni₄₀ alloy and the Co₂₀Cr₄₀Ni₄₀ MEA were fabricated by vacuum arc-melting of
20 pure metals (purity > 99.9 wt.%) under an inert gas (high-purity Ar) atmosphere. After melting, they
21 were cooled in a water-cooled copper mold and flipped and re-melted five times to improve
22 compositional homogeneity. Subsequently, the ingots were cold-rolled to a 30% reduction in thickness
23 and homogenized at 1100 °C for 24 h. Then, the homogenized plate of the Co₆₀Ni₄₀ alloy and the
24 Co₂₀Cr₄₀Ni₄₀ MEA were further cold-rolled to a 92% reduction in thickness and annealed at 750 °C
25 for 120 s and 850 °C for 3.6 ks, respectively. These processes yielded fully-recrystallized

1 microstructures of FCC single phase having similar mean grain sizes of about 3 μm (including
2 annealing twins) in the two alloys.

3

4 **2.2. Microstructure observation of undeformed materials**

5 Microstructure observation of the undeformed materials was performed by using a scanning
6 electron microscope (SEM) (JSM-7800F, JEOL Ltd.) equipped with a back-scattering electron
7 detector (BSE) operated at an acceleration voltage of 25 kV and a probe current of 15 nA. The
8 specimens for observation were mechanically polished with SiC sandpapers having grit sizes between
9 #1000 and #4000. Subsequently, they were electrically polished in a solution of 10 vol.% HClO_4 and
10 90 vol.% $\text{C}_2\text{H}_5\text{OH}$ at 30 V for 15 s at room temperature.

11

12 **2.3.Characterization of mechanical properties**

13 Tensile tests were conducted at room temperature to evaluate the mechanical properties. Small-
14 scaled dog-bone specimens with a gauge length of 2 mm and a gauge cross-section of 1 mm \times 0.5 mm
15 were cut from the recrystallized materials. Tensile deformation was applied with an initial strain rate
16 of $8.3 \times 10^{-4} \text{ s}^{-1}$ until fracture. In-situ strain measurements during tensile deformation were performed
17 by using the digital image correlation (DIC) method [56]. It has already been verified in our previous
18 studies [40,41] that tensile tests with such small-scaled specimens and DIC methods yield highly
19 reliable stress-strain curves, comparable to those measured using standard-size specimens with an
20 extensometer. To investigate the deformation microstructure evolution, tensile deformation was
21 interrupted at engineering strains of 2, 10, 30, and 50%.

22

23 **2.4. Microstructure observation of deformed materials**

24 Deformation microstructure observations were conducted by using TEM (JEM-2100 / 2100F,
25 JEOL Ltd.) equipped with a bright field (BF) detector and an annular dark field (ADF) detector for

1 scanning TEM (STEM), operated at 200 kV. The TEM observation plane was parallel to the surface
2 plane of the tensile specimens. Foil specimens for TEM observations were mechanically polished until
3 the thickness reached 50 μm by using SiC sandpapers with 1000 – 4000 grit sizes. Subsequently, they
4 were electrically polished in a solution of 10 vol.% HClO_4 , 20 vol.% glycerin, and 70 vol.% CH_3OH
5 at 15 V and 243 K by using a twin-jet electropolishing machine (Tenupol-5, Struers Ltd.). Diffraction
6 contrast of lattice defects was observed under the two-beam conditions in both TEM and STEM [57–
7 59]. The camera length of STEM detectors and spot size of the electron beam were set to 40 cm and 1
8 nm, respectively, which were the optimal conditions for the deformation microstructure observations
9 of the materials in the microscopes. Crystallographic orientation of each observed grain was
10 determined based on the Kikuchi patterns formed by convergent electron beam diffraction and
11 analyzed by a semi-automatic procedure [60,61]. For each deformation condition, about 20-30 grains
12 from the two foil specimens were examined to obtain statistically reliable results.

13

14 **2.5. Three-dimensional characterization of deformation microstructure**

15 TEM-based electron tomography (ET) [62] was performed to reveal three-dimensional
16 morphology of dislocation structures in the tensile-deformed MEA specimens. In this technique,
17 diffraction contrast images of dislocations are acquired with a wide range of tilt angles. Then, the three-
18 dimensional structure of dislocations is reconstructed by mathematical algorithms. As the diffraction
19 contrast of dislocations is strictly dependent on the diffraction condition (g -vector), all images need to
20 be taken with the same g -vector where targeted dislocations are visible (Readers can also refer Refs.
21 [63–65] for more details on the technique).

22 ET of 5% tensile-deformed $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA was conducted in a TEM (TECNAI G20, FEI)
23 operated at 200 kV. The thin foils for ET were fabricated under the same conditions as those described
24 in Section 2.4. The specimen was attached to a high-angle triple-axis TEM holder (HATA-holder)
25 (Mel-Build) with maximum tilt angles of $\pm 80^\circ$ and $\pm 7.5^\circ$ in the X- and Y-axes, respectively. We kept

1 a stable two-beam diffraction condition with a g -vector of $g = \langle 3\ 1\ 1 \rangle$ during tilting. A series of weak-
2 beam dark-field (WBDF) TEM images was acquired in a tilt-angle range of $\pm 50^\circ$ along the X -axis
3 with an interval of 1.0° . The acquired images were aligned by Inspect3D (Thermo Fischer Scientific),
4 and the dislocation structures were then reconstructed by the weighted back-projection algorithm [66]
5 with Avizo (Thermo Fischer Scientific).

6

7 **2.6. In-situ synchrotron X-ray diffraction measurement during tensile deformation**

8 To quantify deformation behavior of the materials, we also conducted in-situ high-energy XRD
9 measurements during tensile deformation at the beamline 46XU of a synchrotron radiation facility,
10 Super Photon ring – 8 GeV (SPring-8) in Hyogo, Japan. Dog-bone specimens having a gauge length
11 of 10 mm and a gauge cross-section of $3\text{ mm} \times 0.5\text{ mm}$ were cut from the same recrystallized materials
12 as described in Section 2.1. Tensile deformation was applied in a load frame attached to a goniometer
13 at room temperature with an initial strain rate of $8.3 \times 10^{-4}\text{ s}^{-1}$ until fracture. Incident X-ray beam with
14 an energy of 30 keV (wavelength of 0.0413 nm) and a spot size of 0.5 mm (length) \times 0.5 mm (width)
15 was irradiated on the center of the gauge part of the tensile specimens. The beam was parallel to the
16 plane normal to the tensile specimen surface. Transmission-diffracted X-ray beams were detected by
17 a one-dimensional semiconductor detector (MYTHEM, Dectris) covering a 2θ range of $5^\circ - 35^\circ$. The
18 exposure time (data acquisition time) for each profile was set to 1.0 s to achieve a better signal-noise
19 ratio, and diffraction profiles were collected continuously during the tensile testing. The incident X-
20 ray beam was precisely monochromated by a double mirror silicon monochromator. A camera length
21 between the specimens and the detector was adjusted by a computer-controlled four-axis goniometer.
22 The system was calibrated by using a standard CeO_2 sample before the measurements.

23 The peaks were fitted by the pseudo-Voigt function to obtain the peak position and integral
24 intensity. Dislocation density and planar fault probability of the materials were estimated by the
25 convolutional multiple whole profile fitting (CMWP) method [67]. For the analysis, $\{h\ k\ l\}$ ($h\ k\ l = 1$

1 1 1, 2 0 0, 3 1 1, 2 2 2, and 3 3 1) peaks of FCC structure were used. It should be noted that grains
2 where diffraction occurred are oriented close to TA $\sim // \langle h k l \rangle$ owing to the small 2θ values. One must
3 be aware that XRD line profiles of the undeformed specimens can be broadened owing to the intrinsic
4 severe lattice distortion of high-alloy systems, distorting the crystal lattice. This can result in
5 overestimation of the dislocation density. Thus, the XRD profiles of the deformed specimens were
6 deconvoluted in the CMWP program based on the XRD profiles of the undeformed specimens to
7 remove the effect of chemical heterogeneity, as proposed by Heczal *et al.* [68].

8

9 **3. Results**

10 **3.1. Materials properties and microstructure of undeformed materials**

11 The materials properties of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA are listed in **Table 1**. The
12 lattice constants of FCC structure were determined to be 0.3535 nm and 0.3559 nm for the $\text{Co}_{60}\text{Ni}_{40}$
13 alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA, respectively, based on the synchrotron XRD measurements before
14 deformation. The friction stresses of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA were determined to be
15 52 MPa and 280 MPa, respectively, at room temperature based on our previous studies [40,41,54].
16 **Figures 1(a) and (b)** show SEM-BSE micrographs of initial microstructures of the $\text{Co}_{60}\text{Ni}_{40}$ and
17 $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$, respectively. Equiaxed grains with profuse annealing twin boundaries were seen in both
18 alloys. Textures of these materials were close to random, given the characteristics of recrystallization
19 texture in FCC metals [69]. Mean grain sizes of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA were found
20 to be 3.10 μm and 2.79 μm by the intercept method. Other materials properties (SFE, homologous
21 temperature, shear modulus, and the Hall-Petch slope) were extracted from the literature [41,42,50,70].
22 It should be noted that the accuracy of the SFE values reported in the literature [52,53] was verified
23 again based on separation distance of dissociated dislocations measured by our own WBDF-TEM
24 observation [71], and possible artifacts due to the high friction stress of the MEA, pointed out by some
25 recent studies [72–74], were technically avoided by observing multiple grains with different

1 orientations (see supplementary materials for the details). It is also noteworthy that the elastic
2 anisotropies of the two alloys, which are related to atomic bonding state in crystals, were
3 experimentally estimated to be comparable, as described later in Section 3.5. Therefore, average
4 energy landscape of generalized SFE and resultant twinability [75] of the materials are expected to be
5 comparable, according to the literature [76–79]. The data above clearly indicated that the materials
6 properties of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA were very close, except for the friction stresses.

7

8 **3.2. Macroscopic tensile deformation behavior**

9 **Figure 2 (a)** plots the engineering stress – engineering strain curves of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and
10 $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA. The yield strength (0.2% proof stress) of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA
11 were 140 MPa and 375 MPa, respectively. The contribution of grain size to the yield strength (the
12 Hall-Petch effect) can be calculated as 103 MPa and 101 MPa for the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$
13 MEA, respectively, based on their nearly identical Hall-Petch slopes, as shown in **Table 1**. Therefore,
14 the difference in yield strength (235 MPa) corresponds well with the difference in friction stress (= **228 MPa**) in the materials. The ultimate tensile strengths of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA
15 were 419 MPa and 685 MPa, respectively. Generally, there is a trade-off between strength and ductility
16 of materials. Thus, materials having higher strength tend to exhibit lower ductility. However, despite
17 the difference in flow stress, interestingly, the two alloys showed almost the same ductility (fractured
18 at $e \sim 0.72$). **Figure 2 (b)** shows work-hardening rate - true strain curves of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and
19 $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA plotted together with the true stress – true strain curves. It was found that the work-
20 hardening rate of the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA was higher than that of the $\text{Co}_{60}\text{Ni}_{40}$ alloy. The crossing points
21 between the work-hardening rate curves and the true stress – true strain curves are where necking
22 occurs, satisfying the plastic instability condition [80], expressed as
23

$$\frac{d\sigma}{d\varepsilon} \leq \sigma, \quad (1)$$

1 where σ and ε are true stress and true strain, respectively. **Eq. (1)** suggests that necking was retarded
2 in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA owing to the higher work-hardening ability, resulting in a similar uniform
3 and fracture elongations as in the $\text{Co}_{60}\text{Ni}_{40}$ alloy.

4

5 **3.3. Orientation dependence of deformation microstructure evolution**

6 To explain the difference in work-hardening ability between the two alloys, we investigated their
7 deformation microstructure evolution. Typical TEM observations are shown in **Figure 3** and **Figure**
8 **4** for the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA, respectively, which are described in the following.

9

10 **(I) $\text{Co}_{60}\text{Ni}_{40}$ alloy**

11 **Figure 3** shows deformation microstructure evolution in the tensile-deformed $\text{Co}_{60}\text{Ni}_{40}$ alloy with
12 different applied strain levels. The microstructures could be classified into two types, Type A and Type
13 A-T, as shown in **Figure 5 (a)**. Type A structure is characterized by DCs that developed from loose
14 cells or tangled dislocations in the early stage of deformation (5%) into well-defined cells with
15 increasing strain. The cell size of Type A grains is ~ 500 nm at a strain of 10%, decreasing to ~ 300 nm
16 at $e = 50\%$. Type A-T structure is characterized by a mixture of DCs and nanoscale DTs (the last image
17 in **Figure 3**) that develops only at $e \sim 50\%$. It is also noted that the sizes of the DCs in the Type A-T
18 grains were smaller (e.g., ~ 200 nm at a strain of 30%) than those in Type A. As shown in **Figure 5**
19 **(a)**, it is seen that Type A structure develops regardless of the grain orientation, while Type A-T
20 structure forms only in the grains oriented close to TA $\sim // \langle 1\ 1\ 1 \rangle$. This indicates that the formation
21 of DTs has a strong grain orientation dependence and occurs only in grains with higher dislocation
22 density (smaller cell size) where more frequent dislocation reactions required for nucleation of DTs
23 are expected, as discussed later in Section 4.1 (II). The appearance of DCs was similar to the type II
24 grains in tensile-deformed pure Cu, but the microstructures of types I and III were not observed. This
25 might be due to the effect of the small grain size, i.e., constraint effect by grain boundaries, resulting

1 in activation of various slip systems and formation of DCs, as reported for pure Al [7]. Further study
2 is necessary to clarify the grain size dependence of deformation microstructure in low SFE materials,
3 which is beyond the scope of this paper and is our on-going work.

4

5 (II) Co₂₀Cr₄₀Ni₄₀ MEA

6 **Figure 4** presents the deformation microstructure evolution in the tensile-deformed Co₂₀Cr₄₀Ni₄₀
7 MEA with different strain levels. It was found that the microstructure could be classified into three
8 types depending on the grain orientation, as shown in **Figure 5 (b)**: (Type A) Randomly-tangled
9 dislocations were seen at the early stage of deformation, and DCs with a size of several tens of
10 nanometers developed with increasing strain in TA $\sim // \langle 1\ 0\ 0 \rangle$ oriented grains; (Type B) Planar slip
11 of dislocations was seen at the early stage, and PDSs subsequently formed along one of $\{1\ 1\ 1\}$ planes
12 in most grains except for those oriented such that TA $\sim // \langle 1\ 0\ 0 \rangle$; (Type B-T) PDS developed in more
13 than two $\{1\ 1\ 1\}$ planes, and DTs with a thickness of several nanometers started nucleating after an
14 applied strain of $e \sim 10\%$ in TA $\sim // \langle 1\ 1\ 1 \rangle$ oriented grains. It seemed that the number fraction of DTs
15 increased with increasing applied strain, while the thickness did not change significantly. It should be
16 noted that the PDS and DTs observed in type B and B-T grains were mainly lying on a $\{1\ 1\ 1\}$ plane
17 belonging to the primary slip system, the $\langle 1\ 1\ 0 \rangle \{1\ 1\ 1\}$ slip system having the highest Schmid factor.
18 The deformation microstructures and their orientation dependence in this alloy closely resembled those
19 reported in both single-crystals and poly-crystals of other FCC high-alloy systems, as described in
20 Section 1. Additionally, we note that, in our preliminary work on the same MEA tensile-deformed at
21 room temperature [55], we observed a very small fraction of deformation-induced martensite with a
22 hexagonal close-packed structure after necking. However, since the phase fraction is very small (\sim
23 0.4% volume fraction after fracture), we believe that the martensitic transformation has negligible
24 effects on the evolution of deformation microstructures and the macroscopic mechanical properties of
25 the alloy, and it is therefore omitted in this study.

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3.4. Three-dimensional structure of PDS in the Co₂₀Cr₄₀Ni₄₀ MEA

Three-dimensional structure of PDSs in type B grains of the Co₂₀Cr₄₀Ni₄₀ MEA was revealed by ET. Reconstructed dislocation structure of a type B grain in the 5% deformed Co₂₀Cr₄₀Ni₄₀ MEA can be seen in [supplementary videos 1](#) (aligned image series) and [2](#) (reconstructed structure by the weighted back-projection algorithm). **Figures 6 (a-i)** show WBDF-TEM images of the dislocation structure taken at different tilt-angles. Based on the images taken with different *g*-vectors, we determined the Burgers vectors of the dislocations according to the *g·b* criteria [81]. It should be noted that dislocations in the material dissociated into two Shockley partials and an intrinsic stacking fault (ISF), owing to its low SFE. For example, in **Figure 6 (a)**, the slip system of some of the dislocations were identified to be the following:

$$\text{Slip system 1 (red):} \quad \frac{a}{2} [1\ 0\ \bar{1}] (1\ 1\ 1) \rightarrow \frac{a}{6} [2\ \bar{1}\ \bar{1}] (1\ 1\ 1) + ISF + \frac{a}{6} [1\ 1\ \bar{2}] (1\ 1\ 1)$$

$$\text{Slip system 2 (blue):} \quad \frac{a}{2} [\bar{1}\ 1\ 0] (1\ 1\ \bar{1}) \rightarrow \frac{a}{6} [\bar{1}\ 2\ 1] (1\ 1\ \bar{1}) + ISF + \frac{a}{6} [\bar{2}\ 1\ \bar{1}] (1\ 1\ \bar{1})$$

These dislocations are colored red and blue in **Figure 6 (a')**, while the rest unknown dislocations are colored white. We found that dislocations belonging to these slip systems were confined in their slip planes, forming PDSs, suggesting difficulty in cross-slip of screw dislocations and dynamic recovery. Edge-on views of the dislocations of the slip systems 1 and 2 aligned along the slip planes could be found in **Figures 6 (f) and (c)**, respectively. Owing to the planar structure of the dislocations, these dislocations lying on the two different {1 1 1} planes intersected each other, resulting in a formation of stair-rod immobile dislocations [82]. For instance, one Lomer-Cottrell dislocation with the Burgers vector of <1 1 0> was found, as indicated by the orange line in **Figure 6 (a')**, possibly due to dislocation reaction of

$$\frac{a}{6} [2\ \bar{1}\ \bar{1}] (1\ 1\ 1) + \frac{a}{6} [\bar{1}\ 2\ 1] (1\ 1\ \bar{1}) \rightarrow \frac{a}{6} [1\ 1\ 0] (0\ 0\ 1).$$

1 Generally, inhibited dynamic recovery and the formation of such immobile dislocations during
 2 deformation contribute to a rapid increase in dislocation density and nucleation of DTs, which leads
 3 to a higher work-hardening ability [82,83], as discussed in Section 4 later.

4

5 **3.5. Internal stress, texture, and defect density evolution during deformation**

6 Since the structural information obtained by TEM was very local and qualitative, we investigated
 7 the deformation behavior through in-situ high-energy XRD measurements during tensile deformation.
 8 With increasing applied strain, all peaks shifted and broadened. In addition, intensity of each peak
 9 changed (see supplementary information). Based on shift in each peak, elastic deformation in grains
 10 having similar orientations can be determined [83]. Elastic strain (ε_{hkl}) in grains oriented to TA $\sim// \langle h$
 11 $k l \rangle$ is calculated as

$$\varepsilon_{hkl} = \frac{d_{hkl} - d_{hkl}^0}{d_{hkl}^0}, \quad (2)$$

12 where d_{hkl} and d_{hkl}^0 are lattice spacing of $\{h k l\}$ planes during deformation and before deformation,
 13 respectively. By multiplying a diffraction elastic modulus (E_{hkl}), internal stress (σ_{hkl}) in grains
 14 oriented to TA $\sim// \langle h k l \rangle$ is calculated by

$$\sigma_{hkl} = E_{hkl} \varepsilon_{hkl}. \quad (3)$$

15 It should be noted that the E_{hkl} can be determined by assuming that $\sigma_{hkl} = \sigma$ (applied stress) during
 16 elastic deformation [84]. We estimated the E_{hkl} of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA as
 17 presented in **Table 2**. As the E_{hkl} values of these alloys are comparable, their elastic constants and
 18 anisotropies are expected to be also similar [85]. These E_{hkl} values are also close to those of other
 19 FCC high-alloy systems with low SFE reported previously (e.g., HEAs/MEAs and austenitic steels
 20 [84–87]). In **Figure 7 (a)**, we show the internal stress in grains of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$
 21 MEA oriented to TA $\sim// \langle 1 1 1 \rangle$, $\langle 2 0 0 \rangle$, and $\langle 3 1 1 \rangle$ as a function of applied strain calculated based
 22 on stroke of the tensile test machine. It was found that the internal stress development in the $\text{Co}_{60}\text{Ni}_{40}$
 23 alloy was almost independent of $\langle h k l \rangle$, while, in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA, the internal stress varied

1 depending on the orientation. This suggested that the deformation microstructures evolution in the
2 $\text{Co}_{60}\text{Ni}_{40}$ alloy was relatively homogeneous, while those in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA was heterogeneous
3 (i.e., orientation-dependent). This was well consistent with the results of the deformation
4 microstructure observations (Section 3.3). **Figure 7 (b)** shows a ratio of integral intensity of $\{1\ 1\ 1\}$
5 and $\{2\ 0\ 0\}$ peaks (I_{111}/I_{200}) of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA as a function of applied strain.
6 It can be seen that the I_{111}/I_{200} of the $\text{Co}_{60}\text{Ni}_{40}$ alloy increased monotonically with increasing applied
7 strain, while that of the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA saturated and slightly decreased above a strain of $\sim 20\%$.
8 This behavior suggested that the fraction of DTs in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA was larger than that in the
9 $\text{Co}_{60}\text{Ni}_{40}$ alloy, leading to the different texture development in these two alloys [85]. This was also
10 consistent with the results of the deformation microstructure observations. **Figure 7 (c)** plots planar
11 fault probability (P_{pf}), an indicator calculated by the CMWP showing the abundance of ISFs on $\{1\ 1\ 1\}$
12 $\{1\ 1\ 1\}$ planes in FCC crystals, as a function of the applied strain. P_{pf} increased monotonically with
13 increasing applied strain in both alloys, though the increase was more rapid in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA.
14 Just before necking ($e \sim 0.5$), the P_{pf} of the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA ($P_{\text{pf}} = 0.033$) was about five times larger
15 than that of the $\text{Co}_{60}\text{Ni}_{40}$ alloy ($P_{\text{pf}} = 0.0065$). This suggested that stacking faults in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$
16 MEA were more stable than those in the $\text{Co}_{60}\text{Ni}_{40}$ alloy. **Figure 7 (d)** shows dislocation densities in
17 the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA calculated by the CMWP as a function of applied strain. It
18 is seen that, with increasing applied strain, the dislocation density in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA increased
19 more rapidly than in the $\text{Co}_{60}\text{Ni}_{40}$, leading to a value roughly three times higher in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$
20 MEA ($7.6 \times 10^{15} \text{ m}^{-2}$) than in the $\text{Co}_{60}\text{Ni}_{40}$ alloy ($2.8 \times 10^{15} \text{ m}^{-2}$). This was attributed to the inhibited
21 dynamic recovery in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA, as described in Section 3.4.

22

23 4. Discussion

24 4.1. Microstructure evolution in $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA

1 Even though the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA have similar materials properties, except
2 for their friction stresses, they exhibited different behavior in terms of deformation microstructure
3 evolution. In particular, the formation of the planar structure of dislocations (types B and B-T)
4 suggested that cross-slip of screw dislocations was more suppressed in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA than in
5 the $\text{Co}_{60}\text{Ni}_{40}$ alloy. Such differences in the deformation microstructure evolution have typically been
6 attributed to differences in SFE or SRO. However, that cannot be the case in the present study since
7 the materials properties, including SFE, were unified in these two alloys, and no evidence of SRO was
8 found in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA. Thus, hereafter, we shall discuss possible reasons for the difference
9 in the deformation microstructure evolution in the two materials based on the difference in the friction
10 stresses, which we thought might be a key to understand the difference in the deformation behaviors.

11

12 **(I) Dislocation microstructure evolution**

13 **(I - i) Stress-induced variation of stacking fault width**

14 In FCC crystals with low SFEs, perfect dislocations dissociate into two Shockley partial
15 dislocations. Since these two partial dislocations have different Burgers vectors, the Schmid factors
16 and resolved shear stress acting on the leading and trailing dislocations are not always identical.
17 **Figures 8 (a) and (b)** show TA orientation dependence of the Schmid factor of leading ($a/6 [2 -1 -1]$
18 ($1 1 1$)) and trailing partial dislocations ($a/6 [1 1 -2] (1 1 1)$), respectively, dissociated from perfect
19 dislocations belonging to the primary slip system ($a/2 [1 0 -1] (1 1 1)$), where perfect dislocations have
20 the highest Schmid factor). If the Schmid factor of the leading partial (m_{LP}) is larger than that of the
21 trailing partial (m_{TP}) (the positive part in **Figure 8 (c)**), the width of stacking faults increases upon
22 tensile loading due to the difference in the resolved shear stress acting on the partials. In other words,
23 SFE in the crystal apparently decreases mechanically, and cross-slip of screw dislocations (dynamic
24 recovery) becomes difficult. On the other hand, if m_{LP} is smaller than m_{TP} (the negative part in **Figure**
25 **8 (c)**), the width of stacking faults decreases, and SFE increases apparently upon tensile loading. Thus,

1 cross-slip of screw dislocations (dynamic recovery) becomes easy. This is presumably why, in the
 2 Co₆₀Ni₄₀ alloy, the size of the DCs in Type A-T grains (TA \sim $\langle 111 \rangle$) was smaller (i.e., dislocation
 3 density was higher because of the lower effective SFE (apparent SFE)) than that of Type A grains.
 4 According to Copley and Kear [88] and Kestenbach [89], an effective SFE (γ_{Eff}) under a tensile stress
 5 of σ is calculated by

$$\gamma_{\text{Eff}} = \gamma_0 - \frac{m_{\text{LP}} - m_{\text{TP}}}{2} \sigma b_p, \quad (4)$$

6 where γ_0 and b_p are respectively a SFE without loading and a magnitude of the Burgers vector of the
 7 partial dislocations. **Figures 9 (a) and (b)** show the effective SFE of the Co₆₀Ni₄₀ alloy and
 8 Co₂₀Cr₄₀Ni₄₀ MEA, respectively, at yield stress as a function of the TA orientation. Owing to the
 9 difference in yield strength (i.e., the difference in friction stress), the effective SFE in the Co₆₀Ni₄₀
 10 alloy changed slightly, while the change in the Co₂₀Cr₄₀Ni₄₀ MEA was greater depending on the
 11 orientation. Even after yielding, where the leading and trailing partials start moving together, the
 12 difference in the effective SFE depending on the orientation can expand owing to difference in velocity
 13 of the partials [88,90]. This is because the velocity of dislocations increases with increasing resolved
 14 shear stress, which is proportional to the Schmid factor. This dynamical effect can be formulated as
 15 [88]

$$\gamma_{\text{Eff}} = \gamma_0 - \frac{m_{\text{LP}} - m_{\text{TP}}}{m_{\text{LP}} + m_{\text{TP}}} \sigma b_p. \quad (5)$$

16 **Figures 9 (c) and (d)** show the effective SFE of the Co₆₀Ni₄₀ alloy and Co₂₀Cr₄₀Ni₄₀ MEA, respectively,
 17 at the points exhibiting the maximum flow stress as a function of the TA orientation. Compared with
 18 the data in **Figures 9 (a) and (b)**, the difference in the effective SFE distribution between the alloys
 19 expanded greatly. Owing to the higher flow stress (mainly due to the higher yield strength and high
 20 friction stress) in the Co₂₀Cr₄₀Ni₄₀ MEA, the variation in the effective SFE with grain orientation was
 21 much more severe than that in the Co₆₀Ni₄₀ alloy. These calculation results indicate that cross-slip of
 22 dislocations in the primary slip system is promoted in TA \sim $\langle 100 \rangle$ oriented grains but inhibited in
 23 other grains. Although there is no guarantee that similar relationship holds for other slip systems

1 activated in each grains of our polycrystalline specimens, this effect can contribute to the evolution of
2 type A and B microstructures observed in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA and can explain the orientation
3 dependence of the deformation microstructures observed in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA as well as other
4 high-alloy systems reported to date [9,10,29–37,91,11–18]. We believe our results, for the first time,
5 experimentally proved the validity of the model proposed by Copley and Kear [88] and by Kestenbach
6 [89] through detailed and systematic comparisons between two model alloys with unified material
7 properties and with low and high friction stress, which is closely related to the severe lattice distortion
8 effect, one of the most important characteristics of HEAs/MEAs.

9

10 (I - ii) Chemical heterogeneity-induced variation of stacking fault width

11 Smith *et al.* [92] studied core structure of dislocations in a CoCrFeMnNi HEA using STEM and
12 found that local width of the stacking faults varied significantly more than that in conventional FCC
13 dilute alloys and pure metals. Despite, they also employed atomistic simulations and showed that, with
14 increasing the number and concentration of alloying elements, stacking faults became a wavy shape
15 varying width depending on the local chemical environment around each segment. Rao *et al.* [93]
16 reported the same behavior in a $\text{Co}_{30}\text{Fe}_{16.67}\text{Ni}_{36.67}\text{Ti}_{16.67}$ alloy with FCC structure by using molecular
17 dynamics simulations. Their results suggested that the variation of stacking fault width could be
18 prominent at room temperature as well as low temperature, and cross-slip of dislocations preferentially
19 started from those sections having a smaller width. According to their description, only small segments
20 of screw dislocations underwent cross-slip owing to low applied stress. These results suggest that
21 cross-slip of screw dislocations can occur readily at positions with narrower stacking fault widths,
22 while simultaneously being restricted by wider sections. Therefore, complete cross-slip of screw
23 dislocations may be difficult in high-alloy systems like the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA due to the existence of
24 the wider parts in the stacking faults.

25 To correlate the above idea with the orientation dependence of deformation microstructure

1 evolution in the materials, it is considered that one screw dislocation lying on the primary plane
2 changes its slip plane by cross-slip. **Figure 10 (a)** shows ratio of the Schmid factor of the perfect
3 dislocations belonging to the primary and cross-slip systems as a function of TA orientation. The
4 stereographic triangle can be divided into two halves by the $2\ 1\ -1\ -0\ 1\ 1$ boundary. In grains having
5 a positive (negative) Schmid factor ratio, cross-slip occurs preferentially with obtuse (acute) angles.
6 Since cross-slip with acute angles is energetically and mechanically unfavorable, cross-slip (dynamic
7 recovery) tends to be difficult in grains oriented to TA $\sim//\langle 1\ 1\ 1 \rangle$ and easy in those oriented to TA $\sim//$
8 $\langle 1\ 0\ 0 \rangle$. Thus, the smaller DC size in the type A-T grains (TA $\sim//\langle 1\ 1\ 1 \rangle$) in the $\text{Co}_{60}\text{Ni}_{40}$ alloy can
9 also be explained by the orientation dependence of the difficulty in cross-slip (inhibited dynamic
10 recovery). In addition, if cross-slip is suppressed by the variation of stacking fault width in the
11 $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA, the region where cross-slip is difficult in **Figure 10 (a)** may expand toward $\langle 1\ 0$
12 $0 \rangle$. This effect of chemical heterogeneity can also explain the orientation dependence of the
13 deformation microstructure evolution in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA. **We believe that this effect could**
14 **represent a unique mechanism in high-alloy systems that may not be significant in conventional FCC**
15 **metals and alloys.**

16 17 **(I-iii) Element-element interaction (Solute-Solute interaction)**

18 As we proposed in our previous study [41], the element-element interaction, i.e., chemical
19 interaction between each alloying element, which has been termed “solute-solute interaction” in
20 conventional dilute systems, can play an important role in high-alloy systems. When dislocations glide
21 along slip planes, changes occur in the bonding combination of elements at the dislocation cores by
22 shifting one atomic plane along the Burgers vector. Such local rearrangement of elements requires
23 extra energy for dislocation motion in addition to the effect of atomic size misfit. This effect has
24 recently been semi-quantitatively modeled by Nag *et al.* [94]. Theoretical studies by Nöhring and
25 Curtin [95,96] showed that such solute-solute (element-element) interactions can increase activation

1 energy for cross-slip of screw dislocations in FCC solid solutions. A similar idea has been proposed
2 by Hong and Laird [97,98]. Thus, we believe that the element-element interaction, which is one of the
3 essential characteristics of HEAs/MEAs, may contribute to the suppression of cross-slip of screw
4 dislocations in high-alloy systems. This mechanism can also expand the region where cross-slip is
5 difficult in Figure 10 (a) toward $\langle 1\ 0\ 0 \rangle$. Thus, the orientation dependence of the deformation
6 microstructure evolution in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA can also be explained in the same manner as (I-ii).

7

8 Although it is very challenging to theoretically or experimentally quantify the contribution of each
9 effect, we think all these abovementioned unique mechanisms can be responsible for the evolution of
10 the characteristic deformation microstructures in the in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA as well as other FCC
11 high-alloy systems, depending on grain orientations.

12

13 (II) Twinning behavior

14 In this study, we found that DTs were likely to be formed in grains oriented to TA $\sim // \langle 1\ 1\ 1 \rangle$, and
15 the fraction of DTs in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA was higher than that in the $\text{Co}_{60}\text{Ni}_{40}$ alloy. The fact that
16 DTs were formed along the primary plane suggested that, among various twinning models for FCC
17 crystals [38,99,100], the Miura-Takamura-Narita (MTN) model [101,102] could explain the twinning
18 behavior as follows. We assume that there are two slip systems (one of them is the primary slip system)
19 intersecting each other and a Lomer-Cottrell immobile dislocation is formed (Figure 10 (b)). Since
20 such immobile dislocations can be obstacles for dislocation motion, dislocations tend to pile-up against
21 the immobile dislocations, and stress concentration occurs at the tip. In principle, there are two ways
22 to relax the high stress at the tip: (i) cross-slip of dislocations, (ii) generating DTs along the primary
23 plane. As explained in (I) above, cross-slip is generally unfavorable in grains oriented to TA $\sim // \langle 1\ 1\ 1 \rangle$,
24 and DTs nucleate along the primary plane to relax the high stress, instead of cross-slip [102]. This
25 is presumably why we observed DTs in grains oriented to TA $\sim // \langle 1\ 1\ 1 \rangle$ in the $\text{Co}_{60}\text{Ni}_{40}$ alloy,

1 Co₂₀Cr₄₀Ni₄₀ MEA, and other FCC metals [103]. It should be noted that this mechanism can explain
2 twin nucleation at grain boundaries in a consistent manner, as reported by Hong *et al.* [100]. Most
3 importantly, in the cases of high-alloy systems like the Co₂₀Cr₄₀Ni₄₀ MEA, cross-slip is suppressed
4 owing to the reasons discussed above (I-i, ii, and iii). Accordingly, cross-slip in grains oriented to TA
5 $\sim // \langle 111 \rangle$ can be much more difficult in high-alloy systems than conventional systems including the
6 Co₆₀Ni₄₀ alloy. Thus, DTs progressively nucleated more in the Co₂₀Cr₄₀Ni₄₀ MEA than in the Co₆₀Ni₄₀
7 alloy.

8

9 **4.2. Characteristics of deformation mechanisms in FCC high-alloy systems**

10 As explained in the previous section, the cross-slip of screw dislocations in the Co₂₀Cr₄₀Ni₄₀ MEA
11 is more suppressed than in the Co₆₀Ni₄₀ alloy, resulting in the formation of PDSs, fine DCs, and DTs.
12 Compared with the Co₆₀Ni₄₀ alloy, which exhibits mainly coarse DCs, the characteristic deformation
13 microstructure evolution in the Co₂₀Cr₄₀Ni₄₀ MEA is advantageous in terms of achieving superior
14 mechanical properties as follows.

15

16 **(I) Dislocation density evolution**

17 Since cross-slip is suppressed in the Co₂₀Cr₄₀Ni₄₀ MEA, dynamic recovery during deformation is
18 presumably inhibited. In addition, as we observed by ET (Section 3.4), stair-rod immobile dislocations
19 can be easily formed in the Co₂₀Cr₄₀Ni₄₀ MEA because of the suppressed cross-slip. The formation of
20 immobile dislocations generally promotes dislocation multiplication as dislocations cut each other,
21 making new dislocation sources [82].

22 **Figure 11** plots the tensile flow stress (true stress) of the materials as a function of the square root
23 of dislocation density (ρ), as measured by the in-situ XRD (Section 3.5). The linear relationship is the
24 so-called Bailey-Hirsch relationship [45], expressed as

$$\sigma = \sigma_Y + \alpha M G b \sqrt{\rho}, \quad (6)$$

1 where σ_Y is the yield strength, α is a constant, M is the Taylor factor (assumed to be 3.06 for a random
2 texture), and G is the shear modulus. By fitting the data in **Figure 11** by **eq. (6)**, we obtained α values
3 of 0.341 and 0.371 for the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA and the $\text{Co}_{60}\text{Ni}_{40}$ alloy, respectively. This is in good
4 agreement with the values reported to date for other FCC materials, ranging from about 0.3 to 0.5 [45].
5 This result indicates that the evolution of dislocation densities played a major role in the work-
6 hardening of the materials. Thus, we believe that the high dislocation density was the main reason for
7 the high work-hardening ability of the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA.

8

9 **(II) Grain refinement by deformation twins**

10 The shear deformation induced by DTs is much smaller in FCC metals than in materials with other
11 crystal structures like HCP metals [99]. Rather, in FCC metals, twin boundaries act as obstacles for
12 dislocation motion, similarly to ordinary grain boundaries [104]. Also, dislocation density near the
13 boundaries can locally increase to maintain the deformation compatibility with neighboring grains with
14 different crystal orientations (This effect can be included in (I) above). As a result, a higher work-
15 hardening ability can be obtained (the so-called dynamic Hall-Petch effect [38]). As shown in **Figures**
16 **3 and 4**, the fraction of DTs in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA was much higher than in the $\text{Co}_{60}\text{Ni}_{40}$ alloy
17 owing to the suppressed cross-slip. Although the proportion of grains having DTs was less than 10%
18 in the MEA, the formation of a high density of DTs could also have partly contributed to the higher
19 work-hardening ability.

20

21 **5. Conclusion**

22 We compared the mechanical properties of the $\text{Co}_{60}\text{Ni}_{40}$ alloy (low friction stress) and
23 $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA (with high friction stress) having similar materials properties such as SFE and
24 elastic constants and investigated the deformation microstructure evolution during tensile deformation

1 by a conventional TEM and the dislocation tomography technique as well as in-situ XRD. We obtained
2 the following results:

- 3 (1) Tensile tests were performed at room temperature. The $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA was found to show a
4 higher yield strength and a higher work-hardening rate than the $\text{Co}_{60}\text{Ni}_{40}$ alloy, resulting in a
5 superior strength-ductility balance in the MEA.
- 6 (2) In the $\text{Co}_{60}\text{Ni}_{40}$ alloy, most grains developed coarse DCs regardless of the grain orientation. A few
7 grains oriented to TA $\sim // \langle 1\ 1\ 1 \rangle$ showed a small number of DTs.
- 8 (3) In the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA, fine DCs were observed in grains oriented to TA $\sim // \langle 1\ 0\ 0 \rangle$, while
9 PDSs were observed in others. Many DTs were formed in grains oriented to TA $\sim // \langle 1\ 1\ 1 \rangle$.
- 10 (4) Three-dimensional structure of the PDSs in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA at the initial stage of
11 deformation was resolved by ET. Many of the dislocations were confined to specific $\{1\ 1\ 1\}$
12 planes. Dislocations belonging to two different slip systems were found to intersect each other,
13 leaving stair-rod immobile dislocations.
- 14 (5) In-situ XRD measurements during tensile deformation revealed different trends in internal stress
15 and texture development for the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA, suggesting a
16 heterogeneous deformation microstructure evolution in the MEA. The increase in both planar fault
17 probability and dislocation density during deformation was much larger in the MEA than in the
18 $\text{Co}_{60}\text{Ni}_{40}$ alloy.
- 19 (6) The correlation between the evolution of the characteristic deformation microstructures and the
20 macroscopic mechanical properties (work-hardening behavior) in the MEA was clarified through
21 the application of the Bailey-Hirsch model to the dislocation density measured by in-situ
22 synchrotron XRD.

23

24 In brief, we found that cross-slip of screw dislocations and dynamic recovery in FCC high-
25 alloy systems (e.g., HEAs, MEAs, and austenitic steels) are inhibited by (i) stress-induced, (ii)

1 chemical heterogeneity-induced variation of stacking fault width, and (iii) element-element
2 interaction, leading to a characteristic deformation microstructure evolution and enhanced work-
3 hardening mainly due to high dislocation density. This means that FCC high-alloy systems
4 inherently exhibit superior mechanical properties because of the effect of alloying multiple
5 elements with high concentrations (not simply because SFE decreases). We believe that the present
6 findings will pave the way to new design principles for structural materials that overcome the
7 dilemma of strength-ductility trade-off in conventional metallic materials.

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20

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39

Tables

1

2 **Table 1:** Materials properties of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA measured experimentally
3 and extracted from the literature [41,50,52,53].

| Materials properties | $\text{Co}_{60}\text{Ni}_{40}$ alloy | $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA |
|---|--------------------------------------|--|
| Crystal structure | FCC single phase | |
| Lattice constant, a / nm | 0.3535 | 0.3559 |
| Stacking fault energy, γ / mJm^{-2} | 30 ± 5 | |
| Homologous temperature at room temperature, T/T_m | 0.170 | 0.173 |
| Shear modulus, G / GPa | 86 | 87 |
| Mean grain size, d / μm | 3.10 | 2.79 |
| Hall-Petch slope, k / $\text{MPa m}^{1/2}$ | 181 | 168 |
| Friction stress, σ_0 / MPa | 52 | 280 |

4

5

1 **Table 2:** Diffraction elastic moduli (E_{hkl}) of the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA determined by
2 in-situ XRD during tensile deformation.

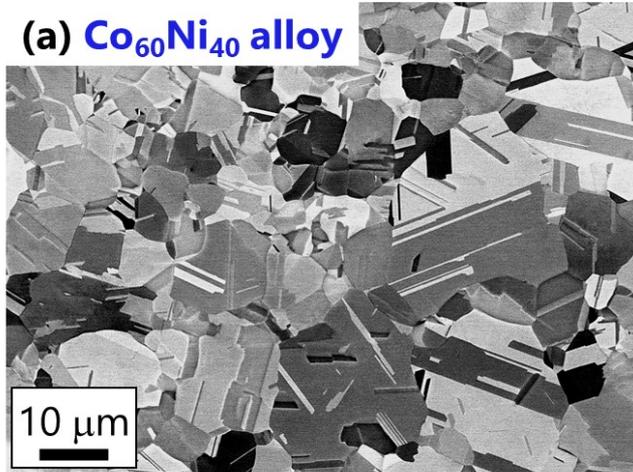
| Materials | E_{111} | E_{200} | E_{311} |
|--|-----------|-----------|-----------|
| $\text{Co}_{60}\text{Ni}_{40}$ alloy | 245 GPa | 131 GPa | 200 GPa |
| $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA | 254 GPa | 139 GPa | 191 GPa |

3

4

Figures

(a) $\text{Co}_{60}\text{Ni}_{40}$ alloy



(b) $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA

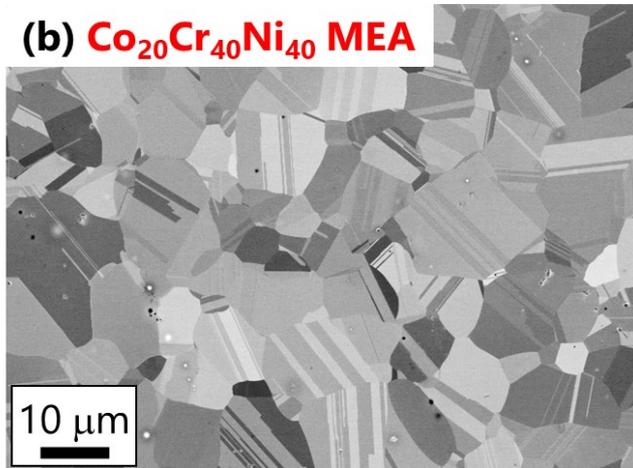
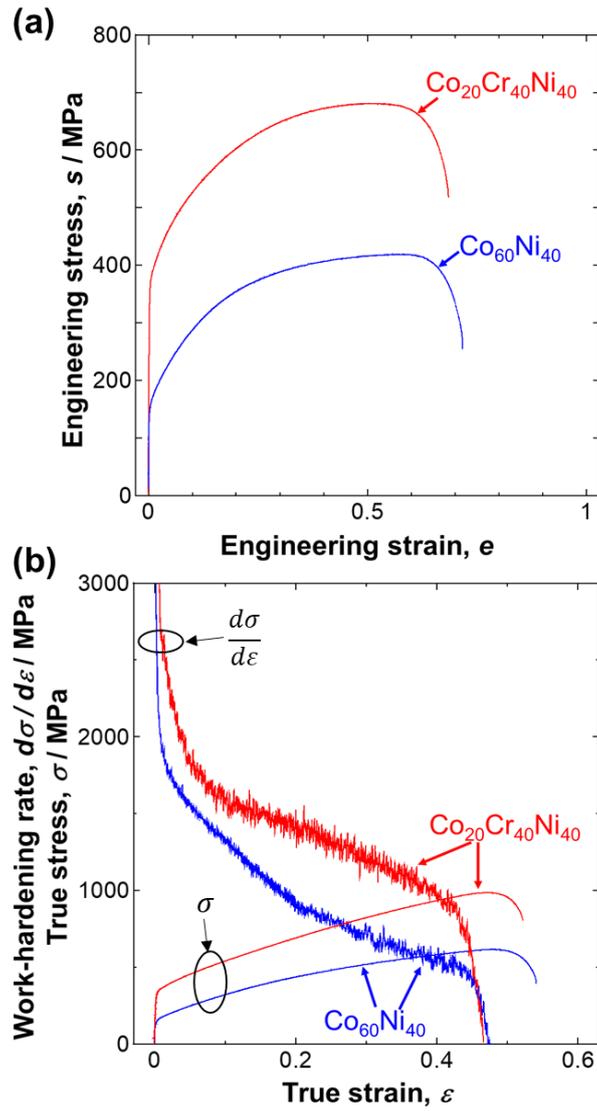


Figure 1: SEM-BSE micrographs of (a) the $\text{Co}_{60}\text{Ni}_{40}$ alloy and (b) $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA processed by cold-rolling by 92% and subsequent annealing at 750 °C for 120 s and 850 °C for 3.6 ks, respectively.



1
 2 **Figure 2:** (a) Engineering stress-engineering strain curves of the $\text{Co}_{60}\text{Ni}_{40}$ alloy (blue) and
 3 $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA (red). (b) Work-hardening rate-true strain curves of the $\text{Co}_{60}\text{Ni}_{40}$ alloy (blue) and
 4 $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA (red) plotted together with their true stress-true strain curves.

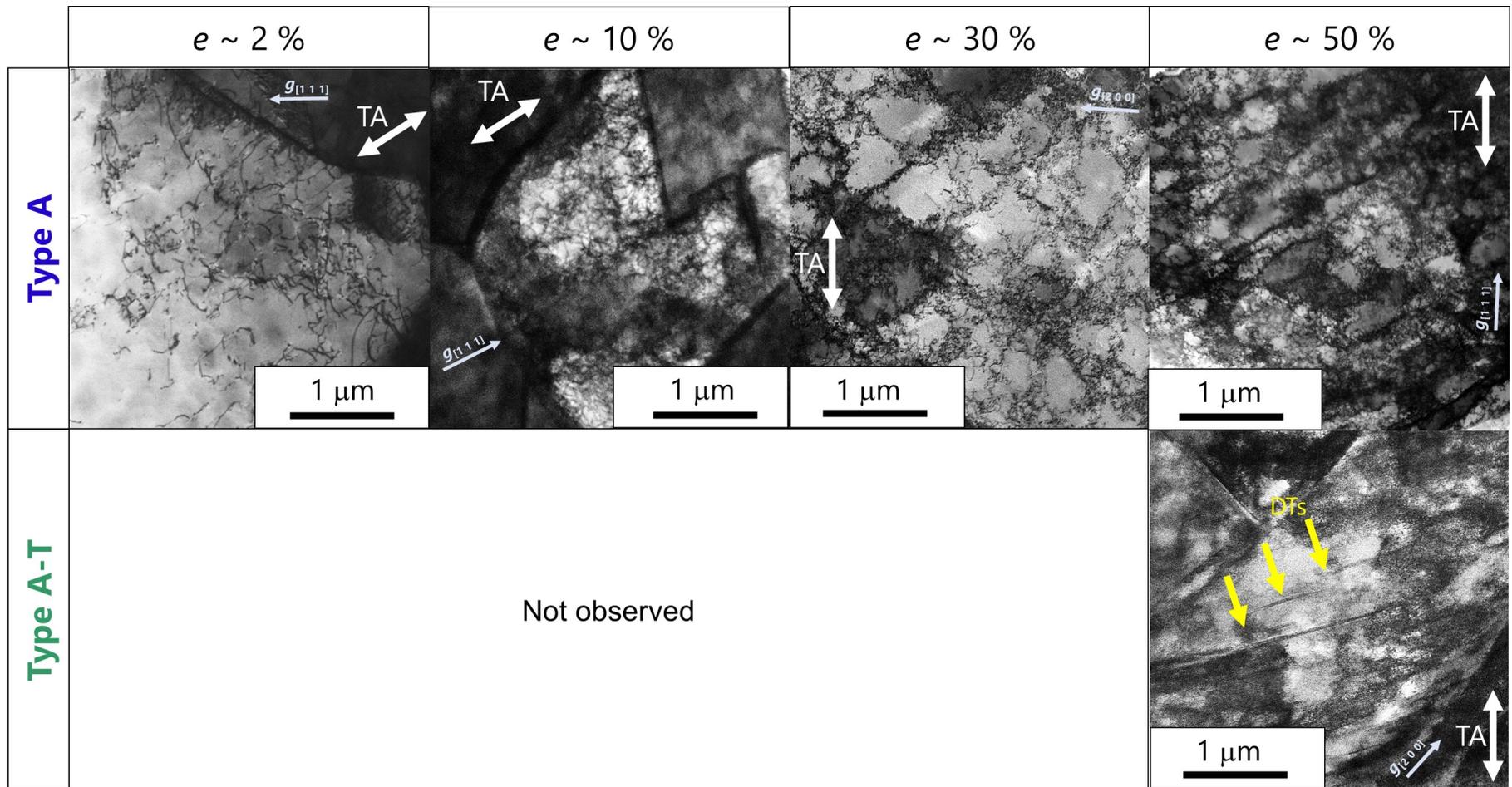


Figure 3: STEM micrographs of the two types of deformation microstructure (Types A and A-T) of the $\text{Co}_{60}\text{Ni}_{40}$ alloy for varying applied strains e of up to $\sim 50\%$. TA and g -vector ($g_{[h\ k\ l]}$) are indicated in each image. Type A-T microstructure was observed only at $e \sim 50\%$. The plate-shaped defects indicated by yellow arrows in Type A-T are DTs.

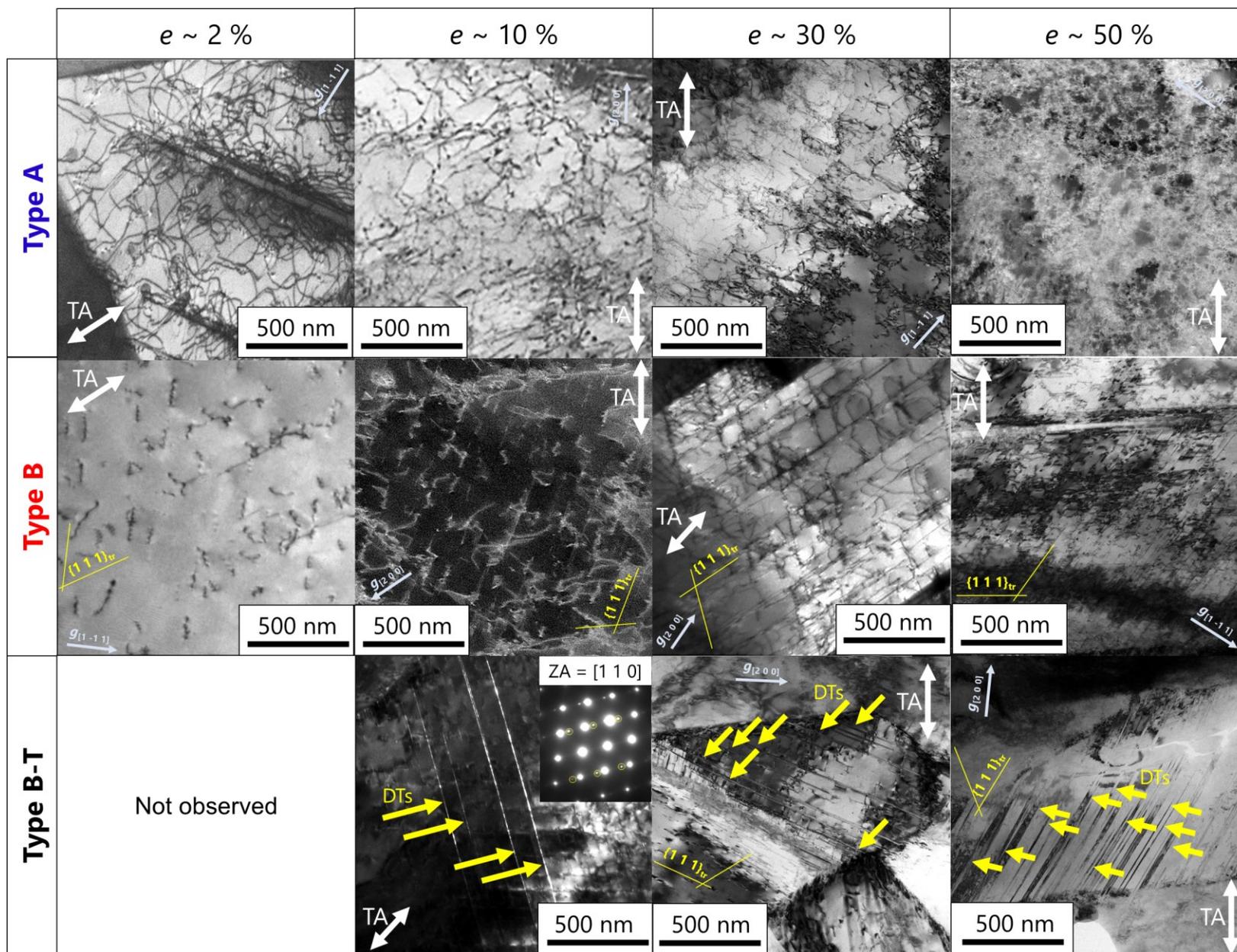


Figure 4: TEM/STEM micrographs of the three types of deformation microstructure (Types A, B, and B-T) observed in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA at varying applied strains e of up to $\sim 50\%$. TA and g -vector ($g_{[h\ k\ l]}$) are indicated in each image. The plate-shaped defects indicated by yellow arrows in the $e \sim 10\text{-}50\%$ images for Type B-T are DTs. The inset in the dark-field TEM image of Type-B-T at $e \sim 10\%$ is an SAD pattern showing the presence of twins ($2n\ 0\ 0$ and $n\ n\ n$ reflections (n : integer) of twins indicated by yellow circles).

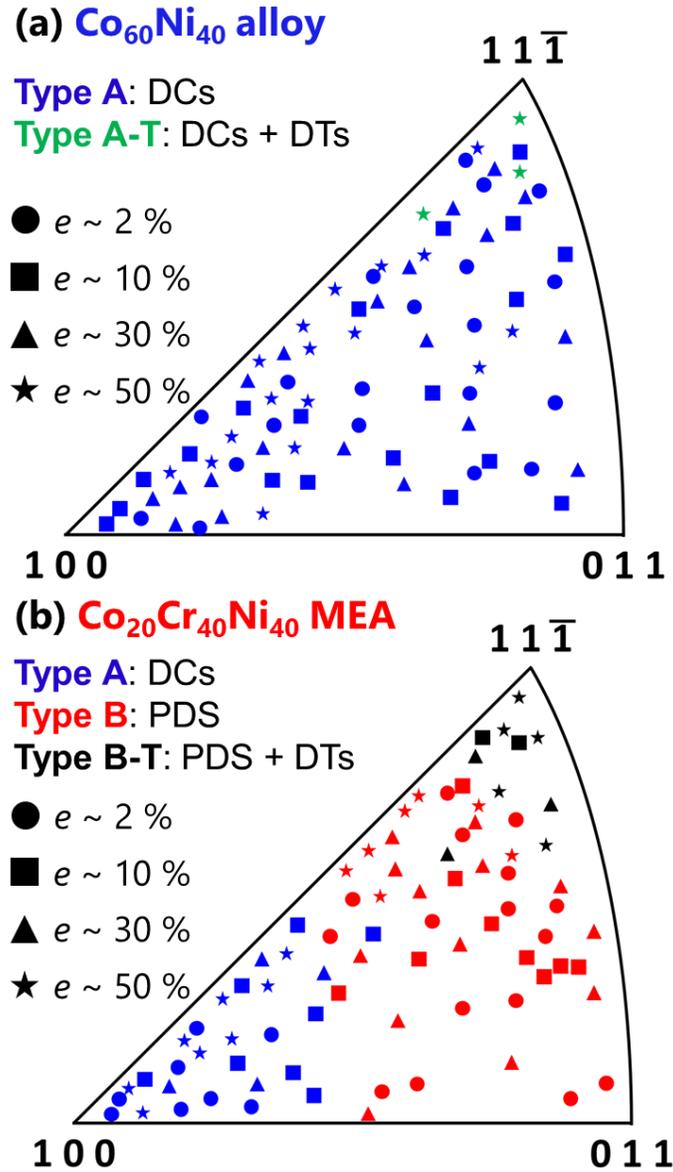


Figure 5: Types of deformation microstructures in (a) the $\text{Co}_{60}\text{Ni}_{40}$ alloy and (b) $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA classified according to the grain orientation against TA and applied strain. The blue and green data points represent grains having DCs and DCs + DTs, respectively. The red and black data points indicate grains having PDS and PDS + DTs, respectively.

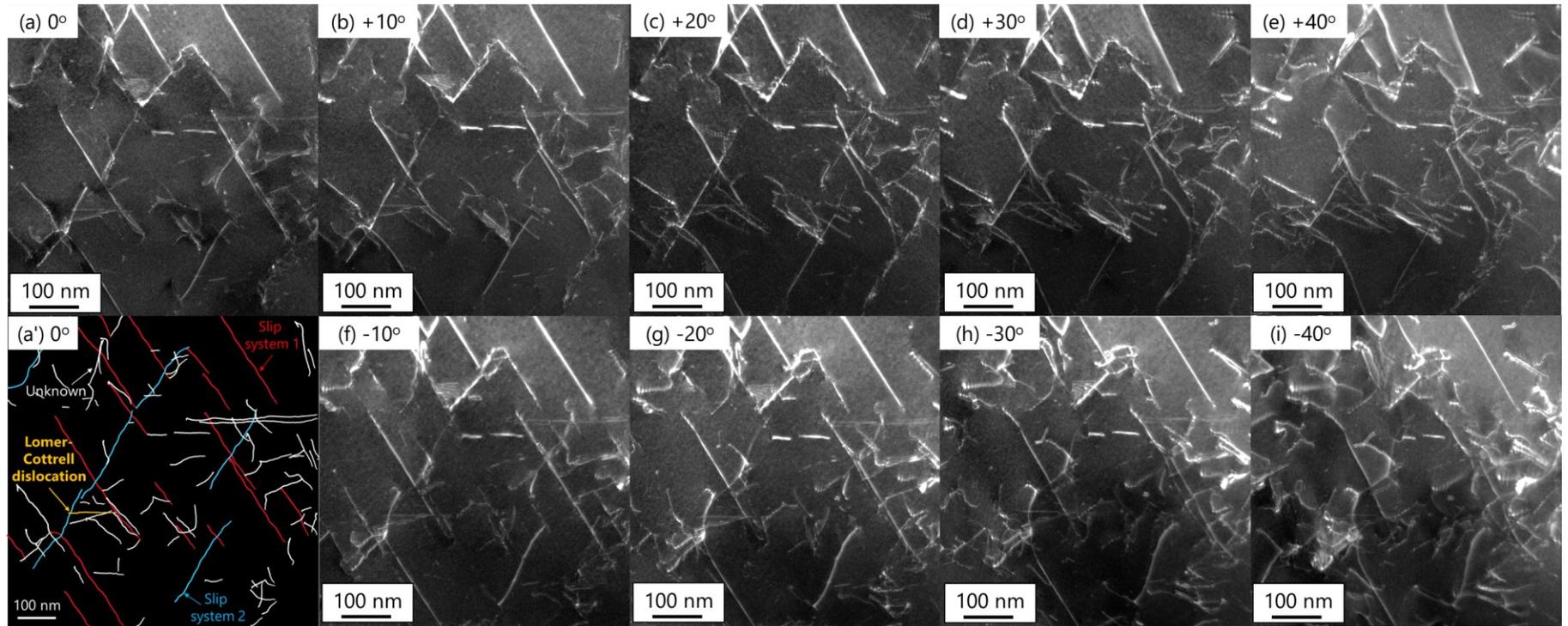


Figure 6: (a – i) WBDF images of the Type B microstructure in the $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA with $e \sim 5\%$, taken under different tilt angles of the X-axis ($\pm 40^\circ$). (a') Types of dislocations shown in (a) determined by the TEM analysis. The red and blue lines are dislocations lying on two different slip planes. The orange line is a Lomer-Cottrell dislocation. The white lines are dislocations belonging to unknown slip systems.

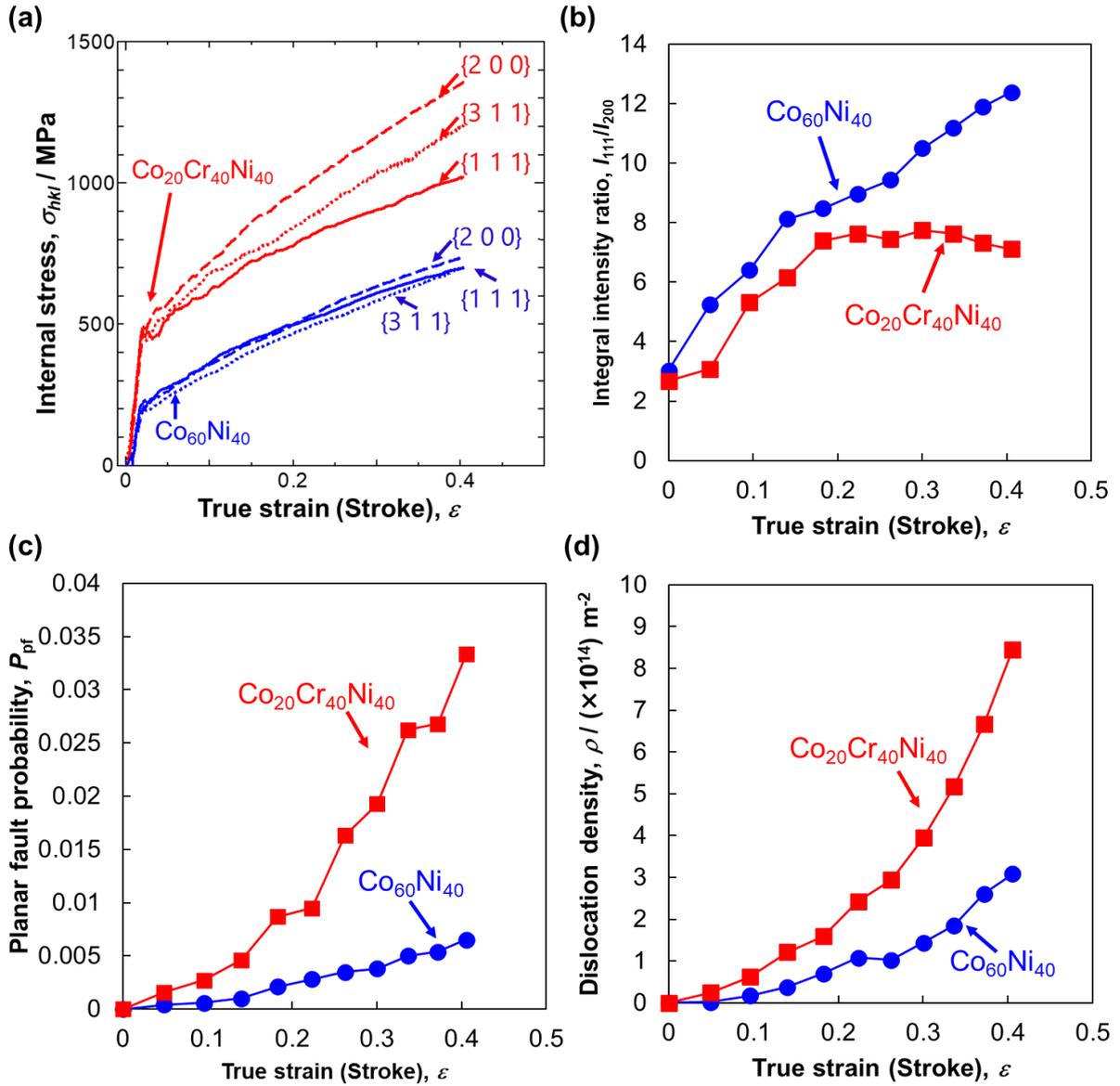


Figure 7: (a) Internal stress in grains oriented to TA $\sim // \langle hkl \rangle$ ($hkl = 111, 200, 311$), (b) integral intensity ratio between 111 and 200 peaks, (c) planar fault probability, and (d) dislocation density calculated by the CMWP method as a function of applied true strain (calculated based on the stroke of the tensile test machine). The blue circles (and lines) and red squares (and lines) correspond to data for the $\text{Co}_{60}\text{Ni}_{40}$ alloy and $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA.

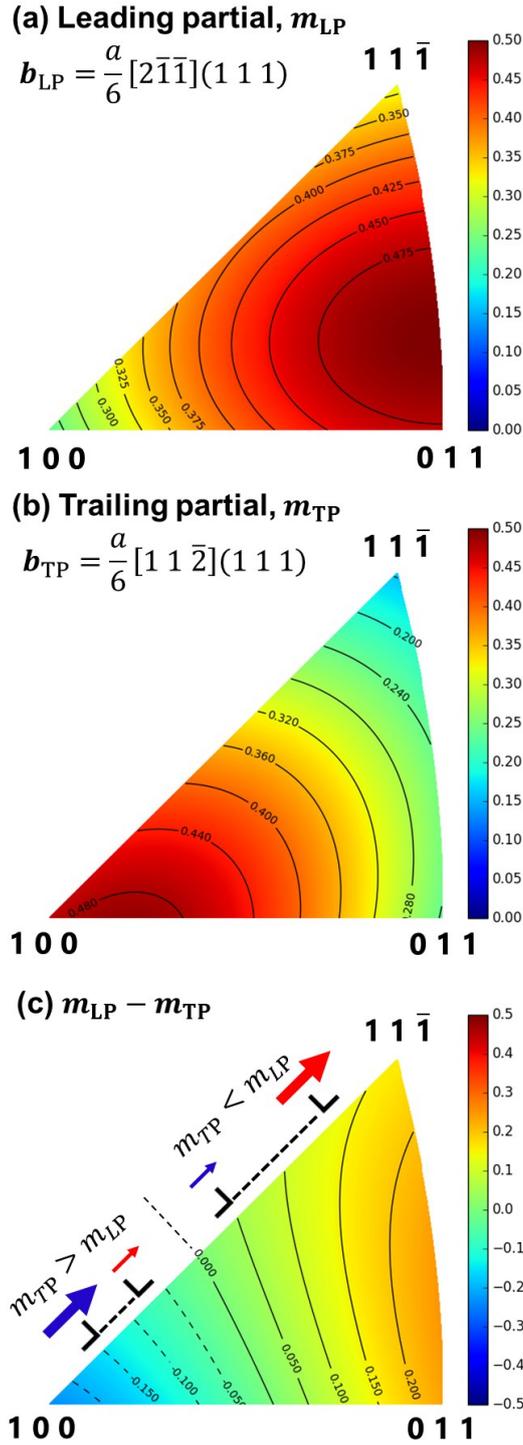


Figure 8: Schmid factor of (a) leading (m_{LP}) and (b) trailing partial dislocations (m_{TP}) belonging to the primary slip system ($b = a / 2 [1\ 0\ -1] (1\ 1\ 1)$) in FCC crystals as a function of TA orientation. (c) Difference between (a) and (b). Color scale is provided in each figure.

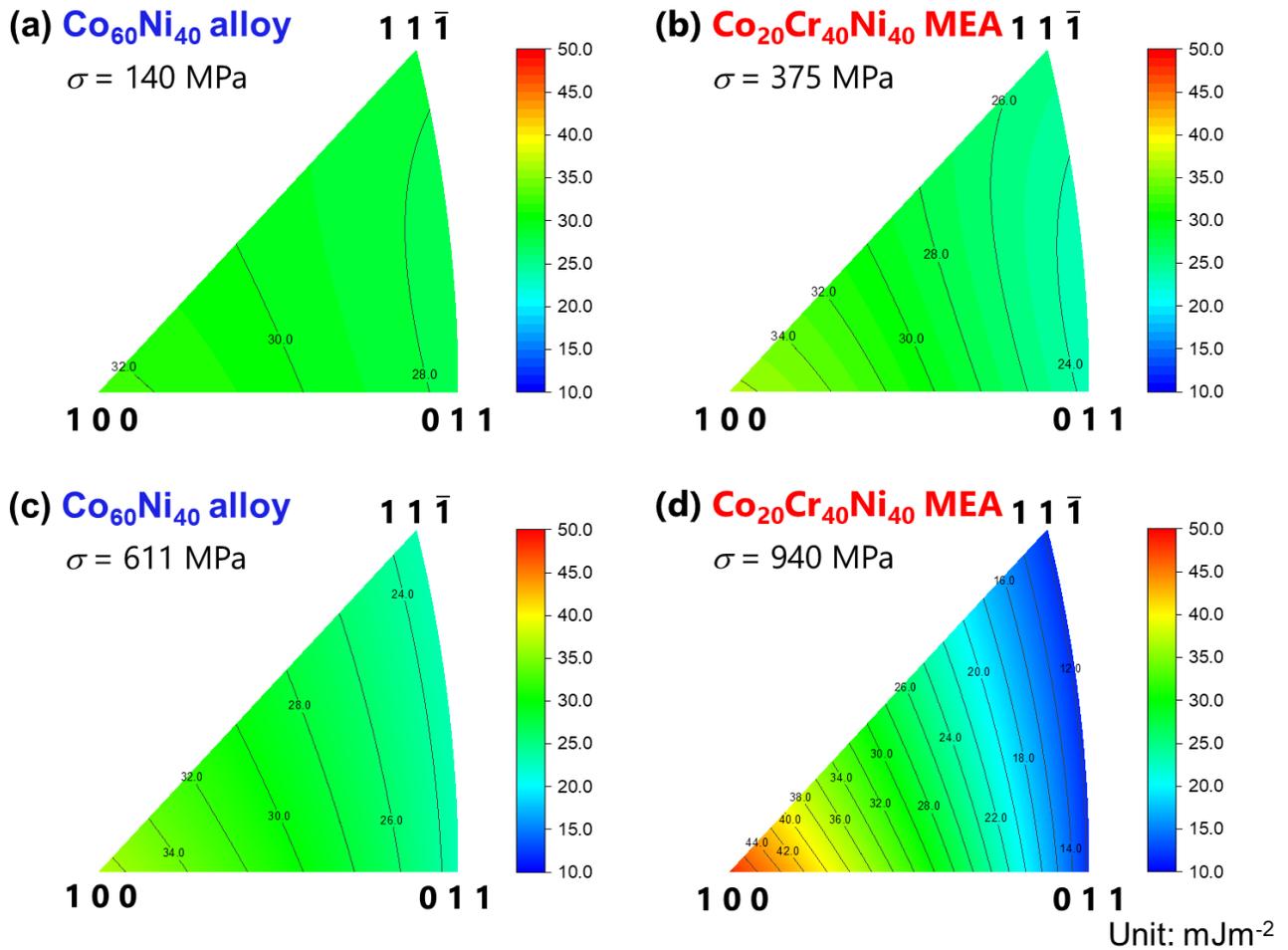


Figure 9: Effective SFE of (a) the $\text{Co}_{60}\text{Ni}_{40}$ alloy and (b) $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA at yield point as a function of TA orientation, calculated by [eq. \(4\)](#) based on the yield strength indicated in the figures. Effective SFE of (c) the $\text{Co}_{60}\text{Ni}_{40}$ alloy and (d) $\text{Co}_{20}\text{Cr}_{40}\text{Ni}_{40}$ MEA at the maximum flow stress point as a function of TA, calculated by [eq. \(5\)](#) based on the stress levels (true stress) indicated in the figures, considering the dynamical effect. Color scale is provided in each figure.

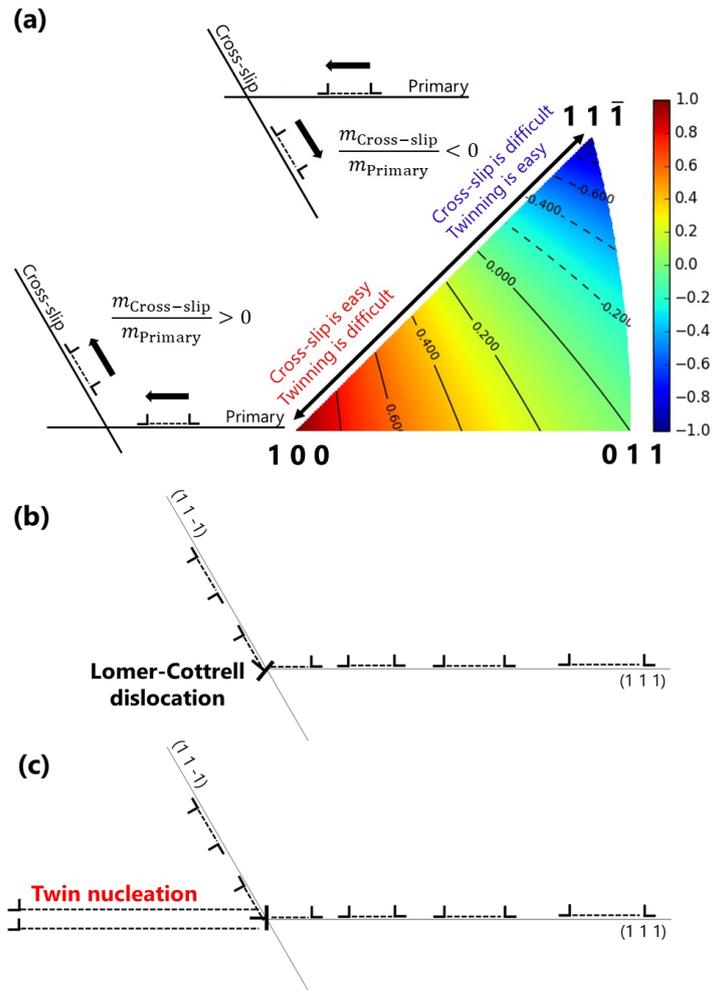


Figure 10: The ratio between the Schmid factors in the primary (m_{Primary}) and cross-slip systems ($m_{\text{Cross-slip}}$) as a function of TA orientation. Schematics showing (b) formation of a Lomer-Cottrell dislocation at the intersection of two slip planes and (c) nucleation of a DT along the primary plane, according to the MTN model.

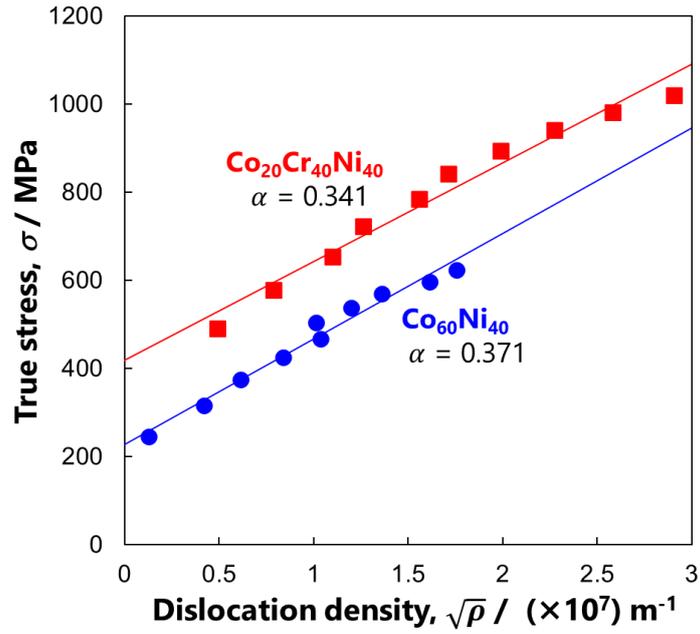


Figure 11: The relationship between the tensile flow stress (true stress) and the square root of dislocation density measured by the in-situ XRD (**Figure 7 (d)**). The blue circles (and line) and red squares (and line) correspond to data for the Co₆₀Ni₄₀ alloy and Co₂₀Cr₄₀Ni₄₀ MEA., respectively. The solid lines were fitted by the Bailey-Hirsch relationship (**eq. (6)**), and the α values obtained for both materials are given in the figure.