

# The Effect of Surface Ligands on the Surface Chemical States and Photoluminescence Characteristics in Cesium Lead Bromide Perovskite Nanocrystals

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**Abstract.** This paper presents the results of our study on the relationship between the surface chemical states, which are influenced by ligands, and photoluminescence (PL) characteristics in cesium lead halide perovskite nanocrystals (NCs). NCs were synthesized via the Ligand-Assisted Reprecipitation (LARP) and Ultrasonic-Assisted (URSOA) methods, which were able to produce NCs with and without ligands. Although both synthesis methods used similar precursor composition and processing steps, the resulting crystal structures of NCs are different. The LARP method yielded orthorhombic CsPbBr<sub>3</sub> NCs, while the URSOA method yielded a mixture of hexagonal Cs<sub>4</sub>PbBr<sub>6</sub> and orthorhombic CsPbBr<sub>3</sub> NCs with an approximate weight ratio of ~10:1. The X-ray diffraction data indicated that both NCs with and without ligands have the same crystal structure. However, as revealed from photoelectron spectroscopy analysis for NCs without ligands, the measured chemical states were found to be different between the inner side and the surface of NCs, which could be associated with surface defect species from the accumulation of Cs<sup>+</sup> atoms, Pb atoms with zero oxidation state, unbonded Br atoms, and Br vacancies near and at the surface of the NCs. The

40 difference appears to be correlated with the observed photoluminescence (PL) characteristics.  
41 Although the photoelectron spectroscopies measured only the core level orbitals, the variation in the  
42 measured chemical states may correlate with electronic structure alteration in valence orbitals, which  
43 are involved in the photoexcitation and exciton relaxation mechanism. The PL of LARP NCs  
44 (orthorhombic CsPbBr<sub>3</sub>) shows two components of PL decay, which are largely suppressed in NCs with  
45 purification or NCs without ligands. However, for URSOA NCs (predominantly Cs<sub>4</sub>PbBr<sub>6</sub> NCs), the PL  
46 decays are almost similar for both with and without ligands. The present experimental data clearly  
47 show that the variations in photoluminescence (PL) characteristics, in addition to the crystal structure  
48 that determines the basic characteristics of the formed excitons, may also originate from the influence  
49 of surface states or surface defect species that are affected by surface ligands. This insight may be  
50 useful not only for the further development of passivation molecules in a general context but also for  
51 the design of buffer layer molecules in perovskite heterojunction devices.

52

53 Keywords. CsPbBr<sub>3</sub>, Cs<sub>4</sub>PbBr<sub>6</sub>, halide perovskite nanocrystals, surface ligand, chemical states,  
54 photoluminescence

## 55 **1. Introduction**

56 Lead-halide perovskite nanocrystals (NCs) constitute a class of emerging materials of significant  
57 interest because of the possibility of tailoring their electronic and optical properties, which results in  
58 modifications of their bandgap energy, emission wavelength, and charge-carrier mobility.<sup>1,2,3,4</sup>  
59 Therefore, lead halide perovskite NCs have been much explored as promising nanomaterials for  
60 application in optoelectronic devices such as solar cells<sup>5</sup>, photodetectors<sup>6</sup>, lasers<sup>7</sup>, and light-emitting  
61 devices.<sup>8</sup> Lead-halide perovskite materials can typically be classified into two categories based on the  
62 type of their cations, i.e., organic lead halide perovskites (often also referred as hybrid lead halide  
63 perovskites) and all inorganic lead halide perovskites. Organic lead halide perovskites incorporate  
64 organic monocation, such as methylammonium (MA<sup>+</sup>/CH<sub>3</sub>NH<sub>3</sub><sup>+</sup>), formamidinium (FA<sup>+</sup>/CH<sub>5</sub>N<sub>2</sub><sup>+</sup>), or  
65 other similar molecules.<sup>9</sup> Other halide anions, particularly Bromide (Br<sup>-</sup>), have also been widely  
66 reported, which showed a larger open circuit voltage and better chemical stability despite a smaller  
67 power conversion efficiency compared to those with Iodide (I<sup>-</sup>).<sup>10</sup> In contrast, instead of incorporating  
68 organic molecular cations, inorganic lead halide perovskites contain inorganic cations of alkali metals,  
69 the first group of the periodic table, such as rubidium (Rb)<sup>11</sup> and cesium (Cs).<sup>12</sup> Compared to organic  
70 lead halide perovskites, inorganic cesium lead halides exhibit better long-term stability under ambient  
71 conditions.<sup>13</sup>

72 Lead-halide perovskite NCs are usually synthesized by wet chemical processes, where various  
73 shapes of NCs can be obtained such as quantum dots (QDs), nanoplates, and nanowires.<sup>14</sup> Various  
74 methods, such as hot injection<sup>15</sup>, solvent-induced reprecipitation<sup>16</sup>, microwave-assisted (MA)<sup>17</sup>,  
75 ultrasonic-assisted (URSOA)<sup>18</sup>, and ligand-assisted reprecipitation (LARP) methods, have been  
76 developed to synthesize these perovskite NCs with relatively high products reproducibility and  
77 homogeneity.<sup>19,20</sup> The LARP method is the most widely utilized method because of high reproducibility  
78 with the possibility of varying ligands, precursors and solvents.<sup>21</sup> The ligands play important roles in  
79 dissolving the precursors, crystal seed formation, controlling crystal growth, and crystal surface  
80 encapsulation by the ligands.<sup>22,23</sup> In LARP, however, the crystal seed formation must be initiated by  
81 the addition of an antisolvent to the precursor solution.<sup>24</sup> Lead-halide perovskite QDs with high  
82 photoluminescence quantum yields are mostly synthesized using this LARP method.<sup>25</sup> In addition, by  
83 choosing appropriate ligands and their composition ratios, the synthesis can form NCs with a particular  
84 crystal structure, such as monoclinic, orthorhombic<sup>26</sup>, tetragonal<sup>27</sup> or cubic structures.<sup>27</sup> Another  
85 synthesis method is the URSA method, which also use a similar precursors and ligands as used in the  
86 LARP method. However, the URSA method employ ultrasonic wave, which play important roles to  
87 initiate the nucleation of crystal seed and control the crystal growth.<sup>18,28,29</sup> This method does not  
88 require the heating of precursors and could be implemented as a “one pot” synthesis process.  
89 Molecular vibrations produced by ultrasonic waves cause local heating inside the precursor solution,  
90 allowing a rapid formation of NCs in an entirely room temperature environment.

91 The PL characteristics and stability of these lead halide perovskites have recently become a major  
92 focus of research. The PL properties of perovskite NCs have been assigned to be originated from  
93 excitons with various characteristics, such as free-excitons, self-trapped excitons (STEs), and exciton  
94 polarons.<sup>30–32</sup> The PL characteristics are also determined by crystal structure. CsPbBr<sub>3</sub>, one of the most  
95 investigated lead halide perovskites, shows different PL wavelength and intensity depending on the  
96 crystal structure, namely orthorhombic<sup>26</sup>, tetragonal<sup>27</sup>, or cubic structures.<sup>27</sup> Various synthesis  
97 parameters, such as precursor concentrations, ligands, antisolvent polarity, etc., might significantly  
98 affect the PL characteristics of the synthesis products despite the products showing the same crystal  
99 structure.<sup>30</sup> Moreover, although the synthesized lead halide QDs or NCs show a perfect crystal  
100 structure, from the X-ray diffraction (XRD) measurement for instance, and can effectively absorb  
101 photons, some samples may show weak PL, suggesting the occurrence of strong non-radiative  
102 recombination pathways.<sup>33,34</sup> This non-radiative sites perhaps not present inside the NCs, but on the  
103 NCs surface.<sup>35,36</sup> It is therefore important to understand the relationship between the PL  
104 characteristics and the surface chemical states, which may be influenced by surface ligands, to verify  
105 the cause of the observation of PL characteristic variation. However, only a limited number of studies

106 have been reported in detail on the effect of ligands on the PL characteristics, the crystal structures of  
107 the NCs, and the surface chemical states at the NC surface.<sup>13,33</sup> Here, we report our study on the effects  
108 of ligands on the crystal structures of the cesium lead bromide perovskite NCs, the chemical states at  
109 the surfaces, and the PL characteristics by performing several characterizations using X-ray diffraction  
110 (XRD), X-ray photoelectron spectroscopy (XPS), hard X-ray photoelectron spectroscopy (HAXPES), and  
111 Fourier transform infrared spectroscopy (FTIR). This work establishes a direct correlation between  
112 surface states and PL characteristics that has not been explicitly addressed in previous studies,  
113 marking a distinct advance beyond conventional ligand engineering or passivation strategies. Among  
114 the aforementioned synthesis methods, in this study, we chose the LARP and URSOA methods for  
115 synthesizing cesium lead bromides because both LARP and URSOA can use the same composition and  
116 concentrations of precursors and ligands. In addition, those methods can produce both NCs with and  
117 without ligands, which are useful for achieving the aim of the present study.<sup>18,27,37</sup>

118

## 119 **2. Experimental Section**

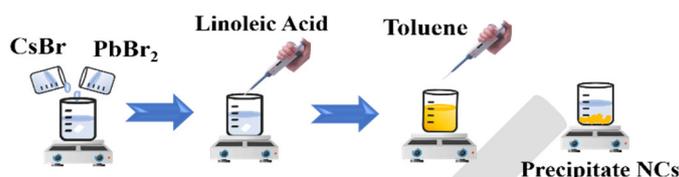
### 120 **2.1. Materials**

121 Cesium bromide (CsBr, > 99.99%) and lead bromide (PbBr<sub>2</sub>, < 99.99%), purchased from Tokyo Chemical  
122 Industry Co., Ltd., were used as the perovskite precursors. Linoleic acid (LA) and Oleylamine (OIAM)  
123 (purchased from Tokyo Chemical Industry Co., Ltd.) were used as ligands. Dimethyl sulfoxide (DMSO)  
124 and dimethylformamide (DMF) were used as precursor solvents to synthesize cesium lead bromide  
125 NCs. Toluene was used as the antisolvent, and ethanol was used as the solvent for the purification  
126 process. Analytical-grade solvents (Merck/Sigma Aldrich) were used without further purification.

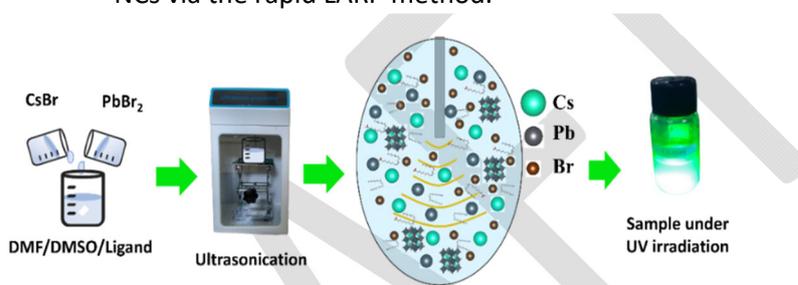
### 127 **2.2. Synthesis of Perovskite NCs**

128 **LARP Method.** Fig. 1 schematically shows the main steps of the LARP method. This method is different  
129 from the hot injection method because the reaction takes place at room temperature (~28°C).  
130 Therefore, the crystal growth is accelerated by the addition of anti-solvent at the end of the synthesis  
131 process. In the present study, only the LA ligand was used in the synthesis. OIAM ligand, which is  
132 commonly reported in the literature,<sup>37,38</sup> was not used because OIAM needs a high temperature to be  
133 completely removed from nanoparticle surfaces.<sup>39</sup> High temperature treatment above 100°C may  
134 change the crystal structure of the resulted cesium lead bromide perovskites.<sup>40</sup> For preparing the  
135 precursor solution, CsBr (0.5 mol) and PbBr<sub>2</sub> (0.5 mol) were separately dissolved in a DMF:DMSO (7:3  
136 v/v) mixed solvent. Subsequently, the CsBr solution was added dropwise to the PbBr<sub>2</sub> solution while  
137 stirred until a 1:1 volume ratio was achieved. The solution was then continuously stirred for 30 min.

138 The LA ligand was then added to the precursor solution with the volume ratio of the LA ligand and the  
139 precursor solution of 1:2. The solution was stirred again for 15 min. Finally, toluene, as the antisolvent.  
140 was added dropwise to the precursor solution to initiate the formation of the cesium lead bromide  
141 NCs.<sup>27,37</sup> The precipitated powder was dried in a vacuum chamber at 100°C until the remaining solvent  
142 completely evaporated. The powder was then stored and labeled as an unpurified NCs, or in other  
143 words, NCs with ligands (LARP-WOL).



144 Fig. 1. Synthesis process of cesium lead bromide perovskite NCs via the rapid LARP method.



145 Fig. 2. The URSOA synthesis process of cesium lead bromide NCs.

146 To obtain cesium lead bromide NCs without ligands on their surface, the cesium lead bromide NC  
147 products were purified to remove the ligands by using a mixture solution of ethanol and toluene (1:1  
148 v/v). The NCs product was added to the solution and then sonicated at 80 W for 10 min using an  
149 ultrasonic processor. A centrifuge was used to collect the cesium lead bromide NCs from the  
150 dispersion solution. Finally, these purified NCs were also dried in a vacuum chamber at 100°C to  
151 evaporate the remaining solvent. Herein, this purified NCs sample is referred to as NCs with  
152 purification (LARP-WOL). The average crystal sizes of these NCs without and with purification were  
153 estimated to be 29.64 nm for LARP-WL and 33.22 nm for LARP-WOL. These crystal sizes were  
154 estimated from the FWHMs of the XRD peak by using the Debye-Scherrer equation.<sup>41</sup>

155 **URSOA Method.** Fig. 2 schematically shows the main steps of the URSOA method. The URSOA method  
156 involves synthesis steps similar to those of the LARP method. However, in the URSOA method, instead  
157 of the use of anti-solvent, ultrasonication is used to initiate the seed formation of NCs. The synthesis  
158 process was initiated by mixing the precursors CsBr and PbBr<sub>2</sub> in the same molar ratio, followed by  
159 the addition of LA/OIAM ligands (LA:OIAM = 1:1) in a precursor-to-ligand ratio of 2:1 v/v. Subsequently,  
160 the solution mixture was ultrasonicated at 90 W for 10 min using an ultrasonic processor. Similarly to

161 the final synthesis step of the LARP samples above, to obtain the NC products, the precipitate and  
 162 solvent were separated using a centrifuge and vacuum dryer at 100°C. The products were referred to  
 163 NCs with ligands (URSOA-WL). A similar synthesis process was also performed without ligands, where  
 164 the products referred to as NCs without ligands (URSOA-WOL). The average crystallite sizes were  
 165 estimated to be 34.64 nm for NCs with ligands (URSOA-WL) and 38.28 nm for NCs without ligands  
 166 (URSOA-WOL). The names of the synthesized samples and the differences in their synthesis processes  
 167 are summarized in Table 1.

168 Table 1. Sample names and their differences.

Sample name	Synthesis Method	LA ligand	OlAm ligand	Anti-solvent	Purification	Ultra-sonication	With ligands
LARP-WL	LARP	✓	✗	toluene	✗	✗	✓
LARP-WOL	LARP	✓	✗	toluene	✓	✗	✗
URSOA-WL	URSOA	✓	✓	✗	✗	✓	✓
URSOA-WOL	URSOA	✗	✗	✗	✗	✓	✗

169 Note: ✗ shows the treatment was not used, while ✓ shows it was used

170

### 171 2.3. Characterization methods

172 The XRD measurements were performed on dried powder for all samples of cesium lead bromide  
 173 NCs using the SmartLab X-ray diffractometer (Rigaku, Japan). The wavelength was 1.5406 Å (Cu-K $\alpha$   
 174 radiation). XPS and HAXPES measurements were performed using the Quantes (ULVAC-PHI). Al K $\alpha$   
 175 (hv:1486.6 eV) and Cr K $\alpha$  (hv:5414.8 eV) sources were used for XPS and HAXPES measurements,  
 176 respectively. The total energy resolutions were 0.51 and 0.76 eV, respectively. The binding energies  
 177 were calibrated using the binding energy of the C 1s core-level of the C-H bond of organic molecules  
 178 (284.8 eV). To investigate the adsorbed states of the ligands of the NCs, Fourier Transform Infrared  
 179 (FTIR) measurements (FTIR Alpha II, Bruker) were carried out utilizing the attenuated total reflection  
 180 mode. The photoluminescence spectra of the cesium lead bromide NCs were measured using an  
 181 Ocean Optics USB 2000 spectrometer with a 406 nm light source laser. The PL decays were measured  
 182 using an experimental setup consisting of a pico-second laser (PicoQuant) at 420 nm (with 20 ps pulse  
 183 width, 50 mW light power, and 10 MHz repetition rate), a photon microdevice detector, and a data  
 184 acquisition interface (TimeHarp 260 from PicoQuant).<sup>42</sup>

## 185 3. Results and Discussion

186 **3.1. Crystal structures, chemical states, and PL characteristics of Cesium Lead Bromide**  
 187 **NCs prepared by LARP method**

188 **3.1.1. Crystal structures and chemical states**

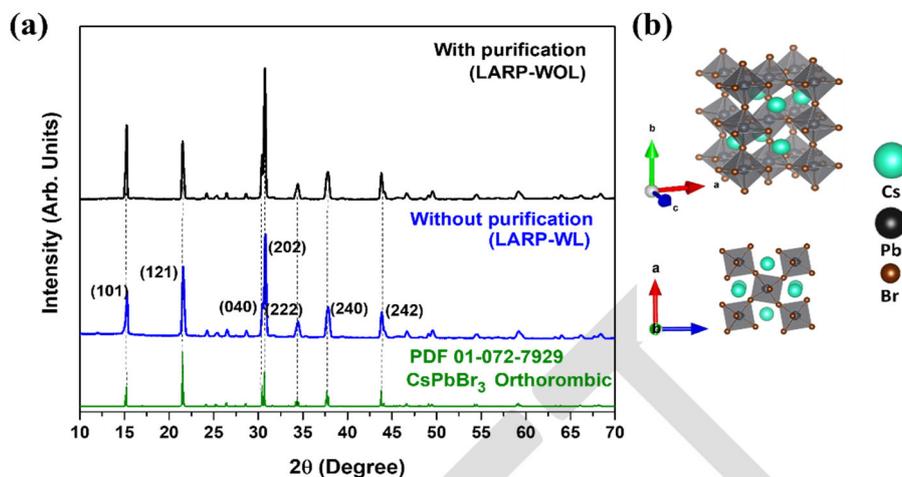
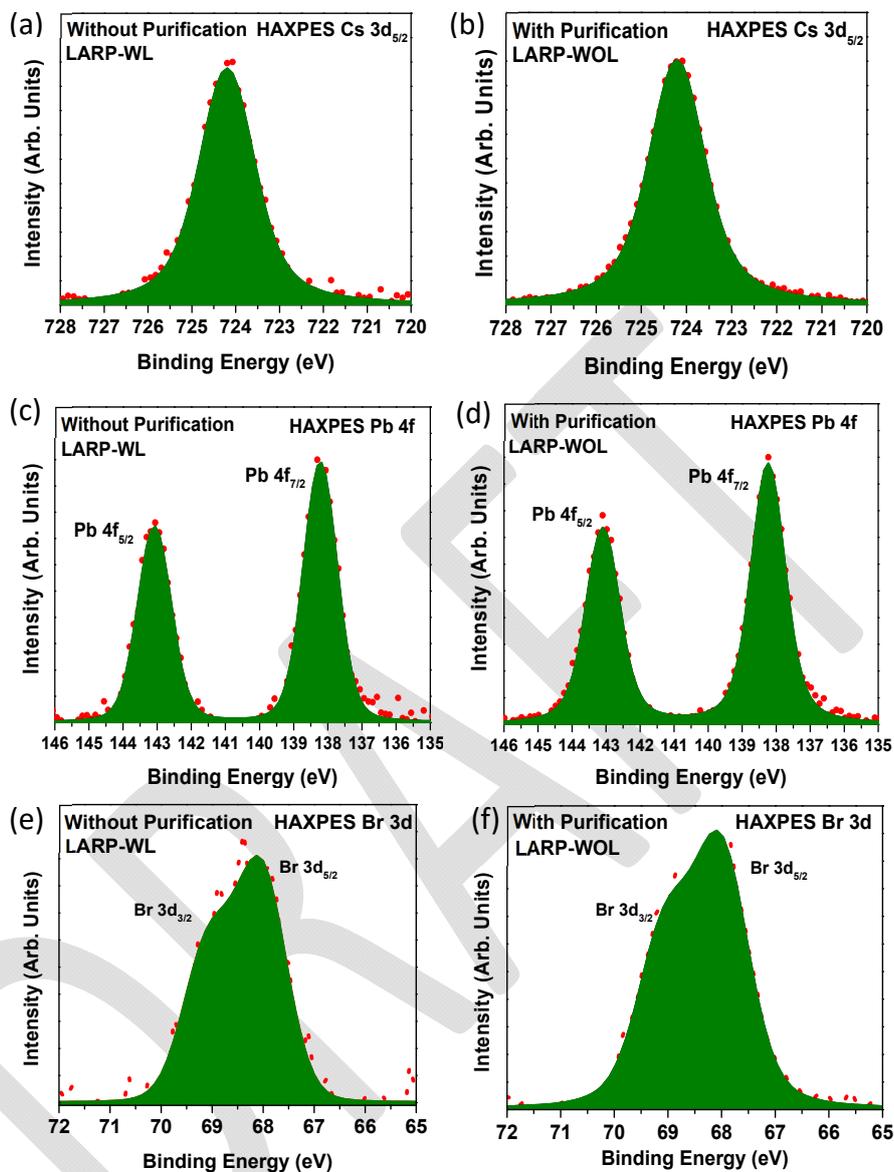


Fig. 3. (a) XRD patterns of the NCs with purification (LARP-WOL) and without purification (LARP-WL) and the reference data of the orthorhombic CsPbBr<sub>3</sub> (PDF-01-072-7929). (b) the top and the side views of crystal structure of orthorhombic CsPbBr<sub>3</sub>.

189  
 190 The XRD measurements were performed to identify the crystal structures of the NCs prepared by the  
 191 LARP method (LARP WOL and LARP-WL). The XRD patterns of both NCs, as shown in Fig. 3 (a), indicate  
 192 the prominent peaks at  $2\theta = 15.2^\circ$ ,  $21.6^\circ$ ,  $30.5^\circ$ , and  $30.8^\circ$ , which could be assigned to the (101), (121),  
 193 (040), and (202) diffraction planes of orthorhombic CsPbBr<sub>3</sub> by referring the powder diffraction file  
 194 PDF-01-072-7929 shown in Fig. 3 Fig. 3 (a).<sup>43</sup> The crystal structure of the orthorhombic CsPbBr<sub>3</sub> is  
 195 shown in Fig. 3 (b). The lattice constants were estimated to be  $a = 8.24 \text{ \AA}$ ,  $b = 11.74 \text{ \AA}$ , and  $c = 8.2 \text{ \AA}$ .  
 196 Because both NCs have the indistinguishable XRD patterns, the orthorhombic crystal structure  
 197 remains unchanged after the purification process. However, in general, XRD shows the entire volume  
 198 of the NCs, which is consequently insensitive to changes in the crystal surface.

199 Fig. 4 (a) and (b) show the Cs 3d<sub>5/2</sub> core-level HAXPES spectra for the NCs without and with  
 200 purification (LARP-WL and LARP-WOL), respectively. Both NCs exhibit one peak at 724.2 eV, which is  
 201 attributed to the Cs atoms in the CsPbBr<sub>3</sub> NCs.<sup>44,45</sup> Fig. 4 (c) and (d) show the Pb 4f core-level HAXPES  
 202 spectra for the NCs without and with purification (LARP-WL and LARP-WOL), respectively, which show  
 203 two peaks at Pb 4f<sub>7/2</sub> (138.2 eV) and Pb 4f<sub>5/2</sub> (143.1 eV). These peaks are attributed to the Pb atoms in  
 204 CsPbBr<sub>3</sub> NCs.<sup>46</sup> Fig. 4 (e) and (f) show the Br 3d core-level HAXPES spectra for the NCs without and with  
 205 purification (LARP-WL and LARP-WOL). Both samples have one component (Br 3d<sub>5/2</sub> (68.2 eV) and Br  
 206 3d<sub>3/2</sub> (69.2 eV)), which is due to the Br atoms in CsPbBr<sub>3</sub> NCs.<sup>27,47</sup> Because HAXPES exhibits bulk

207 chemical information (the information depth of  $\sim 10 \text{ nm}^{46}$ ), the chemical states of the inner side of the  
 208 NCs might not be affected by the surface ligands and the purification processes.



209  
 210 Fig. 4. HAXPES spectra of the NCs without (LARP-WL) and with purification (LARP-WOL) (a) and (b) for Cs  $3d_{5/2}$ ; (c) and (d) for Pb 4f; and (e) and (f) for Br 3d, respectively.

211 XPS measurements were performed to investigate the surface chemical states of the NCs. Note  
 212 that XPS exhibits relatively surface sensitive method in which the information depth is  $\sim 3 \text{ nm}$ .<sup>48,49</sup> Fig.  
 213 5 (a) shows the survey XPS spectra of both NCs, showing the presence of Cs, Pb, Br, C, and O atoms in  
 214 the  $\text{CsPbBr}_3$  NCs. These NCs show significant differences between the peak intensities of the C 1s and  
 215 O 1s core-levels, where the NCs without purification (LARP-WL) show higher peak intensities of C 1s  
 216 and O 1s than the NCs with purification (LARP-WOL). Fig. 5 (b) shows the C 1s spectrum of the NCs

217 without purification (LARP-WL), which can be deconvoluted into three components. The peak at 284.8  
 218 eV is attributed to C-C and C-H bonds of the LA molecules, whereas the peaks at 285.3 eV and 289.3  
 219 eV are associated with C=C and O-C=O bonds of the LA molecules, respectively.<sup>50</sup> For the O 1s  
 220 spectrum (Fig. 5 (c)), there are two components observed at 532.4 eV and 533.7 eV, which are  
 221 attributed to O-C and O-C=O bonds of the LA molecules, respectively.<sup>50,51</sup> Fig. 5 (d) and (e) show the C  
 222 1s and O 1s core-level XPS spectra for the NCs with purification (LARP-WOL), showing a drastic  
 223 decrease in the areal peak intensities comparing to the case of the NCs without purification (LARP-  
 224 WL). Therefore, the ligands at the CsPbBr<sub>3</sub> NC surface are removed by the purification process. The  
 225 peaks at 284.4 eV and 532.3 eV for respective C 1s and O 1s core-levels may be attributed to the  
 226 surface contaminations formed by sample preparation process<sup>52</sup>, which are usually observed in XPS  
 227 spectra.<sup>53</sup>

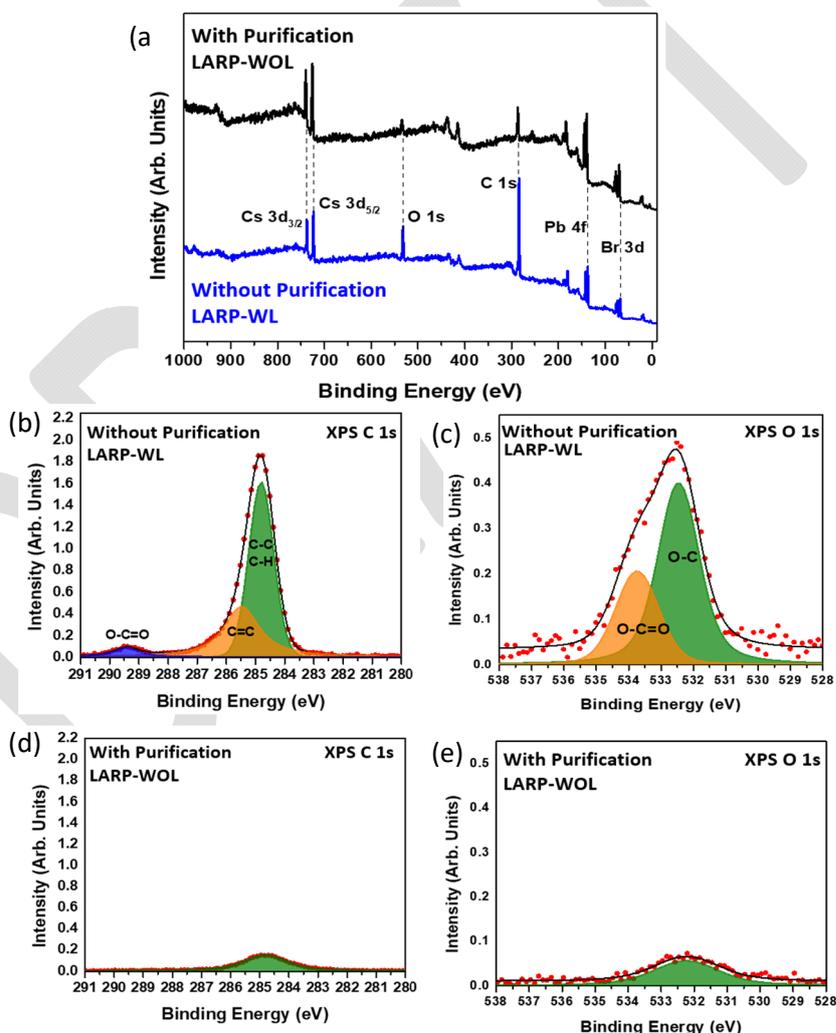


Fig. 5. XPS spectra survey for the NCs without (LARP-WL) and with purification (LARP-WOL) (b) C 1s and (c) O 1s of the NCs without purification (LARP-WL). (d) C 1s and (e) O 1s of the NCs

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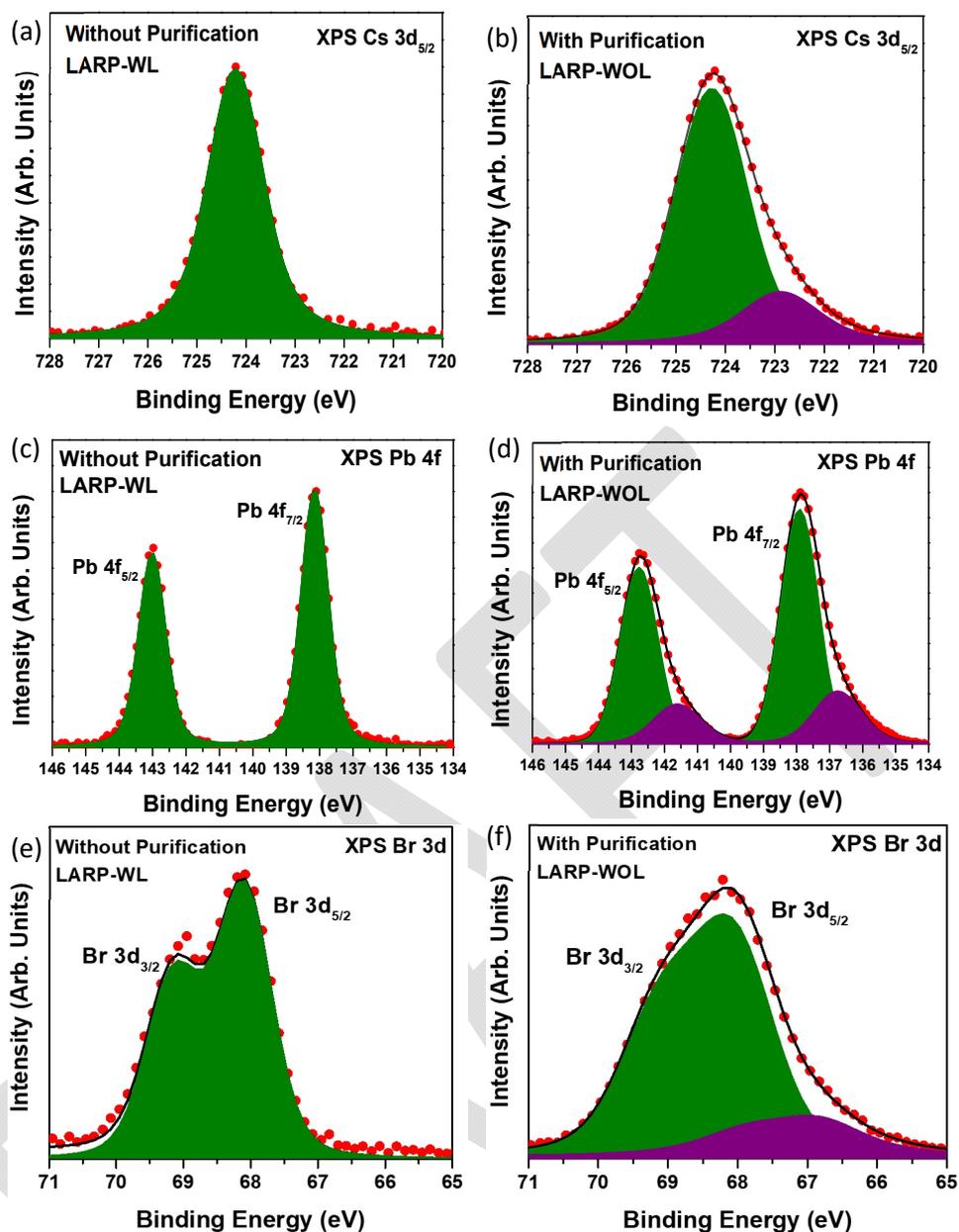


Fig. 6. XPS spectra of the NCs without (LARP-WL) and with purification (LARP-WOL); (a) and (b) for Cs  $3d_{5/2}$ ; (c) and (d) for Pb 4f; and (e) and (f) for Br 3d, respectively.

230

231

232 Fig. 6 (a) shows the Cs  $3d_{5/2}$  core-level XPS spectrum of the NCs without purification (LARP-WL).  
 233 There is one peak at 724.2 eV, which is attributed to the Cs atoms in CsPbBr<sub>3</sub> NCs.<sup>44,45</sup> Fig. 6 (b) shows  
 234 the Cs  $3d_{5/2}$  core-level XPS spectrum of the NCs with purification (LARP-WOL). There are two  
 235 components at 724.2 and 722.9 eV. The higher binding energy component at 724.2 eV (green  
 236 component shown in Fig. 6 (b)) could be attributed to the Cs atom in CsPbBr<sub>3</sub> NCs<sup>44,45</sup>, whereas the  
 237 lower-binding-energy component at 722.9 eV (purple component) might be due to Cs<sup>+</sup> accumulation  
 238 at the surface.<sup>54,55</sup> Fig. 6 (c) and (d) show the Pb 4f core-level spectra of the NCs without and with  
 239 purification. The NC without purification (LARP-WL) (Fig. 6 (c)) has one component (Pb  $4f_{7/2}$  (138.2 eV)

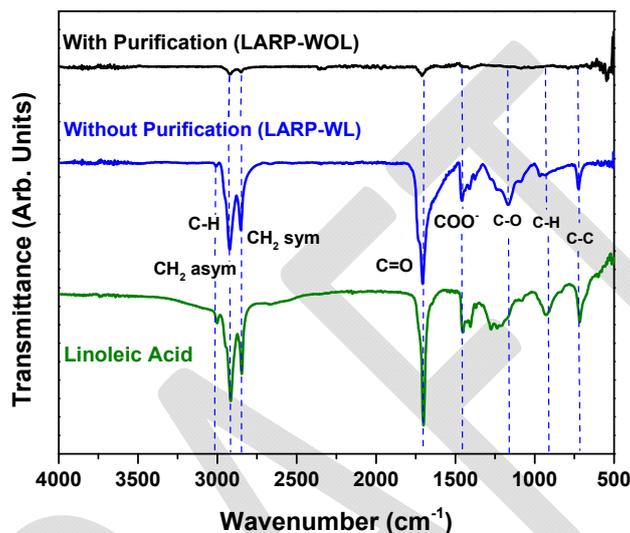
240 and Pb 4f<sub>5/2</sub> (143.1 eV)), which is attributed to the Pb atoms in CsPbBr<sub>3</sub> NCs.<sup>46</sup> In contrast, the NC with  
241 purification (LARP-WOL) (Fig. 6 (d)) has two components. The higher binding energy component (Pb  
242 4f<sub>7/2</sub> (138 eV) and Pb 4f<sub>5/2</sub> (142.9 eV)) is attributed to the Pb atom in CsPbBr<sub>3</sub> NCs, whereas the lower  
243 binding energy state (Pb 4f<sub>7/2</sub> (136.8 eV) and Pb 4f<sub>5/2</sub> (141.7 eV)), might be due to Pb atom with  
244 oxidation state of zero (Pb<sup>0</sup>) which might not bond with the Br atoms and could be present at the NCs  
245 surface.<sup>55–57</sup>

246 The Br 3d core-level XPS spectra for the NCs without and with purification (respective LARP-WL  
247 and LARP-WOL) are shown in Fig. 6 (e) and (f). For the NCs without purification (LARP-WL) (Fig. 6 (e)),  
248 the Br 3d core-level spectrum has one component (green color). The green component at 68.2 eV (Br  
249 3d<sub>5/2</sub>) and 69.2 eV (Br 3d<sub>3/2</sub>) could be originated from the Br atoms in CsPbBr<sub>3</sub> NCs.<sup>27,47</sup> In contrast, for  
250 the NCs with purification (LARP-WOL) (Fig. 6 (f)), the Br 3d XPS spectrum shows two components. The  
251 component at 68.0 eV (Br 3d<sub>5/2</sub>) and 69.0 eV (Br 3d<sub>3/2</sub>) (green color) could be originating from the Br  
252 atom in the CsPbBr<sub>3</sub> NCs.<sup>27,47</sup> The lowest binding energy component at 66.8 eV (Br 3d<sub>5/2</sub>) and 67.8 eV  
253 (Br 3d<sub>3/2</sub>) (purple color) might be due to unbonded Br atoms present at the NC surface.<sup>58</sup> All core-level  
254 spectra were fitted using a Voigt profile function, and the detailed fitting parameters are provided in  
255 the Supplementary Information (Table S1 and S2).

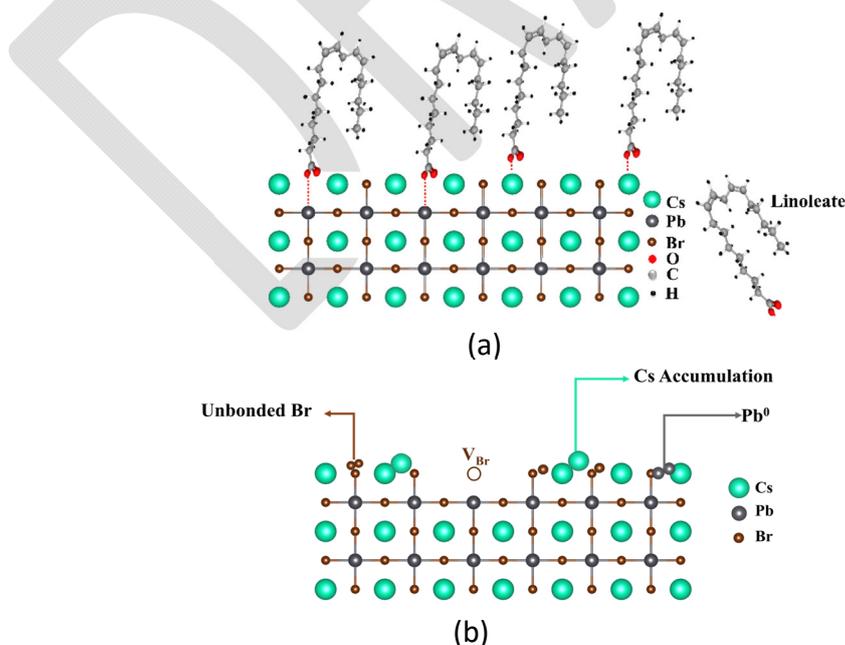
256 In order to investigate the chemical species of the ligands before and after purification, the FTIR  
257 measurements were performed for those NCs prepared by LARP methods. Fig. 7 shows the FTIR  
258 spectra of the NCs without purification (LARP-WL) and with purification. (LARP-WOL). For the NCs  
259 without purification (LARP-WL), a strong vibration peak at 1712.9 cm<sup>-1</sup> is attributed to the C=O  
260 (carbonyl) stretching vibration mode of the LA molecules whereas the peak at 2850–2924 cm<sup>-1</sup> is due  
261 to symmetric and asymmetric vibrations of CH<sub>2</sub> groups.<sup>38,59,60</sup> The peak at 3012 cm<sup>-1</sup> is originated from  
262 C-H stretching in the C=C-H species.<sup>61</sup> For the NCs with purification (LARP-WOL), although the  
263 intensities of those vibration bands are drastically decreased, several vibration bands such as C=O (at  
264 1710.5 cm<sup>-1</sup>) and C-H vibrations (at 2848.6 cm<sup>-1</sup> and 2921.6 cm<sup>-1</sup>) are still observed, indicating that an  
265 extremely small residue of the LA molecules remains after the purification. Further the details in the  
266 vibration band assignments of these FTIR spectra are shown in the Supplementary Information (Table  
267 S3). Note that these FTIR results are consistent with the C 1s XPS results described above, where  
268 significantly weak components of C 1s and O 1s remain after purification process (LARP-WL) as shown  
269 in Fig. 5 (a).

270 From XRD, HAXPES, XPS, and FTIR results, the structural models before and after purification are  
271 proposed in Figs. 8 Fig. 8 (a) and (b). Before purification (Fig. 8 (a)), the LA ligands are attached to the  
272 surface of the NCs. The carboxylate group of the ligands, which are negatively charged (COO<sup>-</sup>)<sup>62</sup>, might

273 bond to the positively charged  $\text{Pb}^{2+}$  and  $\text{Cs}^+$  at the NC surface. As a result, the ligands could passivate  
 274 the surface atoms, thus preventing the formation of defect species at the NC surface. After the  
 275 purification process (Fig. 8 (b)), the ligands are removed leading to random the crystal periodicity  
 276 termination at NC surface, forming the accumulation of Cs cations,  $\text{Pb}^0$ , and unbonded Br. In addition,  
 277 the Br anions might be also detached from the NC surface after purification, resulting in the formation  
 278 of Br vacancies. Our results suggest that the ligands might effectively prevent the formation of defect  
 279 species at the NCs surface.



280  
 281 Fig. 7. FTIR spectra of the NCs without purification (LARP-WL) and with purification  
 282 (LARP-WOL). The FTIR spectrum of the LA ligand is also shown as a reference  
 283 in the vibration component assignments.



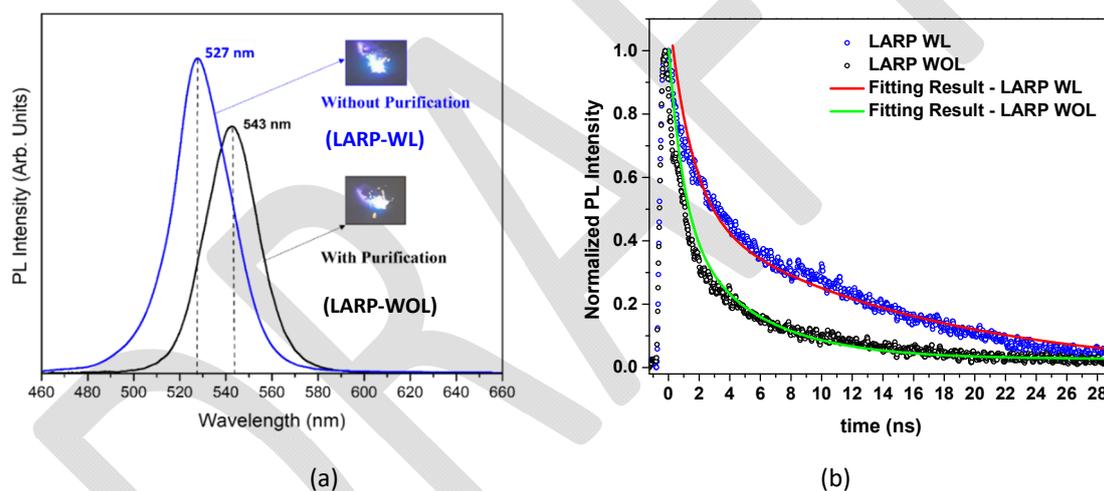
284  
 285 Fig. 8. Possible structures of the NCs near the surface (a) before and (b) after  
 286 purification.

287

### 288 3.1.2. PL characteristics

289 Fig. 9 (a) shows the PL spectra of the NCs without purification (LARP-WL) and with purification (LARP-  
290 WOL). The NCs without purification (LARP-WL) exhibit a shorter wavelength peak and higher PL  
291 intensity compared to the NCs with purification (LARP-WOL). Weak PL intensity observed at the NCs  
292 without purification (LARP-WL) might be attributed to the surface defect species<sup>63,64</sup>, such as the  
293 accumulation of  $\text{Cs}^+$ ,  $\text{Pb}^0$ , unbonded Br atoms, and Br vacancies at the NC surface. These defect species  
294 might form trap states in the bandgap and act as non-radiative recombination centers, decreasing the  
295 PL intensity.<sup>65</sup> For the observed red-shift peak, Liu *et al.* have conducted computational studies on the  
296 electronic structure of  $\text{CsPbBr}_3$  NCs and have shown that  $\text{CsPbBr}_3$  NCs with Br vacancies have a smaller  
297 band gap in comparison to the defect free  $\text{CsPbBr}_3$  NCs.<sup>66</sup> Thus, the observed red-shift for the NCs with  
298 purification (LARP-WOL) may be thus caused by the Br vacancies formed at the NC surface.<sup>67</sup>

299



300

301

302 Fig. 9. (a) PL spectra of the NCs without (LARP-WL) and with purification (LARP-WOL).

303 (b) The normalized intensity of PL as a function of time measured for the LARP-  
304 WL and LARP-WOL NCs. The fitting results are shown as solid lines.

305

306 Fig. 9(b) shows the PL intensity as a function of time (decay) for NCs with and without purification,  
307 indicating that the PL decay of the NCs with purification (LARP-WOL) exhibits more rapid decay  
308 compared to the case of the NCs without purification (LARP-WL). According to the previous studies,  
309 the PL intensity as a function of time (decay) can be fitted with a bi-exponential function consisting of  
310 the fast and the slow decay components, which is given by<sup>68,69</sup>

311 
$$I(t) = I_1 \exp\left(\frac{-t}{\tau_1}\right) + I_2 \exp\left(\frac{-t}{\tau_2}\right), \quad (1)$$

312 where  $I(t)$  represents the PL intensity at the time  $t$ ,  $I_1$  and  $I_2$  denote the initial intensities of the fast  
 313 and the slow decay components, and  $\tau_1$  and  $\tau_2$  denote the decay time constants of the fast and the  
 314 slow decays, respectively. The average decay time ( $\tau_{avg}$ ) can be calculated using the following  
 315 equation<sup>69</sup> :

316 
$$\tau_{avg} = \frac{I_1\tau_1^2 + I_2\tau_2^2}{I_1\tau_1 + I_2\tau_2} \quad (2)$$

317 The decay parameters obtained from fitting results are shown in Table 2. The fast decay component  
 318 could be ascribed to the radiative recombination of free excitons, whereas the slow decay could be  
 319 associated with the radiative recombination of trapped excitons.<sup>70,71</sup> Longer decay time for the NCs  
 320 without purification (LARP-WL) might indicate that the radiative recombination of free excitons could  
 321 be dominant.<sup>72</sup> In contrast, faster decays in the NCs with purification (LARP-WOL) may be explained  
 322 by non-radiative recombination via defect states.<sup>69,73</sup>

323 According to the previous report, the fast decay is originated from the free exciton recombination,  
 324 and the recombination occurs at the inner side of NCs<sup>70</sup>. On the other hand, the slow decay due to the  
 325 trapped exciton may occur mainly at the NC surface.<sup>71</sup> In the case of the NCs with purification (LARP-  
 326 WOL), the surface defect species such as accumulation of Cs, Pb<sup>0</sup>, the unbonded Br atoms, and the Br  
 327 vacancies could trap the excitons as non-radiative centers, resulting in shorter lifetime for radiative  
 328 recombination and dominance of non-radiative recombination. A similar trend was observed in the  
 329 average decay time, where NCs without purification (LARP-WL) is longer than NCs with purification  
 330 (LARP-WOL), indicating that ligands effectively suppress non-radiative recombination pathways and  
 331 prolong carrier lifetimes.

332 Table 2. The fitting results obtained from curve fittings of PL decays in Fig. 9 using a bi-exponential  
 333 decay function.  $I_1$  and  $I_2$  are the normalized initial intensities.

Sample	$I_1$	$\tau_1$ (ns)	$I_2$	$\tau_2$ (ns)	$\tau_{avg}$ (ns)
NCs without purification (LARP-WL)	0.58	1.77	0.50	14.12	12.55
NCs with purification (LARP-WOL)	0.56	0.98	0.43	5.02	4.20

334

## 335 **3.2. Crystal structures, chemical states and PL characteristics of Cesium Lead Bromide NCs** 336 **prepared by URSOA**

### 337 **3.2.1. Crystal structures and chemical states in the NCs**

338 The XRD patterns of the NCs without and with ligands prepared by the URSOA method (URSOA-  
339 WOL and URSOA-WL) are shown in Fig. 10 (a). These NCs show not only the indistinguishable XRD  
340 patterns of the NCs prepared by the LARP method but also exhibit additional XRD peaks observed only  
341 by the URSOA method. By comparison with the reference XRD data PDF- 01-077-8224, the diffraction  
342 peaks at 12.7°, 12.9°, 20.1°, 22.5°, 25.5°, 27.7°, 28.6°, 30.3°, 30.9°, 34.2° and 38.9° could be assigned  
343 to the crystal planes (012), (110), (113), (300), (024), (131), (214), (223), (006), (134), and (324) of  
344 Cs<sub>4</sub>PbBr<sub>6</sub> with hexagonal crystal structure.<sup>18,69</sup> The lattice constant was estimated to be a=b=13.72 Å  
345 and c=17.32 Å, with α=β=90° and γ=120°. <sup>74,75</sup> In addition, in comparison with PDF-01-072-7929, the  
346 peaks at 29°, 30.7°, 34.6°, and 43.7° can be assigned to the (122), (202), (103), and (242) planes of the  
347 orthorhombic CsPbBr<sub>3</sub> crystal structure.<sup>27,69</sup> The lattice constant was estimated to be a = 8.24 Å, b =  
348 11.74 Å, and c = 8.2 Å. These XRD patterns indicate the formation of both CsPbBr<sub>3</sub> and Cs<sub>4</sub>PbBr<sub>6</sub> crystal  
349 phases in the NCs prepared by URSOA method.

350 It should be noted that CsPbBr<sub>3</sub> exhibits a three-dimensional (3D) perovskite structure with  
351 orthorhombic structure (*Pnma* space group) at room temperature. This phase compose of a  
352 continuous network of corner-sharing [PbBr<sub>6</sub>]<sup>4-</sup> octahedra, where Cs<sup>+</sup> ions occupy the interstitial A-site  
353 positions within the perovskite framework<sup>76</sup>, as shown in Fig. 10 (b). In contrast, Cs<sub>4</sub>PbBr<sub>6</sub> has a  
354 hexagonal crystal structure (*R-3 c* space group)<sup>18,77</sup>, like an array or cluster of isolated [PbBr<sub>6</sub>]<sup>4-</sup>  
355 octahedra groups connected with Cs<sup>+</sup> ions, which act as spacers to maintain the separation between  
356 octahedra, as shown in Fig. 10 (c).<sup>18</sup> Therefore, regardless of the presence of the ligands, two distinct  
357 phases of the perovskite crystals are formed, namely orthorhombic CsPbBr<sub>3</sub> and hexagonal Cs<sub>4</sub>PbBr<sub>6</sub>  
358 crystal structures. By performing quantitative phase-analysis using the Rietveld method, the XRD  
359 patterns can be analyzed to determine the proportion of each phase.<sup>78</sup> The phase molar ratios of  
360 Cs<sub>4</sub>PbBr<sub>6</sub> to CsPbBr<sub>3</sub> for the NCs with and without ligands (respective URSOA-WL and URSOA-WOL)  
361 were estimated to be 10.1:1 and 11.5:1, respectively, which are relative good agreement with the  
362 other studies.<sup>69,79,80</sup>

363 Fig. 11 (a) and (b) show the Cs 3d<sub>5/2</sub> core-level HAXPES spectra for the NCs with and without ligands  
364 (URSOA-WL and URSOA-WOL). These HAXPES spectra were fitted by referring to the phase molar  
365 ratios of Cs<sub>4</sub>PbBr<sub>6</sub>:CsPbBr<sub>3</sub>, based on the XRD analysis results above, at 10.1:1 for URSOA-WOL and  
366 11.5:1 for URSOA-WL. The component at 724 eV (green color peak) can be assign to the Cs atoms in  
367 the CsPbBr<sub>3</sub> NCs, whereas the lower binding energy component at 723.3 eV (cream color peak) can be  
368 assigned to the Cs atoms in the Cs<sub>4</sub>PbBr<sub>6</sub> NCs.<sup>44,45,81</sup> Fig. 11 (c) and (d) show the Pb 4f core-level HAXPES  
369 spectra for the NCs with and without ligands (URSOA-WL and URSOA-WOL), which also indicate that  
370 the Pb atoms exhibit two different chemical states. The lower binding energy component at 137.4 eV  
371 (Pb 4f<sub>7/2</sub>) and 142.3 eV (Pb 4f<sub>5/2</sub>) is attributed to the Pb atoms in the Cs<sub>4</sub>PbBr<sub>6</sub> NCs<sup>82</sup>, whereas the

372 higher binding energy component at 138.0 eV (Pb 4f<sub>7/2</sub>) and 142.8 eV (Pb 4f<sub>5/2</sub>) could be due to the Pb  
 373 atom in the CsPbBr<sub>3</sub> NCs.<sup>46</sup> Fig. 11 (e) and (f) show the Br 3d core-level HAXPES spectra. The component  
 374 at 67.2 eV (Br 3d<sub>5/2</sub>) and 68.2 eV (Br 3d<sub>3/2</sub>) could be attributed to the Br atoms of the Cs<sub>4</sub>PbBr<sub>6</sub> NCs<sup>28,83</sup>,  
 375 whereas the higher binding energy component (green color) peaked at 68.2 eV (Br 3d<sub>5/2</sub>) and 69.2 eV  
 376 (Br 3d<sub>3/2</sub>) could be due to the Br atoms of the CsPbBr<sub>3</sub> NCs.<sup>28</sup> Therefore, the chemical states of the  
 377 inner side of the NCs are not affected by the presence and absence of the ligands.

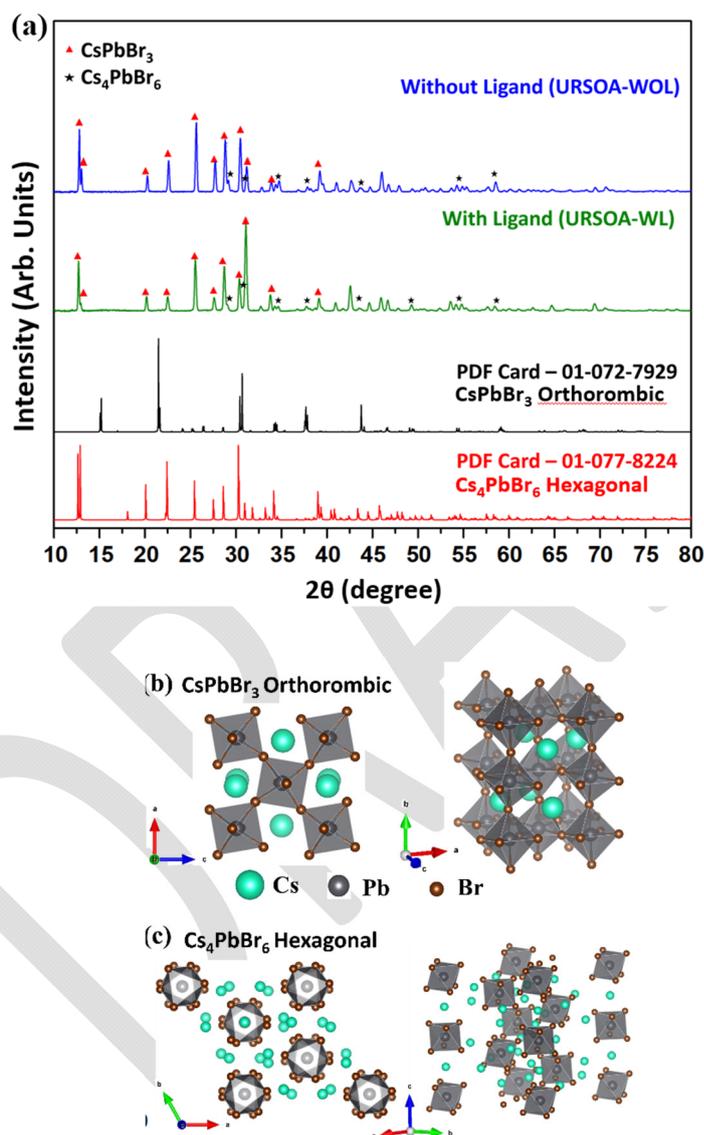


Fig. 10. XRD patterns of the NCs without (blue line) and with (green line) ligands. The (★) symbol indicates the diffraction peak from CsPbBr<sub>3</sub> phase, whereas the (▲) symbol indicates the diffraction peak from Cs<sub>4</sub>PbBr<sub>6</sub> phase. Reference data for orthorhombic CsPbBr<sub>3</sub> (PDF-01-072-7929) (black line) and hexagonal Cs<sub>4</sub>PbBr<sub>6</sub> (PDF-01-077-8224) (red line) are also shown for comparison. (b) The orthorhombic crystal structure of CsPbBr<sub>3</sub> (*Pnma* space group) and (c) the hexagonal crystal structure of Cs<sub>4</sub>PbBr<sub>6</sub> (*R-3c*

378

379 Fig. 12 (a) shows the survey XPS spectrum of the NCs with and without ligands (URSOA-WL and  
380 URSOA-WOL), showing a substantial difference in the peak intensities of C 1s and O 1s; the NCs with  
381 ligands (URSOA-WL) have much higher peak intensities of C 1s and O 1s than the NCs without ligands  
382 (URSOA-WOL). Fig. 12 (b) shows the C 1s spectrum of the NCs with ligands (URSOA-WL), which can be  
383 deconvoluted into three components. The peak at 284.8 eV is attributed to the C atoms of C-C and C-  
384 H bonds of the LA and the OIAm molecules, whereas the peaks at 285.4 eV and 288.1 eV are due to  
385 the C atoms of C=C/C-N and O-C=O bonds of the LA and the OIAm molecules, respectively.<sup>50</sup> For the O  
386 1s XPS spectrum of the NCs with ligands (URSOA-WL) (Fig. 12 (c)), there are two components at 532.2  
387 eV and 533.6 eV, which might be attributed to O-C and O-C=O bonds of the LA and the OIAm molecules,  
388 respectively.<sup>50,51</sup> The weak peak intensities of the C 1s and O1s core-levels of the NCs without ligands  
389 (URSOA-WOL), as shown in Fig. 12 (d) and (e), might be originated from the contaminants formed by  
390 sample preparation process.<sup>52</sup>

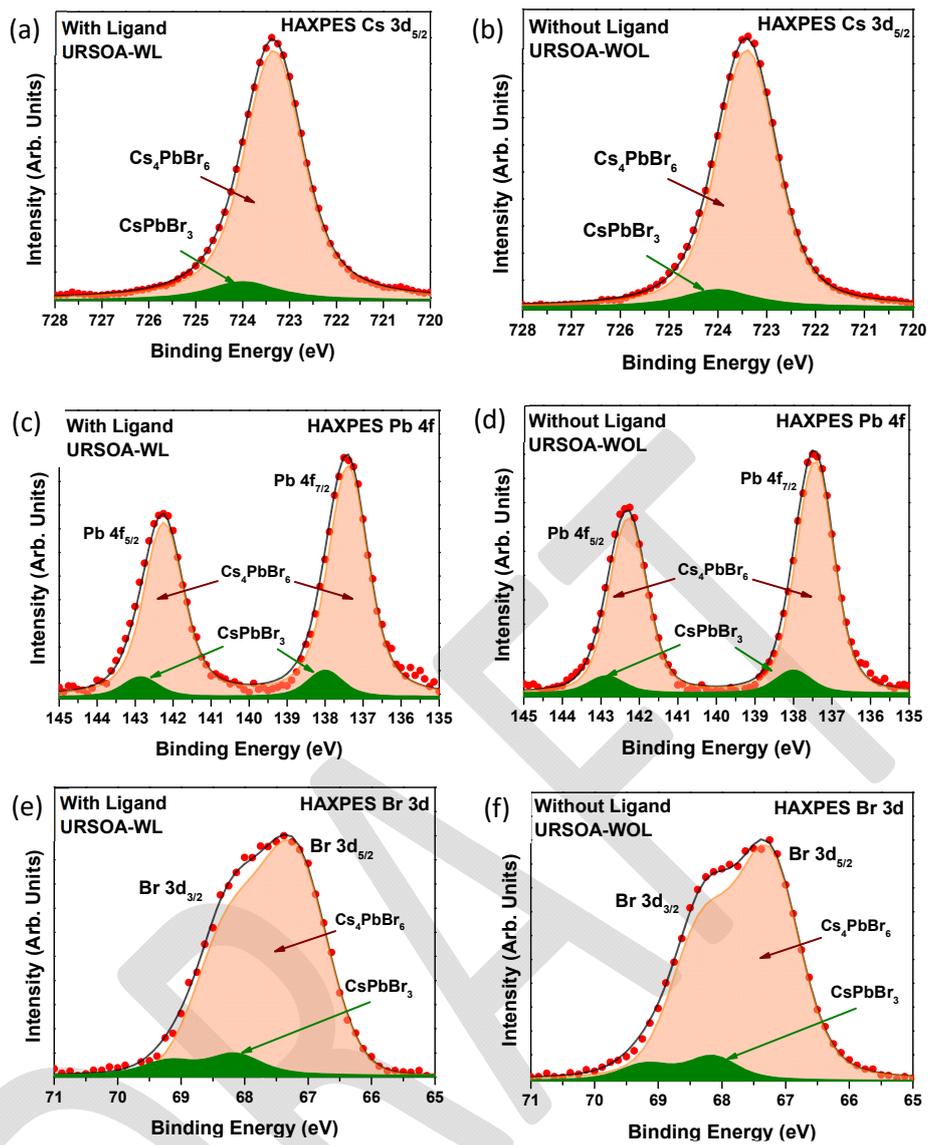


Fig. 11. HAXPES spectra for the NCs with (URSOA-WL) and without ligand (URSOA-WOL): (a) and (b) for Cs 3d<sub>5/2</sub> (c) and (d) for Pb 4f; and (e) and (f) for Br 3d, respectively.

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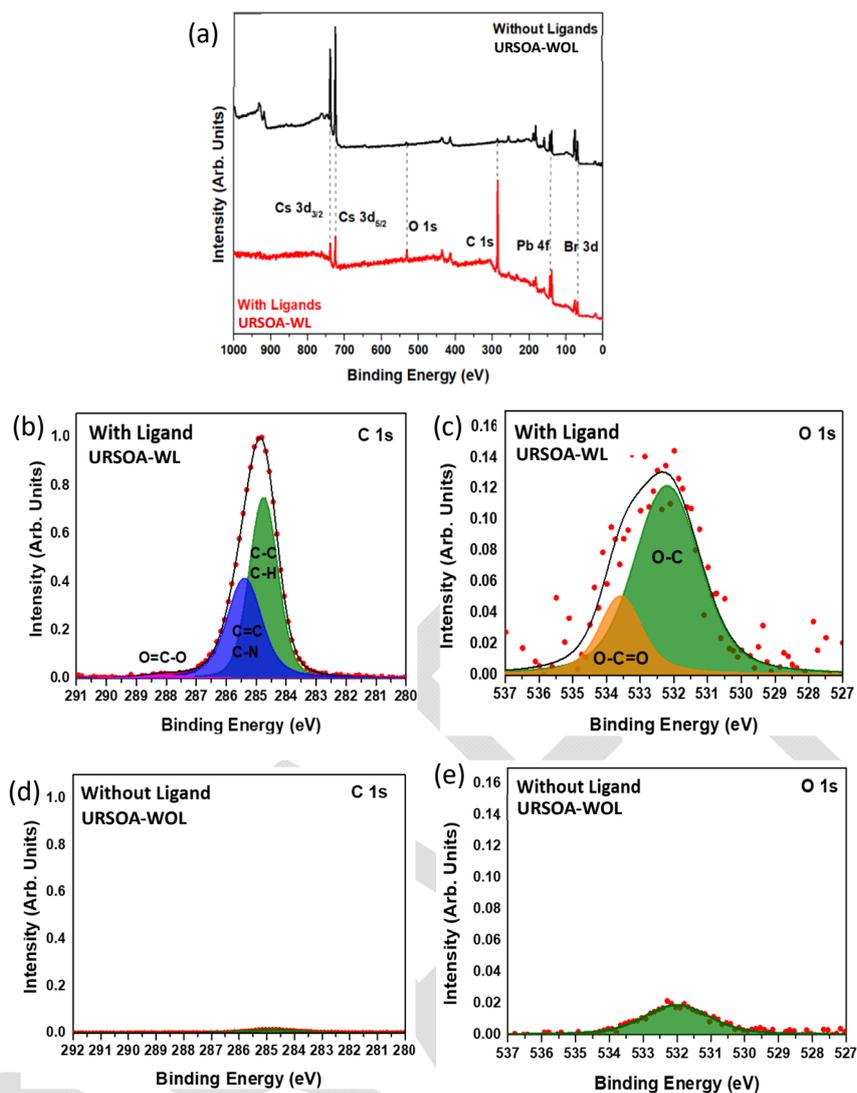


Fig. 12. (a) XPS survey spectra for the NCs with and without ligands. XPS spectra for (b) C 1s and (c) O 1s of NCs with ligands (URSOA-WL). XPS spectra for (d) C 1s and (e) O 1s of NCs without ligands

Fig. 13 (a) shows the Cs 3d<sub>5/2</sub> core-level XPS spectrum for the NCs with the ligands (URSOA-WL). The higher binding energy component at 724 eV is attributed to the Cs atom in the CsPbBr<sub>3</sub> NCs (green color)<sup>45</sup>, whereas the lower binding energy component at 723.3 eV is due to the Cs atom in Cs<sub>4</sub>PbBr<sub>6</sub> NCs (cream color).<sup>28</sup> In contrast, for the NCs without ligands (URSOA-WOL), there are three components as shown in Fig. 13 (b). The highest and the second highest binding energy components at 724 eV and 723.3 eV are attributed to the Cs atom in the CsPbBr<sub>3</sub> and the Cs<sub>4</sub>PbBr<sub>6</sub> NCs, respectively.<sup>28,45</sup> The lowest binding energy component at 722 eV (purple color) might be attributed to the accumulation of Cs<sup>+</sup> at the surface of the NCs.<sup>54,55</sup>

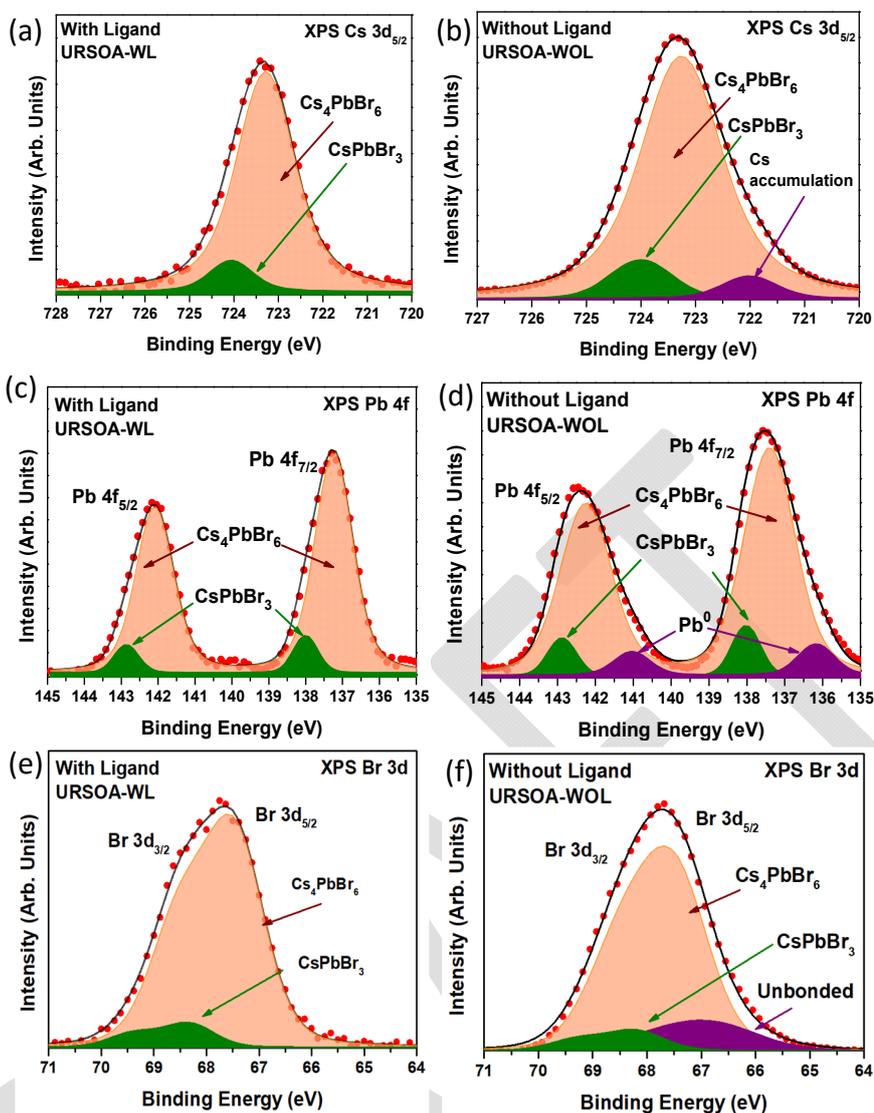


Fig. 13. XPS spectra of the NCs with (URSOA-WL) and without ligands (URSOA-WOL); (a) and (b) Cs 3d<sub>5/2</sub>; (c) and (d) Pb 4f; and (e) and (f) Br 3d, respectively.

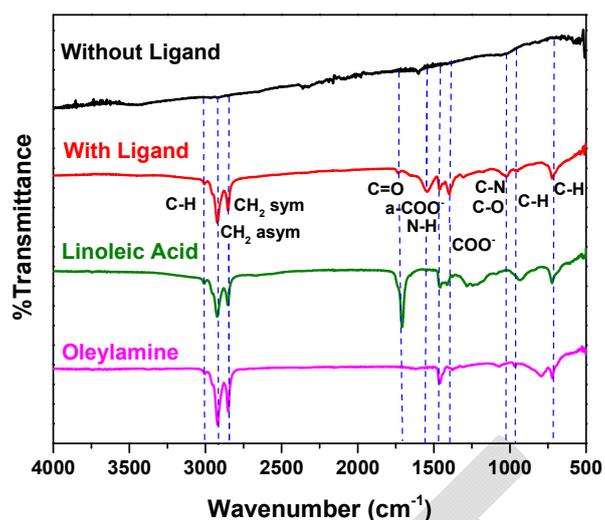
405

406 Fig. 13 (c) and (d) show the Pb 4f XPS spectra for the NCs with and without ligands (URSOA-WL and  
 407 URSOA-WOL). For the NCs with the ligands (URSOA-WL), the highest and the second highest binding  
 408 energy peaks at 138.0 eV and 137.3 eV could be attributed to the Pb atoms in the CsPbBr<sub>3</sub> and Cs<sub>4</sub>PbBr<sub>6</sub>  
 409 NCs, respectively.<sup>82</sup> In contrast, for the NCs without the ligands (URSOA-WOL), the components at the  
 410 highest binding energy and the second highest binding energy can be attributed to the Pb atoms in  
 411 the CsPbBr<sub>3</sub> and the Cs<sub>4</sub>PbBr<sub>6</sub> NCs, respectively. The lowest binding energy component observed at  
 412 136.2 eV (purple color) might be attributed to Pb<sup>0</sup>.<sup>46,57</sup> Fig. 13 (e) shows the Br 3d spectrum of the NCs  
 413 with ligands (URSOA-WL). The green color components are attributed to the Br atoms in CsPbBr<sub>3</sub> NCs,  
 414 <sup>27,47,84</sup> whereas the cream color components might be due to the Br atoms in Cs<sub>4</sub>PbBr<sub>6</sub> NCs.<sup>28,84</sup> On the  
 415 other hand, for Br 3d spectrum of the NCs without ligands (URSOA-WOL), there is one additional

416 component (purple color) observed at 66.7 eV (Br 3d<sub>5/2</sub>) (Fig. 13 (f)), which may be attributed to the  
417 unbonded Br atoms present at the NCs surface.<sup>58</sup>

418 Fig. 14 shows the FTIR spectra of the NCs with and without ligands (URSOA-WL and URSOA-WOL).  
419 The NCs with ligands (URSOA-WL) show similar vibrational peaks to the LA (green) and the OIAm  
420 (magenta) molecules, indicating that the LA and the OIAm molecules could bond to the NC surface.  
421 The bands at 2919 and 2849 cm<sup>-1</sup> correspond to asymmetric and symmetric stretching vibration mode  
422 of the -CH<sub>2</sub>- groups<sup>38,59,60</sup> in long-chain alkyl groups of both LA and OIAm molecules. A weak shoulder  
423 around 3006 cm<sup>-1</sup> corresponds to C-H stretching mode.<sup>59</sup> The vibration peak at 1731 cm<sup>-1</sup> is attributed  
424 to the C=O (carbonyl) stretching vibration mode of the LA molecules. The absorption at 1538 cm<sup>-1</sup> is  
425 attributed to the asymmetric stretching vibration mode of COO<sup>-</sup> of the carboxylate group, whereas  
426 the band around ~1400 cm<sup>-1</sup> corresponds to symmetric stretch mode of COO<sup>-</sup> of the carboxylate group,  
427 indicating the deprotonated carboxylic acid of the LA molecules. Additionally, a band around 1500 cm<sup>-1</sup>  
428 is assigned to N-H bending mode<sup>38,85</sup>, which is due to amine species of the OIAm molecule.<sup>60</sup> The  
429 peak at 1019 cm<sup>-1</sup> is assigned to the C-N stretching vibration modes of the OIAm molecule.<sup>86</sup> In  
430 contrast, for the NCs without ligand (URSOA-WOL), the vibrational peaks corresponding to the LA and  
431 the OIAm molecules are hardly ever observed, indicating the LA and the OIAm molecules could not  
432 bond to the NC surface. The detail vibrational band assignments for the FTIR spectra of these samples  
433 are provided in the Supplementary Information (Table S4).

434 Fig. 15 (a) shows the proposed surface structure of the NCs with ligands obtained from XRD,  
435 HAXPES, XPS, and FTIR results. In the case of URSOA-WL, the LA and the OIAm molecules could interact  
436 with the NC surface. The carboxylate groups of the LA molecules may form a bonding with the Cs and  
437 the Pb cations at the outermost crystal sites, or they may also attach at the Br vacancies on the NC  
438 surface.<sup>62</sup> In the case of the OIAm molecules, the amine group (-NH<sub>2</sub>) might be positively charged due  
439 to the formation of -NH<sub>3</sub><sup>+</sup><sup>87,88</sup>, which might bond to the Br anions at the NC surface. The amine group  
440 enables the OIAm ligand to bond specifically to Br anion at the outermost NCs surface. Thus, these  
441 ligands are able to passivate the surface defects and inhibit the presence of uncoordinated atoms. On  
442 the other hand, the NCs without ligand (URSOA-WOL) exhibit the formation of surface defect species  
443 such as the accumulation of Cs<sup>+</sup>, Pb<sup>0</sup>, unbonded Br atoms, and Br vacancies at the NC surface.  
444 Therefore, the ligands effectively prevent the formation of defects near the surface of the NCs.



445

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447

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Fig. 14. FTIR spectra of the NCs without ligands (URSOA-WOL) and with ligands (URSOA-WL). The FTIR spectra of LA and OIAM ligands are also shown as the references.

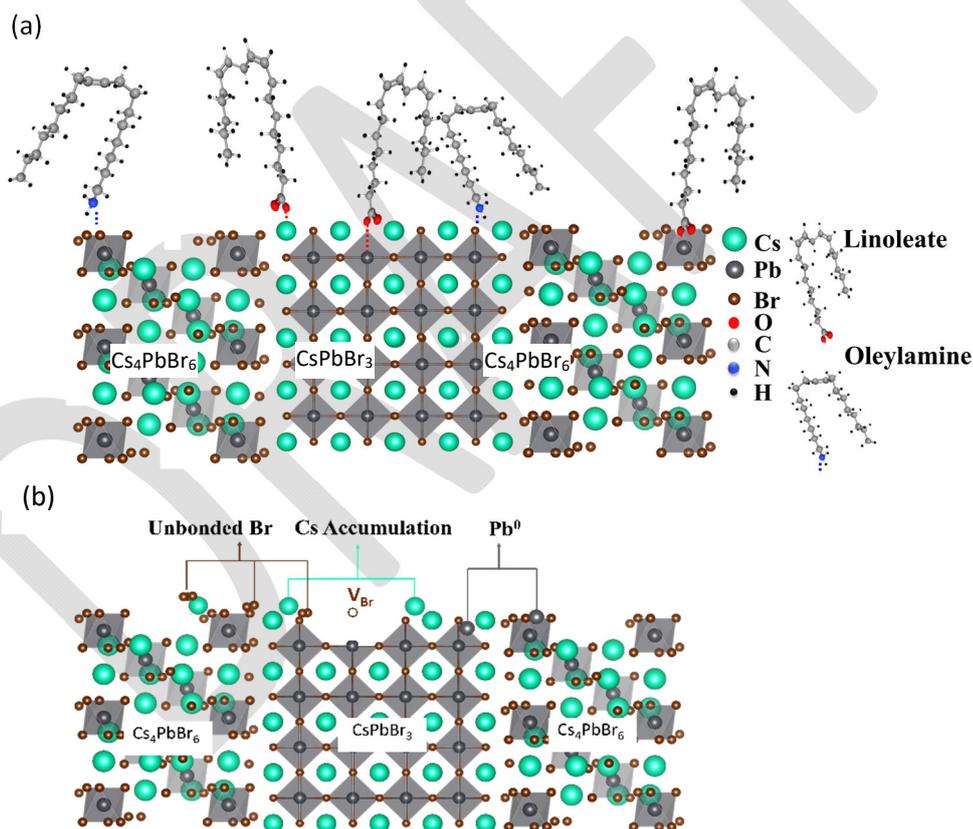


Fig. 15. Structural models of the NC near the surface (a) with ligands (URSOA-WL) and (b) without ligands (URSOA-WOL).

449

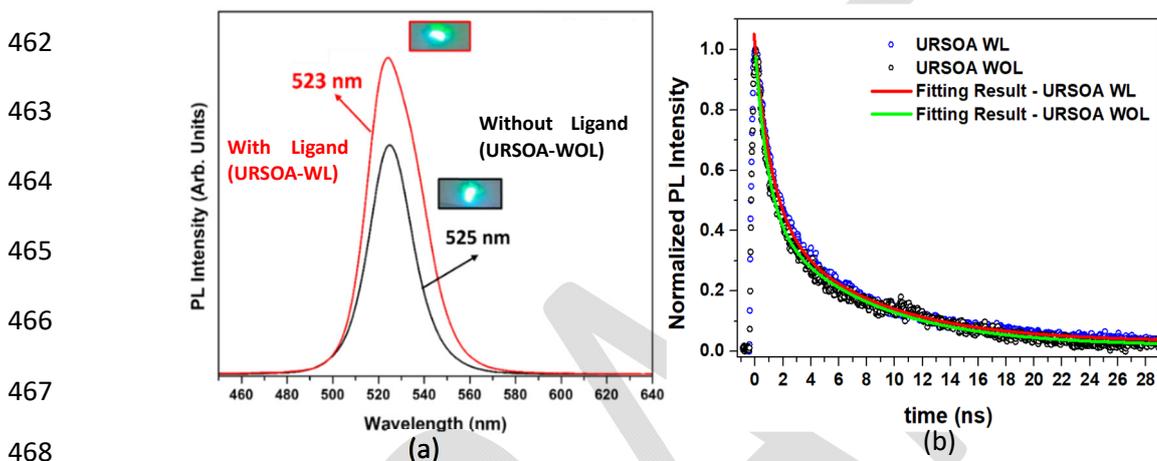
### 450 3.2.2. PL characteristics

451

452

Fig. 16 (a) shows the PL spectra of the NCs with and without ligands (URSOA-WL and URSA-WOL) where the PL peaks for both NCs are observed at almost the same wavelengths of 523 and 525 nm,

453 respectively. The NCs with ligands (URSOA-WL) exhibit a stronger PL intensity than the NCs without  
 454 ligands (URSOA-WOL). Based on the XPS results described above, defect species are not observed at  
 455 the NC surface in the case of the NCs with ligands (URSOA-WL). Under these circumstances, the  
 456 radiative recombination could be more predominant than the non-radiative recombination, leading  
 457 to intense PL intensity. In contrast, in the case of the NCs without ligands (URSOA-WOL), the NCs could  
 458 form the surface defect species which may form the trap states within the band gap.<sup>89</sup> The excitons  
 459 trapped at the surface defect species could exhibit non-radiative recombination process. Thus, weaker  
 460 PL intensity observed for the NCs without ligands (URSOA-WOL) may be caused by the surface defect  
 461 species which might act as non-radiative decay center.



469 Fig. 16. (a) PL spectra of the NCs prepared by URSOA method: the NCs with (red line)  
 470 and without ligands (black line). (b) The normalized intensity of PL as a function  
 471 of time measured for the URSOA-WL and URSOA-WOL NCs. The fitting results  
 472 are also shown as solid lines.

473  
 474 The PL decays observed in the NCs prepared by the URSOA method (URSOA-WL and URSOA-WOL)  
 475 show almost similar decay characteristics, as seen in Fig. 16 (b). These PL decays were fitted using a  
 476 bi-exponential function with two distinct decay time constants, including their average decay time.<sup>70,71</sup>  
 477 The PL decays in Fig. 16 (b) and the fitting results (Table 3) indicate that the NCs prepared by the  
 478 URSOA method (URSOA-WL and URSOA-WOL) exhibit indistinguishable decay features. This fact  
 479 implies that the excitons in these NCs might not be affected by the ligands and the surface defect  
 480 species. According to the previous study, due to the zero-dimensional (0D) characteristics of Cs<sub>4</sub>PbBr<sub>6</sub>  
 481 crystal structure, strong exciton confinement might occur in the isolated individual [PbBr<sub>6</sub>]<sup>4-</sup>  
 482 octahedron.<sup>17</sup> Although the NCs prepared by the URSOA method contain Cs<sub>4</sub>PbBr<sub>6</sub> and CsPbBr<sub>3</sub> phases  
 483 with the ratio of nearly equals to 10:1, the PL might be predominantly occurred on the Cs<sub>4</sub>PbBr<sub>6</sub> NCs.  
 484 The contribution from CsPbBr<sub>3</sub> NCs in the PL spectra is not seen because the PL peak of CsPbBr<sub>3</sub> NCs  
 485 is slightly red-shifted to a wavelength longer than 525 nm, as described in 4.1.2. The PL characteristics

486 of these URSOA NCs indicate a higher exciton confinement in the Cs<sub>4</sub>PbBr<sub>6</sub> NCs phase compared to  
 487 the CsPbBr<sub>3</sub> NCs phase.<sup>18,90</sup> Regarding the possibility of phase ratio changes, it should be noted that  
 488 such phase change can be disregarded by considering the XRD data of those URSOA NCs, which show  
 489 the similar phase composition (hexagonal Cs<sub>4</sub>PbBr<sub>6</sub> and orthorhombic CsPbBr<sub>3</sub>) in URSOA NCs with  
 490 and without ligands (URSOA-WL and -WOL NCs). Therefore, a slight increase in the PL intensity of the  
 491 URSOA-WL is originated from the surface ligands, which act as passivation molecules.

492 Table 3. The fitting results obtained from the curve fittings of PL decays in Fig. 16 (b) using a bi-  
 493 exponential function.  $I_1$  and  $I_2$  are the normalized initial intensities.

Sample	$I_1$	$\tau_1$ (ns)	$I_2$	$\tau_2$ (ns)	$\tau_{avg}$ (ns)
NCs with Ligand (URSOA-WL)	0.55	1.22	0.43	7.26	6.19
NCs without Ligand (URSOA-WOL)	0.55	1.06	0.42	7.23	6.14

494

### 495 3.3. Comparison between NCs obtained from LARP and URSOA synthesis methods

496 First of all, we discuss the influence of synthesis methods on the crystal structures of the resulting NCs.  
 497 Although both LARP and URSOA methods incorporate similar synthesis steps and precursor  
 498 compositions, the resulting NCs have different crystal structures. The LARP method yielded  
 499 orthorhombic CsPbBr<sub>3</sub> NCs. However, the URSOA method yielded a mixture of the orthorhombic  
 500 CsPbBr<sub>3</sub> phase and the hexagonal Cs<sub>4</sub>PbBr<sub>6</sub> NCs phase, where the phase molar ratio of  
 501 Cs<sub>4</sub>PbBr<sub>6</sub>:CsPbBr<sub>3</sub> is nearly equal to 10:1. The LARP method facilitates a gradual crystallization process,  
 502 resulting in the high crystal symmetry CsPbBr<sub>3</sub> NCs. In contrast, in the URSOA method, ultrasonic  
 503 waves may lead to cavitation effects and localized heating, characterized by intense atomic vibrations.  
 504 This condition may hinder the formation of highly symmetric crystal structures. Under these  
 505 conditions, ultrasonication tends to produce Cs<sub>4</sub>PbBr<sub>6</sub> NCs more preferentially rather than CsPbBr<sub>3</sub>  
 506 NCs.<sup>29</sup>

507 Next, we discuss the relationship between the ligand and the surface chemical states. In the LARP  
 508 method, LA could dissociate into a linoleate anion (L<sup>-</sup>) and proton (H<sup>+</sup>), in which the L<sup>-</sup> anion tends to  
 509 act as Lewis acids. During the crystal (surface) formation, the L<sup>-</sup> anion might bond to the Cs<sup>+</sup> and Pb<sup>2+</sup>  
 510 cations by using carboxyl -COO<sup>-</sup> group whereas H<sup>+</sup> may bond to the Br atom (as shown in Fig. 8).<sup>51,62,91</sup>  
 511 Therefore, the LA molecules might prevent the formation of defect states at the NC surface. In the  
 512 absence of the LA ligand, since the Cs, Pb, and Br atoms at the NC surface might be unstable, these  
 513 atoms could be easily removed from their sites in the crystalline structure, forming the accumulations  
 514 of Cs<sup>+</sup>, Pb<sup>0</sup>, unbonded Br atoms, and Br vacancies at the NC surface. In the URSOA method, on the

515 other hand, two kinds of ligands, namely LA and OIAM were used. The LA molecules might dissociate  
516 into  $L^-$  and  $H^+$ , where  $L^-$  could bond to the  $Cs^+$  and  $Pb^{2+}$  cations and  $H^+$  bond to  $Br^-$  anions at the NC  
517 surface. In addition,  $H^+$  might interact with  $NH_2$  species of the OIAM molecules, forming  $OIAM^+$  or –  
518  $NH_3^+$  cations.<sup>14,91</sup> These cations could bond to  $Br^-$  anions at the NC surface.<sup>92</sup> Several previous studies  
519 have highlighted the significance of the interaction between  $Br^-$  and ligands, particularly the formation  
520 of Br–oleylammonium on the NC surface.<sup>93,94</sup> This interaction facilitates robust passivation, thereby  
521 preventing the formation of Br vacancies and under-coordinated  $Pb^{2+}$ .<sup>93,94</sup> Thus, the LA and the OIAM  
522 ligands could neutralize the surface defect species, including defect vacancies, and passivate the  
523 surface defect states that could act as non-radiative recombination centers. In contrast to NCs without  
524 those ligands, where the surface defect species, such as the accumulations of  $Cs^+$ ,  $Pb^0$ , unbonded Br  
525 atoms, and Br vacancies, were formed at the NC surface, the presence of ligands evidently prevents  
526 the formation of those surface defect species.

527 Finally, we also need to discuss the difference in PL characteristics observed in the NCs prepared  
528 by the LARP and URSOA methods in relation to their surface chemical states. The PL of LARP NCs mainly  
529 seems weaker than the URSOA NCs. In the LARP method, we did not use OIAM ligand during the  
530 synthesis. In the URSOA method, however, OIAM ligand was used in addition to OA ligand. It has been  
531 reported the formation of Br–oleylammonium provides strong passivation and can stabilize excitons  
532 on the NC surface, which thus results in high photoluminescence efficiency.<sup>93–95</sup> The presence of only  
533 Cs–oleate cannot provide effective passivation, resulting in a large number of trap states and reducing  
534 photoluminescence efficiency.<sup>93</sup> Therefore, these facts strongly emphasize the effect of ligands on PL  
535 characteristics by minimizing the non-radiative recombination sites on the surface of NCs.

536 In addition, it is also important to consider also the difference of PL characteristics in those LARP  
537 and URSOA in relation to their crystal structures. The PL characteristics of the LARP  $CsPbBr_3$  NCs will  
538 be related to its orthorhombic crystal structure, where the  $[PbBr_6]^{4-}$  octahedrons are continuously  
539 connected through a corner-sharing arrangement to form a 3D network<sup>76</sup>, as illustrated in Fig. 10 (b).  
540 This 3D network facilitates the formation of excitons with large Bohr radius and long diffusion  
541 length.<sup>96,97</sup> Certain excitons may diffuse towards the surfaces of the NCs, where they subsequently  
542 emit PL. However, certain excitons may be trapped at the surface defect sites, which can undergo  
543 nonradiative recombination or emit PL at a lower photon energy and intensity. Consequently, PL  
544 quenching may occur and shorten the PL lifetime, as observed in the NCs without ligand (LARP-WOL).  
545 Different situation occurs in the URSOA NCs consisting of the predominant  $Cs_4PbBr_6$  NCs structure,  
546 where each  $[PbBr_6]^{4-}$  octahedron is surrounded by the Cs atoms being isolated without sharing the  
547 corner atoms with neighboring  $[PbBr_6]^{4-}$  octahedron (Fig. 10 (c)). In this arrangement,  $[PbBr_6]^{4-}$   
548 octahedron could be rather isolated, forming OD arrangement.<sup>28,90</sup> This OD arrangement could confine

549 the excitons within the individual octahedra, showing the excitons with a smaller Bohr radius.<sup>90,98</sup> As  
550 a result, the peak position does not change and PL intensity is just slightly decreased.

551

#### 552 **4. Conclusion**

553 Cesium lead bromide perovskite NCs have been synthesized using the LARP and URSOA methods,  
554 where those methods used similar processing steps and precursor solutions. However, the crystal  
555 structures prepared by these methods were significantly different from each other. The LARP method  
556 yielded the CsPbBr<sub>3</sub> NCs with orthorhombic crystal structures, whereas the URSOA method yielded a  
557 mixture of hexagonal Cs<sub>4</sub>PbBr<sub>6</sub> and orthorhombic CsPbBr<sub>3</sub> NCs. From HAXPES, it was found that the  
558 chemical states of the interior or inner side of the NCs were not affected by the presence or absence  
559 of the ligands. On the other hand, from XPS, the NCs without the ligand showed additional chemical  
560 states originating from the accumulation of Cs cations, Pb<sup>0</sup>, unbonded Br atoms, and Br vacancies at  
561 the NCs surface. These surface chemical states can be then associated with surface defects, which can  
562 act as non-radiative recombination sites. The PL of the LARP NCs exhibits two distinct PL decay  
563 components attributed to free and trapped excitons within the orthorhombic CsPbBr<sub>3</sub> crystal structure.  
564 This structure, characterized by its 3D nature, may facilitate exciton diffusion or migration to the  
565 surface of the NCs. Therefore, excitons reaching the NCs without ligands may undergo non-radiative  
566 recombination, resulting in a weak PL with a short PL lifetime. Here, excitons in CsPbBr<sub>3</sub> NCs are  
567 sensitive to surface states or surface defects. In contrast, despite the PL characteristics of the URSOA  
568 NCs also show two PL decay components, also suggesting the formation of free excitons and trapped  
569 excitons in the Cs<sub>4</sub>PbBr<sub>6</sub> NCs, the PL characteristics of the NCs with and without ligands are not so  
570 sensitive to the presence or absence of ligands. This characteristic may be attributed to the 0D  
571 characteristics of the Cs<sub>4</sub>PbBr<sub>6</sub> crystal structures, where excitons are more localized in the PbBr<sub>6</sub>  
572 octahedron without long migration or diffusion to the NCs surface. Therefore, URSOA NCs are more  
573 tolerant of the presence of surface defects compared to LARP NCs. The present experimental findings  
574 in this study may provide new insight into the effect of ligands on the NCs surface structures, which is  
575 seen from the formation of additional surface chemical states originating from surface defect species,  
576 and their impact on PL characteristics of these lead halide perovskite materials. This insight may be  
577 useful not only for the further development of passivation molecules for halide perovskites in general  
578 but also for the design of buffer layer molecules in perovskite heterojunction devices.

579

#### 580 **Conflicts of interest**

581 There are no conflicts of interest to declare.

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