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# Hydrophilic amorphous crosslinked membranes with subnanometer pores prepared by plasma-enhanced chemical vapor deposition and their shape-selective alcohol permeation

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## Abstract

High-density crosslinked polymer membranes several tens of nanometers thick were prepared by chemical vapor deposition (CVD) of propylamine (PA). Analysis by various methods showed that the densely crosslinked PA-CVD membranes had a composition of  $C_{3.0}H_{4.7}N_{0.8}O_{0.4}$ , and contained amine, imine, vinyl, and carbonyl functional groups. Positron annihilation lifetime spectroscopy and alcohol-retention studies showed that the crosslinked membranes had pore sizes of 0.3–0.7 nm. Their porosity was about 10%, as estimated from water-absorption experiments. By appropriate choice deposition conditions, the average pore diameter could be selected, and the porosity could be tuned in the range 1–18%. A membrane with 0.56 nm pores showed a significant difference in the retention factors of C3 and C4 alcohols, for example, showing a 77% retention of *tert*-butanol, three times that of *n*-butanol. The thickness of PA-CVD membranes could be reduced to 10 nm, and the membrane showed a high retention performance for divalent ions, a resistance to pressures of more than 40 bars, and a water flux of up to 170 L m<sup>-2</sup> h<sup>-1</sup>. This comprehensive investigation of the effects of the CVD parameters will permit tuning of the thickness, pore size, porosity, and density of the hydrophilic crosslinked membranes.

**Keywords:** PECVD; crosslinked membrane; nanofiltration; shape selective permeation; pore size control

## 1 **1. Introduction**

2 Ultrathin separation membranes with penetrating subnanometer pores have been widely used in  
3 seawater desalination, food processing, pharmaceutical production, and many other applications [1-3].  
4 Crosslinked membranes made of polyamides or polyimides are considered to be the primary materials  
5 for separation functional layers [4-7] although carbon materials [8-16], functionalized polyamides [17-  
6 19], supramolecular assemblies of fluorine compounds [20, 21], biopolymers [22-25], and porous  
7 inorganic materials [26, 27] have all recently received attention as materials for separation layers.  
8 Carbon membranes fabricated by means of plasma-enhanced chemical vapor deposition (PECVD)  
9 have also been used as nanoporous separation membranes with good mechanical properties [28-30].  
10 Their excellent chemical resistance has attracted considerable attention from the chemical industries.

11 Porous PECVD membranes can be made from various volatile organic molecules as precursor gases.  
12 Their separation performance can be controlled by a suitable choice of membrane-deposition  
13 parameters. However, the detailed chemical structures that form the nanometer or subnanometer pores  
14 remain unclear.

15 In the 1970s, pioneering researchers, such as the groups of Buck [31], Hollahan [32], and Yasuda  
16 [33], reported on the reverse-osmosis performance of PECVD membranes. Karan et al. [34] reported  
17 a high-performance nanofiltration membrane made of diamond-like carbon. Endo and co-workers  
18 reported rapid water transport through subnanometer pores in PECVD membranes and they evaluated  
19 the pore-size distribution from H<sub>2</sub> adsorption isotherms [35-37]. Silica-based or silicon nitride-based  
20 PECVD membranes have been widely studied as gas-separation membranes [38-41].

21 We previously reported that PECVD membranes made from acetylene or pyridine had elastic moduli  
22 of more than 100 GPa, an order of magnitude greater than the strength of hard engineering plastics.  
23 These membranes were thought to contain subnanometer pores in the gaps between carbon clusters  
24 [34]. On the other hand, PECVD membranes made from N-vinylpyrrolidone or acrylate monomers are  
25 generally known as ‘plasma-polymerized membranes’ [42-44]. By using such monomers, it is possible  
26 to prepare soft crosslinked membranes with elastic moduli of about 10 MPa [45, 46]. PECVD  
27 membranes have hardness values that differ by 10<sup>4</sup> to 10<sup>5</sup> orders of magnitude; that is, their structures  
28 range from densely crosslinked diamond-like carbon membranes to loosely crosslinked rubber-like  
29 polymer membranes. The size of their subnanometer pores might be controllable by tuning the  
30 crosslinking densities of the molecular fragments through the choice of suitable precursors and  
31 appropriate manufacturing conditions.

32 The advantages of the PECVD method are, first, it does not require any specific reactive group in  
33 the precursor molecule and, secondly, a variety of organic molecules can be used. In fact, a range of  
34 volatile hydrocarbons containing nitrogen, silicon, fluorine, sulfur, or other heteroatoms can be used  
35 as precursor gases [47-53]. The vacuum-deposition process permits precise control of the thickness of  
36 membranes and permits the fabrication of uniform ultrathin membranes, less than 10 nm thick.  
37 Furthermore, multilayer membrane structures can be fabricated by repeating the CVD process with  
38 different precursors and/or by changing the deposition parameters. If the size of the nanopores could

1 be controlled by an appropriate selection of the CVD conditions, high-performance membranes with  
2 pore-size gradients might be obtained.

3 The roll-to-roll PECVD method has been used industrially. It will be applied for the rapid and  
4 continuous fabrication of separation membranes scalable up to the areas of several hundreds of square  
5 meters. We have previously shown that PECVD of organic amines provides hydrophilic membranes  
6 that are permeable to molecules of water or various alcohols [34]. The subnanometer pores that  
7 penetrated the membrane had porosities of up to 10%. In the present study, we prepared PECVD  
8 membranes with elastic moduli of several gigapascals by using propylamine as a precursor gas, and  
9 we made a detailed study of the effects of a range of deposition parameters on the separation  
10 performance of the resulting membranes. As a result, we found that the size of subnanometer pores in  
11 amorphous crosslinked membranes can be precisely controlled, leading to high levels of discrimination  
12 between alcohol isomers.

## 14 **2. Experimental**

### 15 *2.1 Materials and methods*

16 Hydrophilic propylamine (PA) membranes were prepared by the PECVD deposition method using  
17 propylamine (PrNH<sub>2</sub>, Sigma Aldrich, ≥99%) as the precursor gas. Our PECVD system consisted of a  
18 13.5 MHz radio-frequency (RF) power source, mass-flow controllers, a Baratron pressure gauge, a  
19 throttle valve, a turbomolecular pump, and parallel-plate electrodes of diameter 60 and 65 mm. The  
20 configuration of the system and detailed information pertaining to it are shown in Fig. S1 and Table  
21 S1, respectively, of the Supplementary Information (SI).

22 Glass substrates were used to prepare membrane samples for confocal microscopy, whereas silicon  
23 substrates were used to prepare samples for analysis by Fourier-transform infrared spectroscopy  
24 (FTIR), atomic force microscopy (AFM), <sup>13</sup>C nuclear magnetic resonance (<sup>13</sup>C NMR) spectroscopy,  
25 magic-angle spinning NMR (MAS-NMR), elemental analysis (EA), nanoindentation analysis,  
26 ellipsometry, and positron annihilation lifetime spectroscopy (PALS). For the NMR and EA analyses,  
27 thick propylamine-CVD (PA-CVD) membranes were initially prepared; these were then removed from  
28 the silicon substrate by treatment with aqueous hydrofluoric acid and converted into powder samples.  
29 Free-standing samples of PA-CVD membranes were also transferred onto porous alumina substrates  
30 for the examination of their cross-sections by scanning electron microscopy (SEM) (SI; Fig. S5).

### 32 *2.2 Structural characterization*

33 The thicknesses of the PA-CVD membranes were estimated from the difference in height between  
34 deposited and nondeposited areas determined by using a confocal microscope (OPTELCIS HYBRID;  
35 Lasertec Corp., Yokohama) with a white-light interferometer attachment. AFM images were obtained  
36 with an SPI-400 instrument (Seiko Instruments Inc., Chiba) operated in the tapping mode. For the  
37 thickness measurement, a straight-line was drawn on Si wafer using a marker pen and PA-CVD  
38 membrane was deposited. This line acts as a removable mask. After deposition, a razor blade was used

1 to cut the mask along the line. Upon immersing in ethanol, the mask was dissolved and the height  
2 difference at the edge of the mask area was measured by confocal laser microscopy. CP/MAS and  
3 DD/MAS  $^{13}\text{C}$  NMR spectra were obtained by using CMX300 instrument (Chemagnetics, Inc., Fort  
4 Collins, CO). FTIR spectra of 2- $\mu\text{m}$ -thick PA-CVD membranes were recorded in the attenuated total  
5 reflection mode (ZnSe crystal) by using a FT/IR-6200 instrument (JASCO Corp., Tokyo). We  
6 measured the static water contact angle by using a DM-300 instrument (Kyowa Interface Science Co.,  
7 Ltd., Niiza). High-resolution images of the PA-CVD membranes were recorded by using a field-  
8 emission scanning electron microscope (JEM-2100F, JEOL) at an acceleration voltage of 200 kV.  
9 Elemental analyses (C, H, N, and O) of PA-CVD membranes were performed by the combustion  
10 method using 100–200 mg powder samples.

11 The hardness and elasticity of the PA-CVD membranes in both dry and wet states were measured  
12 by using a Hysitron TriboIndenter (Bruker Corp., Billerica, MS) equipped with a triangular pyramidal  
13 Berkovich indenter. The dry samples consisted of 500-nm-thick membranes on Si substrates (50 mm  
14 in diameter). The wet samples were prepared by immersing the dry samples in distilled water for 30  
15 minutes.

16 Water swelling of PA-CVD membranes was assessed by using a high-speed spectroscopic  
17 ellipsometer (M-2000U; J. A. Woollam Co., Inc., Lincoln, NE) equipped with a liquid cell. The  
18 specimens used were 100- to 300-nm-thick PA-CVD membranes deposited on silicon substrates. The  
19 light incident angle was  $75^\circ$  and the wavelength range was 400–1000 nm. We corrected for the phase  
20 shift caused by the glass liquid cell by using the value for thermally oxidized silicon (thickness: 259  
21 nm). The rate of deposition of the PA-CVD membrane was also evaluated by using the same  
22 ellipsometer in air. First, spectra were obtained by stepwise changes in the incident angle in  $5^\circ$   
23 increments from  $55^\circ$  to  $75^\circ$ . Then, the thickness and the complex refractive index were calculated by  
24 simultaneous fitting of the resulting five spectra by using the Cauchy equation ( $n = A_n + B_n/\lambda^2 + C_n/\lambda^4$ ),  
25 assuming a three-layer model consisting of the PA-CVD membrane, the natural oxide layer (supposing  
26 a certain thickness and parameters), and the silicon substrate [54].

27 The size distribution of the subnanometer pores was evaluated by pulse-beam positron annihilation  
28 lifetime spectroscopy (PALS; PALS-200A; Fuji Imvac Inc.) of 100- to 200-nm-thick PA-CVD  
29 membranes on silicon substrates as samples. The chamber pressure was less than  $2.5 \times 10^{-9}$  Torr, the  
30 acceleration voltage was 1.0 keV, and the positron count number was integrated up to 5 million counts.  
31 The resulting lifetime curves were corrected by using data for the Kapton film and Si substrate  
32 measured under the same conditions, and fitted by three components using *PALS-fit* analysis software  
33 (Technical University of Denmark, Lyngby). Here, the first-lifetime component was fixed at 0.125 ns,  
34 and the average pore radius,  $d_3$ , was calculated from the third-lifetime component,  $\tau_3$ , based on a  
35 semiempirical equation [55-57].

36

### 37 2.3 Deposition on porous substrates

38 To evaluate the coverage of nanometer pores, PA-CVD membranes were deposited on porous

1 alumina supports (pore size 20 nm or 200 nm; Whatman Laboratory Products). After the deposition of  
2 a 1.5-nm-thick overlayer of Pt by using a magnetron ion sputter (E-1030; Hitachi), the surface of the  
3 sample was examined by FE-SEM (S-4800, Hitachi) at an acceleration voltage of 5 kV and an electron-  
4 gun current of 10  $\mu$ A. The coverage of nanopores was analyzed by extracting the equivalent circles  
5 using image-processing software. Then we applied a Gaussian fitting to the pore-size distribution and  
6 calculated the average pore diameter,  $d_{av}$ , and the deviation,  $\sigma$ .

7 For the evaluation of permeability, PA-CVD membranes were deposited on asymmetric porous  
8 substrates prepared by the non-solvent-induced phase-separation method. The asymmetric substrates  
9 were prepared by casting from a 20 wt% solution of poly(phenylsulfone) (PPSU) (Radel R-5000;  
10 Solvay Specialty Polymers, Brussels) in N-methylpyrrolidin-2-one (NMP) onto a nonwoven  
11 polyolefin sheet and immersing the sheet in water. The surface and cross-section of the asymmetrical  
12 substrate were examined by FE-SEM (SU-8200; Hitachi). Pore size of the PPSU substrate was  
13 determined to be less than 20 nm. This was also confirmed from the molecular weight cut-off of  
14 dextran solutions.

#### 16 2.4 Separation properties

17 The separation of alcohols and salts, and the permeability of the membranes to water were evaluated  
18 at room temperature by using a dead-end pressure-driven filtration setup with stirring [Fig. S2 (a, b)].  
19 The retention factors of alcohols (1 wt% aqueous solution in total concentration) were evaluated by  
20 gas chromatography (GC-2014; Shimadzu) with a flame-ionization detector. Salt-retention factors  
21 were evaluated by using 0.2 wt% aqueous solutions of  $MgCl_2$  or NaCl containing dilute vitamin B<sub>12</sub>  
22 ( $1.0 \text{ mmol L}^{-1}$ ) as a defect indicator. Less than 10% of the feed solution was collected for the evaluation  
23 of retention performance. The retention factor,  $R$ , was calculated from the concentration difference  
24 between the feed and permeate using the following equation:

$$25 \quad R = (1 - C_p / C_f) \times 100$$

26 , where  $C_f$  and  $C_p$  are the concentrations of feed and permeate, respectively. The membrane area was  
27  $200 \text{ mm}^2$  and the applied pressure was 2.0 or 4.0 MPa. The permeance, normalized to filtration pressure,  
28  $J (\text{L m}^{-2} \text{ h}^{-1} \text{ bar}^{-1})$ , was calculated by using the following equation:

$$29 \quad J = V / (A \times T_f \times P_f)$$

30 , where  $V (\text{L})$  is the volume of the filtrate,  $A (\text{m}^2)$  is the surface area,  $T_f (\text{h})$  is the filtration time, and  $P_f$   
31 (bar) is the applied pressure. The salt concentration was measured at 20 °C by using a high-precision  
32 refractometer (RA-620, Kyoto Electronics). The refractive indices of pure water, aqueous  $MgCl_2$   
33 solution, and aqueous NaCl solution are 1.33299, 1.33353, and 1.33339, respectively, and the accuracy  
34 of the refractometer was  $\pm 0.00001$ . We have also confirmed the long-term stability of the membrane  
35 by filtration experiment of  $MgCl_2$  solution up to five days. Nearly 100% of  $MgCl_2$  was rejected even  
36 after 118 hours although the permeance increased from 0.4 to  $0.8 \text{ L m}^{-2} \text{ h}^{-1} \text{ bar}^{-1}$  [Fig. S2 (c)].

### 38 3. Results and discussion

### 1 3.1 Membrane production

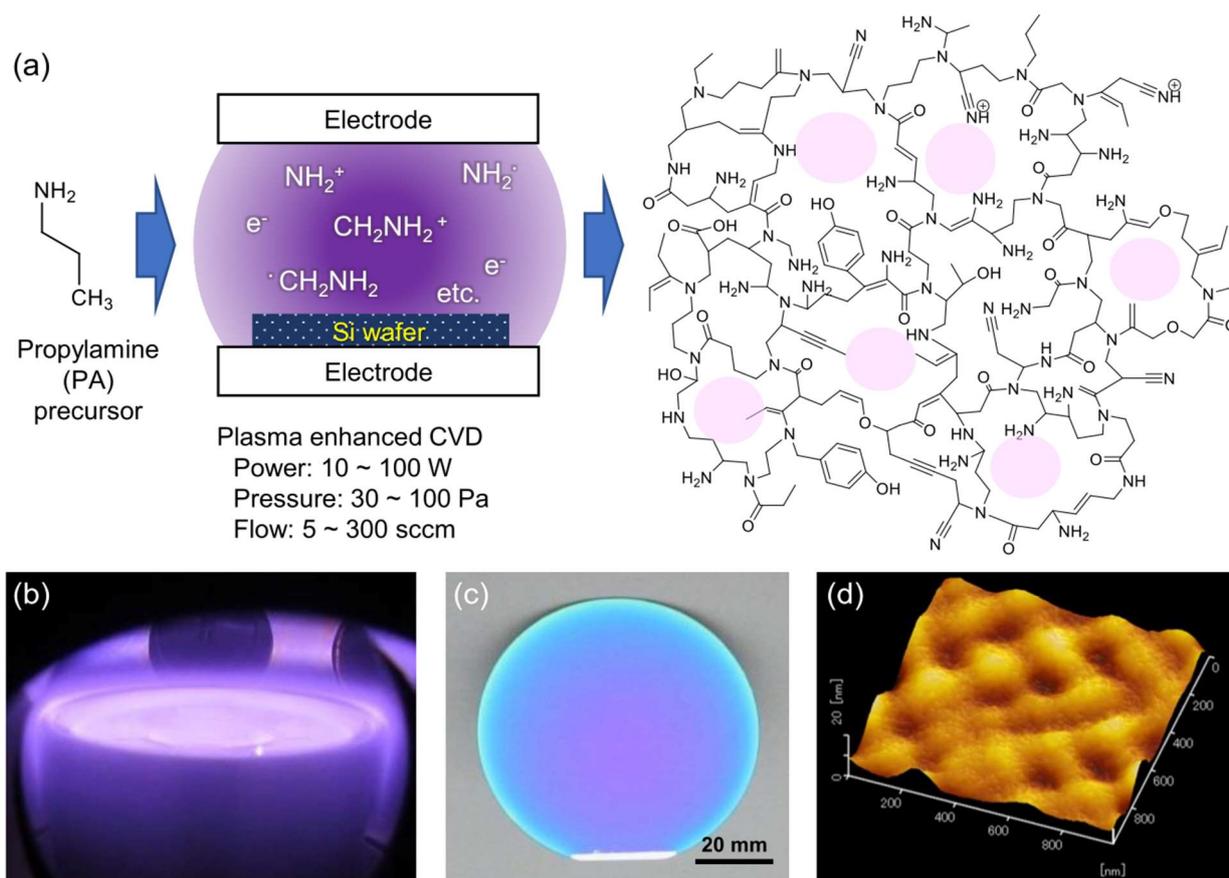


Fig. 1. (a) Schematic representation of PECVD using propylamine (PA) gas as a precursor. The plasma composition and final membrane structure were determined by MS, elemental analysis, FTIR,  $^{13}\text{C}$  NMR, and titrimetry. Pores within the membrane's molecular framework are highlighted by pink circles. (b) Photograph of a PA plasma under typical condition (20 W, 50 Pa, 50 sccm). (c) Photograph of a PA-CVD membrane deposited on a silicon substrate. (d) An AFM image of a 100-nm thick PA-CVD membrane transferred onto a 200 nm porous

2 A PA plasma was generated by applying a 13.54 MHz high-frequency current through a 25 mm gap  
 3 between an electrode and a counter electrode ( $\varnothing$  65 mm) (SI; Fig. S1). The PA gas introduced into the  
 4 CVD chamber was decomposed into various ions, electrons, and radicals by the high-frequency (RF)  
 5 power, and it emitted a strong purple light. The cationic species formed were identified by mass  
 6 spectroscopic analysis of the plasma gas in the chamber. The major cations detected were  $\text{CH}_2=\text{NH}_2^+$ ,  
 7  $\text{CH}\equiv\text{NH}^+$ ,  $\text{CH}_2=\text{CH}-\text{CH}_2^+$ , and  $\text{NH}_2^+$  (SI; Fig. S4) [58]. These cations should coexist with the  
 8 corresponding radicals as well as protons, electrons, and other anionic species. Examples of the active  
 9 species generated under our plasma conditions are shown in Fig. 1(a). The radicals and reactive species  
 10 with multiple bonds polymerized to form densely crosslinked membranes on the Si substrate. The  
 11 chemical structure of the PA-CVD membrane is shown schematically in Fig. 1(a).

12 Figure 1(b) shows a photograph of a plasma produced under our typical conditions. Emission of

1 purple light due to the presence of nitrogen-based activated species was observed near the CVD  
2 electrode (lower electrode). The reactive plasma was constrained from expanding from the vicinity of  
3 the CVD electrode by the high pressure of the precursor gas (50 Pa) [59, 60]. When a 75-mm-diameter  
4 silicon substrate was placed on the CVD electrode, a PA-CVD membrane with a thickness of a few  
5 tens of nanometers was deposited within about one minute. Note that the initial plasma was in a  
6 nonequilibrium state and that the gas pressure increased for short while before reaching an equilibrium  
7 state within ten seconds. In general, the pressure increase due to the fragmentation of the precursor gas  
8 competes with the pressure decrease through the deposition of active species. In the case of  
9 propylamine gas, the initial pressure increased to 61.5 Pa and then decreased to 50 Pa. Figure 1(c)  
10 shows a photograph of a PA-CVD membrane deposited on a silicon substrate for 264 seconds. The  
11 constant interference color observed near the center of the 30-mm-diameter sample indicated the  
12 deposition of a uniform membrane with a thickness of about 200 nm. As confirmed by optical  
13 microscopy, this PA-CVD membrane was homogeneous and flat without any texture as shown later.  
14 An AFM image of a PA-CVD membrane transferred onto a porous alumina substrate is shown in Fig.  
15 1(d). Details of the transfer process are given in the SI (Fig. S5). The PA-CVD membrane had a high  
16 flexibility and the surface topography of the underlying alumina substrate could be clearly observed.  
17 As shown later, this is in contrast to Fig. 2(b), in which PA-CVD on Si wafer has a very flat surface.

### 19 3.2 Structural characterization

20 Figure 2(a) is a large-scale topographic image of a cross-section of a PA-CVD membrane deposited  
21 on a glass substrate for 66 seconds. The left-hand part of the substrate was covered with a mask to  
22 evaluate the thickness of the membrane. This color confocal microscopy image verified that the  
23 thickness was a highly constant 48 nm over the observed area of  $1 \times 1 \text{ mm}^2$ . The thickness of the PA-  
24 CVD membrane deposited on the Si substrate under the same conditions was determined to be 56 nm  
25 by AFM measurements [Fig. 2 (b)]. The 8 nm increase in membrane thickness might have resulted  
26 from swelling during the transfer process. Figure 2(c) shows a cross-sectional SEM image of a 100-  
27 nm thick PA-CVD membrane transferred onto a porous alumina substrate. Part of the membrane had  
28 detached from the substrate, indicating that this soft membrane could be bent with a radius of curvature  
29 of less than  $1 \mu\text{m}$ . We then compared the thicknesses of the PA-CVD membranes as estimated by  
30 confocal microscopy and by ellipsometry, respectively [Fig. 2(d)]. As measured by both methods, the  
31 thicknesses increased linearly with the deposition time. However, ellipsometry gave relatively greater  
32 values of the thickness than those obtained by confocal microscopy. The latter method seems to be  
33 useful for the evaluation of thicknesses of less than 50 nm. The slope of the linear fit gave a deposition  
34 rate of  $0.74 \text{ nm s}^{-1}$ .

35 The concentration of basic dissociative functional groups in a PA-CVD membrane was evaluated  
36 by back-titration with aqueous hydrochloric acid to be  $4.35 \text{ mmol g}^{-1}$ , more than double that of a  
37 typical anion-exchange membrane (Please see Supporting Information, Section 6) [61, 62]. Back-  
38 titration with aqueous sodium hydroxide solution gave a total of acidic dissociative groups of 0.94

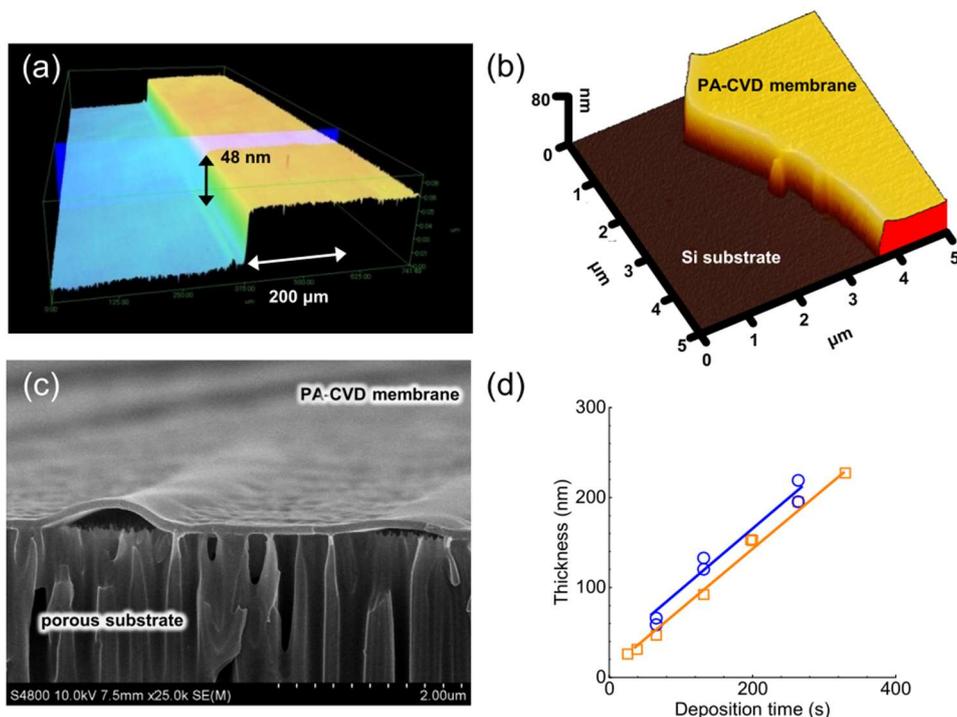


Fig. 2. (a) Large-scale topographic image of a cross-section of a PA-CVD membrane deposited on a glass substrate. Imaging area:  $1 \times 1 \text{ mm}^2$ . (b) AFM image of the same membrane deposited on Si wafer. Imaging area:  $5 \times 5 \text{ μm}^2$ . (c) Cross-sectional SEM image of the membrane transferred on a porous alumina substrate. (d) Changes in the thickness of PA-CVD membranes as a function of the deposition time. The thickness was determined by confocal microscopy (squares) or spectroscopic ellipsometry (circles). The CVD membrane was deposited under typical conditions (20 W, 50 Pa, 50 sccm).

1  $\text{mmol g}^{-1}$ , consisting of  $0.62 \text{ mmol g}^{-1}$  of phenolic hydroxy groups and  $0.32 \text{ mmol g}^{-1}$  carboxylic acid  
 2 groups. Because the PA-CVD membrane contains 4.6 times more basic dissociative groups than acidic  
 3 ones, the membrane becomes positively charged in neutral water.

4 For elemental analysis, we prepared a sample of a PA-CVD membrane a few micrometers thick by  
 5 deposition for several hours under typical conditions (20 W, 50 Pa, 50 sccm). The resultant membrane  
 6 had a composition of  $\text{C}_3\text{H}_{4.7}\text{N}_{0.8}\text{O}_{0.4}$ , indicating that large numbers of oxygen atoms were introduced  
 7 into the membrane and that the carbon/nitrogen ratio was close to that in the original precursor gas  
 8 ( $\text{C}_3\text{H}_9\text{N}$ ). We also observed a large decrease in the number of hydrogen atoms due to preferential  
 9 detachment from the precursor fragments generated by collisions with high-energy electrons in the  
 10 plasma state.

11 We analyzed the results of the elemental analyses and titration experiments to estimate the structure  
 12 of the functional groups in the membrane. By simply assuming that all the basic functional groups  
 13 were amino groups, 32% of the nitrogen atoms in the membrane were calculated to be dissociative.  
 14 Phenolic hydroxy groups accounted for 9% of the oxygen atoms. Because each carboxylic acid group

1 contains two oxygen atoms, 10% of the oxygen atoms in the membrane were assigned to dissociative  
2 carboxylic acid groups.

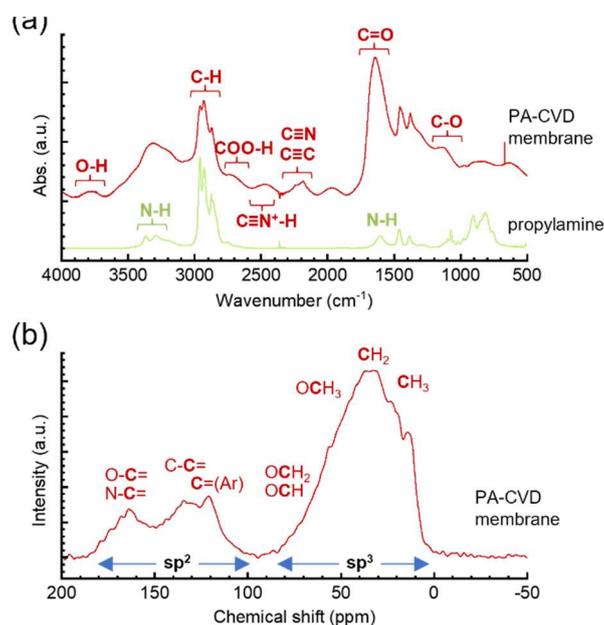


Fig. 3. (a) FTIR spectra of a PA-CVD membrane and the propylamine monomer. (b)  $^{13}\text{C}$  NMR spectrum of a PA-CVD membrane.

3 Figure 3(a) shows FTIR spectra of a PA-CVD membrane and its propylamine precursor. The  
4 vibration peaks of methyl, methylene, and primary amino groups can be clearly observed in both  
5 spectra. In addition to these, the spectra show weak absorption peaks at 2185 and 2240  $\text{cm}^{-1}$ ,  
6 suggesting that the PA-CVD membrane contained carbon–carbon triple bonds and nitrile groups,  
7 respectively. The large peak at 1645  $\text{cm}^{-1}$  and the broad peak near 1138  $\text{cm}^{-1}$  were attributed to  
8 carbonyl groups of amide structures and to C–O bonds in ester groups, respectively. The broad  
9 absorption band near 3400  $\text{cm}^{-1}$  was assigned to N–H groups and adsorbed water molecules. The PA-  
10 CVD membrane also produced a broad peak at 3700–3800  $\text{cm}^{-1}$ , indicating the presence of isolated  
11 phenolic hydroxy groups. Furthermore, the presence of highly reactive species was suggested by the  
12 presence of the broad band at 2400–2800  $\text{cm}^{-1}$ , which was unstable and, in general, gradually  
13 disappeared upon aging [63, 64]. The results of FTIR and elemental analysis clarified that the PA-CVD  
14 membrane contained abundant carbonyl and hydroxy groups.

15 The  $^{13}\text{C}$  NMR spectrum of the PA-CVD membrane is shown in Fig. 3(b). Broad overlapping signals  
16 for a methyl peak at 11 ppm and a methylene peak near 34 ppm were observed. Methylene groups  
17 appeared to form the major carbon component of the PA-CVD membrane, but the broad tailing  
18 between 50 and 80 ppm corresponds to methyl and methylene groups bonded to oxygen. The peaks at  
19 120 and 135 ppm were attributed to  $\text{sp}^2$  carbons. The signal near 165 ppm was assigned to  $\text{sp}^2$  carbons  
20 bonded to nitrogen or oxygen. The existence of  $\text{sp}^2$  carbons indicated the formation of partial  
21 conjugated structures within the PA-CVD membrane.

22 From these compositional and structural analyses, we conclude that the PA-CVD membrane

1 contained various unsaturated structures produced from dehydrogenated propylamine fragments. A  
2 PA-CVD membrane immediately after deposition retains large numbers of radicals that react with  
3 oxygen upon exposure to air to form ester, ketone, and acidic groups. The UV-vis spectrum of a PA-  
4 CVD membrane showed almost no absorbance within the visible-light region, but the absorbance  
5 increased exponentially at wavelengths of less than 400 nm. This also supports the formation of  
6 localized conjugated structures.

7 Unsaturated hydrocarbons such as acetylene have been widely used in producing high-strength  
8 carbon membranes by CVD techniques [34, 65-67]. On the other hand, saturated hydrocarbons  
9 produce densely crosslinked membranes containing hydrogen [68]. In this case, radicals within the  
10 membranes remain and some of them are oxidized by oxygen, although the membrane retains its  
11 hydrophobicity. When we deposited a thin carbon film from propane onto a Si substrate, we found that  
12 the water contact angle was  $95^\circ$ , whereas the water contact angle of our PA-CVD membrane was  $43^\circ$ .  
13 The hydrophilicity of the PA-CVD membrane originates mainly from the presence of amino groups.

14 Figure 4(a) shows a TEM image of 100-nm-thick PA-CVD membrane transferred on to a copper  
15 grid. The diffraction pattern in the inset was completely featureless, indicating that the membrane had  
16 an amorphous structure. In contrast, PALS revealed the existence of subnanoscale pores in the PA-  
17 CVD membrane, as the spectra showed significant intensities in the range 4 to 10 ns. By fitting the

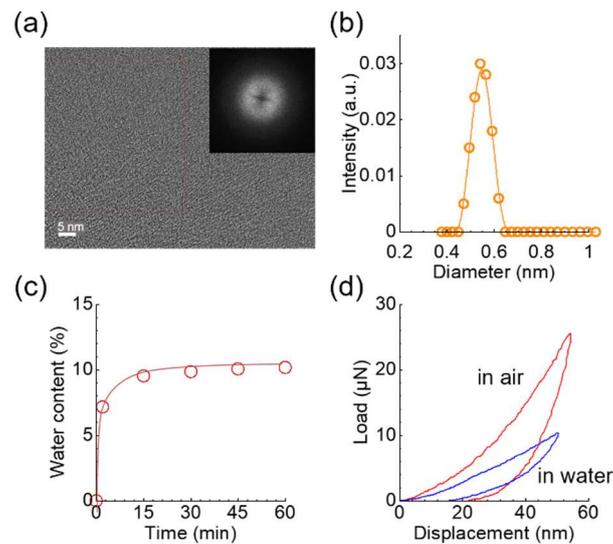


Fig. 4. (a) TEM image of a 100-nm thick PA-CVD membrane transferred onto a copper grid. (b) Pore-size distribution curve obtained by PALS analysis. The distribution was calculated from the spectrum by using the CONTIN algorithm. (c) Changes in the water content of a PA-CVD membrane with water-immersion time. (d) Load-displacement curves measured by using a nanoindenter.

1 PALS spectra using the exponential decay of three lifetime components, we determined the longest  
2 lifetime to be 1.87 ns. By using a semiempirical equation reported in the literature [57], we calculated  
3 that the average pore diameter in the membrane (deposition time: 300 s) was 0.54 nm. PALS data were  
4 also evaluated by using a CONTIN algorithm based on the inverse Laplace transform [57]. Fig. 4(b)  
5 is a plot of the pore-size distribution curve. The pore diameter at the peak was 0.54 nm, which is  
6 consistent with the average pore diameter determined by the semiempirical method. The maximum  
7 pore diameter in the distribution was 0.64 nm, which is much larger than the size of a water molecule  
8 (0.30 nm) and slightly smaller than the hydration diameter of a sodium ion (0.72 nm) [69]. As  
9 mentioned later, a 100-nm-thick PA-CVD membrane deposited under the same conditions showed an  
10 NaCl retention factor of more than 90%.

11 Hydrophilic PA-CVD membranes sorb water molecules and expand slightly. To estimate the water-  
12 sorption capacity, we measured the thickness and refractive index of a PA-CVD membrane immersed  
13 in water by using a spectroscopic ellipsometer. Fig. 4(c) is a plot of the changes in water content against  
14 the immersion time, where the water content was calculated from the change in thickness (Fig. S3).  
15 The membrane swelled rapidly in water and became saturated at a water content of about 10%. We  
16 also confirmed that a decrease in the refractive index occurred due to the decrease in the density of the  
17 membrane.

18 The PA-CVD membrane became soft in water. The results of nanoindentation measurements are  
19 shown in Fig. 4(d). The hardness in water was about one-third of the value in air. The Young's modulus  
20 ( $E_r$ ) in the dry state was determined to be 7.3 GPa, and this decreased to 2.6 GPa in the wet state. A  
21 decrease in Young's modulus has been frequently reported as an effect of plasticization by water  
22 molecules [70]. Water molecules block the chemical interactions that are present in dried PA-CVD  
23 membranes, thereby facilitating deformation. The relationship between stress ( $\sigma$ ) and strain ( $\varepsilon$ ) is given  
24 by the following equation:

$$25 \quad \varepsilon = \sigma/E,$$

26 where  $E$  is the Hooke's law elastic modulus. If we assume that  $E$  is equal to  $E_r$  (2.6 GPa) and  $\sigma$  is 10  
27 MPa (100 atm of applied pressure), the value of  $\varepsilon$  is as little as 0.38%. This means that the  
28 compressibility of the PA-CVD membrane under a high pressure is very low, so that this membrane is  
29 sufficiently strong for use as pressure-driven separation membrane.

30

### 31 *3.3 Deposition behavior*

32 We prepared PA-CVD membranes under various deposition conditions and determined their average  
33 pore diameters,  $d_3$ , and intensities,  $I_3$ , from the long-lifetime component (third component) measured  
34 by PALS (SI; Table S2). Fig. 5(a) shows a plot of the changes in  $d_3$  and  $I_3$  against the input power  
35 during deposition at 50 Pa and 50 sccm, where the  $I_3$  represents the percentage of the third-lifetime  
36 component. The deposition time was 132 s. The average pore size of 0.62 nm at 10 W gradually  
37 decreased to 0.43 nm when input power was increased to 40 W. The  $I_3$  value of 18.2% at 10 W  
38 decreased exponentially to 0.7% when the input power was increased to 40 W. In other words, the pore

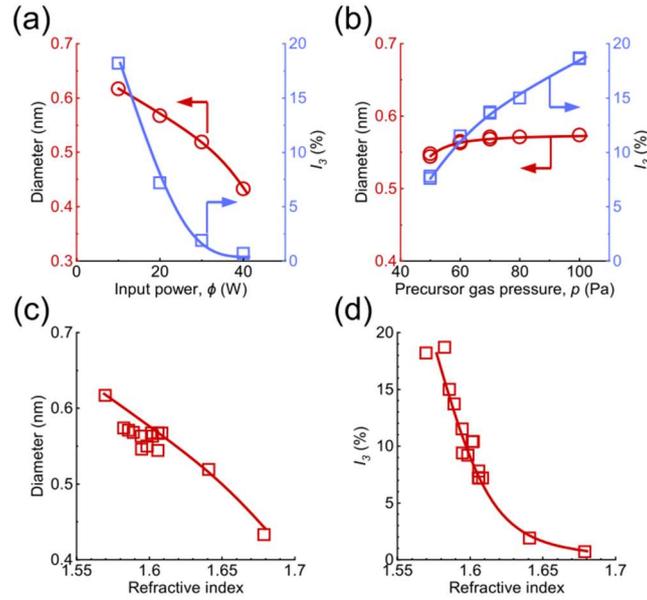


Fig. 5. (a, b) Pore diameter and its percentage ( $I_3$ ) measured with PALS as a function of input power ( $\phi$ ) and precursor-gas pressure ( $p$ ). (c, d) The relation of pore diameter and  $I_3$  percentage to the refraction index of PA-CVD membrane.

1 volume of PA-CVD membrane decreased markedly upon increasing the input power. Fig. 5(b) shows  
 2 plots of  $d_3$  and  $I_3$  against the precursor-gas pressure. The flow rate of the precursor gas, the input power,  
 3 and the deposition time of the PA-CVD membrane were 50 sccm, 20 W, and 264 s, respectively.  
 4 Although the pore size slightly increased with increasing precursor-gas pressure, it was still 0.57 nm,  
 5 even at 100 Pa. The  $I_3$  values increased monotonically with precursor-gas pressure, reaching 18.7% at  
 6 100 Pa. Pore volume increased with increasing precursor-gas pressure. The density of the PA-CVD  
 7 membrane can be evaluated from a linear correlation with the refractive index as obtained by using a  
 8 spectroscopic ellipsometer. The relationships between the refractive index and  $d_3$  and  $I_3$  are plotted in  
 9 Figs. 5(c) and 5(d), respectively. The pore sizes were concentrated between 0.5 and 0.6 nm, and these  
 10 membranes had a refractive index of about 1.6. Note that this range of refractive index was about 20%  
 11 larger than that of aromatic polymers. When the pore size became extremely small, the refraction index  
 12 also increased. On the other hand,  $I_3$  and the refractive index had a reciprocal relationship; the value  
 13 of  $I_3$  in the membrane decreased significantly with increasing refractive index. We also confirmed that  
 14 low-density membranes (with low refractive index) have a high deposition rate of  $4.1 \text{ nm s}^{-1}$  (SI; Fig.  
 15 S9).

16 To evaluate its separation performance, the PA-CVD membrane must be directly prepared on a  
 17 porous support layer. Also, the separation functional layer should be as thin as possible to achieve a  
 18 high filtration performance. This requires a deep understanding of the coverage mechanism and the  
 19 speed of deposition of the PA-CVD membrane on nanopores. Fig. 6(a) shows PA-CVD membranes  
 20 deposited on porous alumina substrates. The growth of the membrane began from the framework of

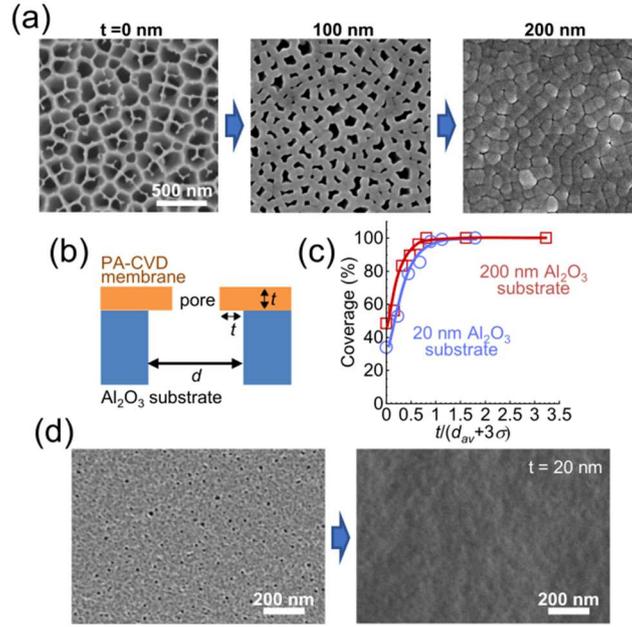


Fig. 6. (a) SEM images of PA-CVD membranes on 200 nm pores of porous alumina substrate with increasing deposition thickness ( $t$ ). (b) Schematic illustration of growth of PA-CVD membrane on a porous substrate. (c) Surface coverage on 20 or 200 nm pores of alumina substrates as a function of  $t/(d_{av}+3\sigma)$ .  $d_{av}$ : average pore diameter of the substrate,  $\sigma$ : standard deviation of pore size distribution of the substrate. The  $\sigma$  values of the 20-nm and 200-nm pore alumina substrates are 8.5 and 27.3, respectively. (d) SEM images before and after deposition of a 20-nm thick PA-CVD membrane on a PPSU substrate.

1 the porous substrate. The 200-nm pores were subsequently completely covered by a 200-nm-thick PA-  
 2 CVD membrane. Under our deposition conditions, the precursor-gas pressure was 10 times higher as  
 3 compared to our previous report [34], and then the mean free path of the reactive species was short, so  
 4 that the increase in membrane thickness and the rate of pore closure were almost the same. This growth  
 5 is shown schematically in Fig. 6(b). In this model, the pore diameter of the porous alumina substrate  
 6 decreases linearly with increasing thickness of the PA-CVD membrane. When the closing pore  
 7 diameter ( $d$ ) was normalized by the initial average pore diameter ( $d_{av}$ ) of the porous substrate and  
 8 plotted against the thickness of PA-CVD membrane, the  $d/d_{av}$  values decreased linearly. If we assume  
 9 that the pore diameter of the alumina substrate follows a Gaussian distribution, 99.7% of the pores are  
 10 contained within the range  $d_{av} + 3\sigma$ , where  $\sigma$  is the standard deviation. Fig. 6(c) shows a plot of the

1 coverage of the porous alumina substrate against the thickness ( $t$ ) of the PA-CVD membrane  
 2 normalized by  $d_{av} + 3\sigma$ . The coverage increased monotonically with the growth of membrane thickness  
 3 and reached almost 100% when the membrane thickness exceeded  $d_{av} + 3\sigma$ . To cover pores with a  
 4 Gaussian pore distribution, a membrane thickness of  $d_{av} + 3\sigma$  is required. In the case of an asymmetric  
 5 PPSU membrane prepared by a non-solvent-induced phase-separation method (SI; Fig. S6), the 20 nm  
 6 pores on the surface were entirely covered by the 20-nm-thick PA-CVD membrane [Fig. 6(d)]. A  
 7 denser asymmetric membrane can, therefore, be covered with a further thinner PA-CVD membrane.

### 3.4 Separation performance

10 Figure 7(a) shows the retention of alcohols by a 230-nm-thick PA-CVD membrane deposited on a  
 11 PPSU substrate. The retention factors were evaluated by filtering 0.1 wt% aqueous alcohol solution  
 12 through a high-pressure dead-end filtration setup. The alcohol concentration in the filtrate was  
 13 measured by gas chromatography. For primary alcohols, the retention factor increased with increasing

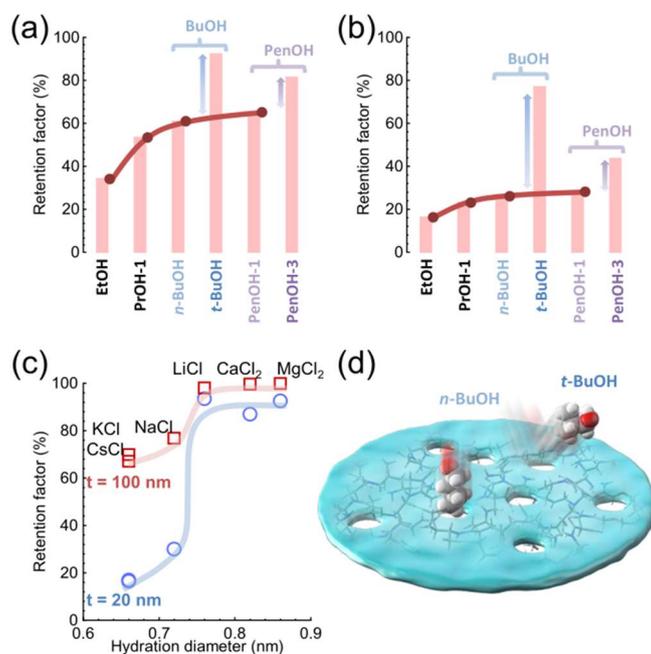


Fig. 7. Retention factors of alcohol molecules by (a) 230-nm-thick and (b) 50-nm-thick PA-CVD membranes. The permeances for water were about 0.5 and 1.7 L m<sup>-2</sup> h<sup>-1</sup> bar<sup>-1</sup>, respectively. (c) Retention factors of inorganic salts by 20-nm- and 100-nm-thick PA-CVD membranes. (d) Schematic representation of a PA-CVD membrane with pores rejecting *tert*-butanol but permitting the passage of *n*-butanol. Please see Fig. S8 (a) for the flux data, and Table S2 for pore size data.

1 molecular weight from ethanol through pentanol. The retention factors of *n*-butanol and 1-pentanol  
2 were 61% and 65%, respectively. In contrast, the retention factors of *tert*-butanol and 3-pentanol  
3 increased to 92% and 82%, respectively. The pores of the PA-CVD membrane can therefore  
4 discriminate between alcohol isomers. The retention factors of the 50-nm-thick PA-CVD membrane  
5 are shown in Fig. 7(b). As the membrane thickness decreased, the retention factors for alcohols also  
6 decreased, but the difference in the retention of the isomers became greater. The retention factors of *n*-  
7 butanol and 1-pentanol were 26% and 28%, respectively. These results show that the permeability  
8 toward linear alcohol molecules is almost constant.

9 We evaluated the retention performance of nine types of C4 and C5 alcohols, such as 2-butanol, 2-  
10 pentanol, 3-methyl-1-butanol, 2-methyl-1-propanol, and 2,2-dimethyl-1-propanol. Their structures  
11 and retention factors are compiled in Fig. S7 and Table S3 of the SI. The retention factors of C4  
12 alcohols increased in the order *n*-butanol < 2-butanol < 2-methyl-1-propanol < *tert*-butanol; whereas  
13 those of C5 alcohols increased in the order 1-pentanol < 3-pentanol < 2-pentanol < 3-methyl-1-butanol  
14 < 2,2-dimethyl-1-propanol. All these results can be explained in terms of the degree of bulkiness (also  
15 known as the Stokes radius) of the alcohol molecules (Please see Table S3 in Supporting Information).  
16 The Stokes radius has positive relationship with retention factors of alcohol molecules.

17 For comparison, the retention factors of six salts were plotted with the size of their hydrated cations  
18 on the abscissa [Fig. 7(c)] [69]. As the membrane thickness decreases from 100 to 20 nm, the retention  
19 of small cations decreased, but retention factors remained high for ions with hydrated diameters larger  
20 than 0.75 nm. These results indicate that the PA-CVD membrane does not contain penetrating pores  
21 larger than 0.75 nm, and a size-selective separation is occurring. We believe the PA-CVD membrane  
22 is elastic, and slightly swells and extends under pressure. These tendencies are significant in thinner  
23 membranes. That is, sub-nanometer pores in 20-nm-thick membrane are relatively readily swollen in  
24 water and expanded in pressure driven filtration as compared to the 100-nm-thick membrane. The flux  
25 of the PA-CVD membrane obeyed the Hagen–Poiseuille equation; that is, the flux was proportional to  
26 the pressure and inversely proportional to the membrane thickness. The flux for the 20-nm-thick  
27 membrane at 4 MPa reached 170 L m<sup>-2</sup> h<sup>-1</sup> (SI; Fig. S8). From all these results, we can simply conclude  
28 that penetrating pores formed in the PA-CVD membrane are able of discriminating subtle size  
29 differences of alcohols. This retention is shown schematically in Fig. 7(d).

### 31 3.5 Porosity control

32 The pore size of the PA-CVD membranes was significantly affected by the plasma power. For  
33 example, the average pore size varied between 0.62 and 0.43 nm when the input power was changed  
34 from 10 to 40 W (SI; Table S2) when the flow rate and the pressure were fixed at 50 sccm and 50 Pa,  
35 respectively. We systematically investigated the effects of deposition parameters and we characterized  
36 the membranes by using an optical ellipsometer equipped with a liquid cell. Figure 8(a) shows the  
37 relationship between the input power,  $\phi$ , and the refraction index of the membranes. At a precursor-  
38 gas pressure,  $p$ , of 50 Pa, the refractive index was 1.56 at an input power of 10 W but increased to 2.00

1 when the power was raised to 100 W. Under these conditions, the refractive index increased linearly  
 2 with the input power. The refractive indices of PA-CVD membranes deposited at 30 Pa were slightly  
 3 higher than those prepared at 50 Pa and reached a value of 2.0 at input powers above 70 W. As the  
 4 precursor gas pressure increased, the membrane became less dense because of the decreased extent of  
 5 the decomposition at the same power condition.

6 When the flow rate was low (10 sccm), the refractive index became relatively high [Fig. 8(b)].  
 7 Because the residence time of the precursor gas increases with decreasing flow rate, the decomposition  
 8 of propylamine proceeds to a greater extent. Consequently, the resultant membrane becomes much  
 9 denser. Judging from the fact that the refractive index of diamond-like carbon membranes is about 1.9  
 10 [71, 72], the membranes obtained at a high input power (70–100 W) must consist of dense amorphous  
 11 carbon. In fact, the membranes showed almost no water absorption when prepared at input powers  
 12 above 40 W [Fig. 8(c)]. The curve for water content sharply increased when the input power was below  
 13 20 W and exceeded 30% at 10 W. This indicates that the water permeability of PA-CVD membranes  
 14 can be controlled over a wide range by altering the input power.

15 The refractive index increased monotonically when plotted against the inverse of the precursor-gas  
 16 flow rate [Fig. 8(d)]. The reciprocal of the flow rate represents the residence time, indicating that a

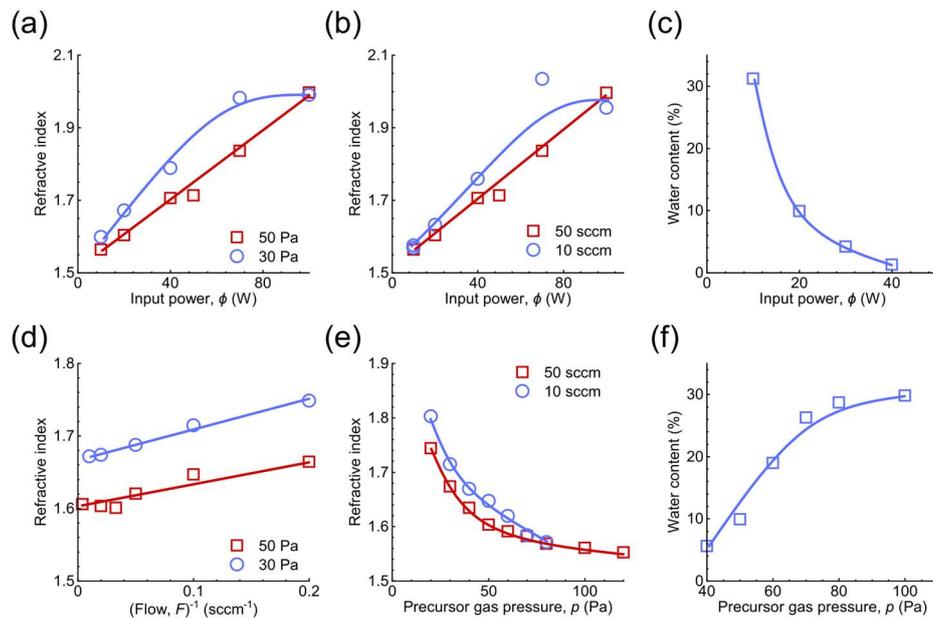


Fig. 8. (a, b) Refractive index of PA-CVD membranes as a function of input power ( $\phi$ ). The flow rate in (a) was 50 sccm. The precursor-gas pressure ( $p$ ) in (b) was 50 Pa. (c) Water content as a function of the input power ( $\phi$ ) (50 sccm, 50 Pa). (d, e) Refractive index as a function of the inverse flow rate ( $F^{-1}$ ) and the precursor-gas pressure, respectively. The input power was 20 W. (f) Water content as a function of the precursor-gas pressure (50 sccm, 20 W).

1 longer residence time leads to further decomposition of propylamine. However, by comparing the data  
 2 at 50 and 30 Pa, we can conclude that the effect of the precursor-gas pressure is much greater than that  
 3 of the residence time. The refractive index of PA-CVD membrane was inversely proportional to the  
 4 precursor-gas pressure [Fig. 8(e)]. The coexistence of excess propylamine in the plasma gas is effective  
 5 in forming a relatively low-density PA-CVD membrane. Figure 8(f) shows that the water content of  
 6 the membrane increased markedly with increasing precursor-gas pressure in the plasma, reaching 30%  
 7 at 100 Pa.

8 The deposition rate of PA-CVD membranes prepared at 50 Pa and 50 sccm increased with input  
 9 power from 1.0 nm s<sup>-1</sup> at 20 W to 2.6 nm s<sup>-1</sup> at 40 W and 4.1 nm s<sup>-1</sup> at 100 W (SI; Fig. S9). On the  
 10 other hand, at 20 W and 50 sccm, the deposition rate decreased to 0.4 nm s<sup>-1</sup> when the pressure was  
 11 120 Pa. The PA-CVD membrane with low density and a high water content grows relatively slowly.

12 The structure of PA-CVD membrane depends significantly on the deposition parameters. The effects  
 13 of the deposition parameters on the membrane characteristics are summarized in Fig. 9. Increasing the  
 14 input power yields densely crosslinked PA-CVD membranes. The crosslinking density further  
 15 increased at above 40 W, and membranes obtained at above 70 W resembled diamond-like carbon.  
 16 Conversely, at 10 W, 50 sccm, and 50 Pa, the average pore size became 0.62 nm. As with the input  
 17 power, the effect of the precursor-gas pressure is significant, that is, high-pressure conditions result in  
 18 PA-CVD membranes with high porosity.

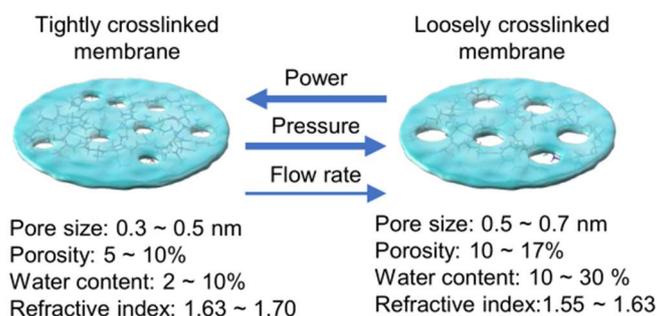


Fig. 9. Pore-size control of PA-CVD membranes by three plasma-process parameters and the ranges of membrane properties.

19 Increases in both the pressure and flow rate reduce the crosslinking density, but the influence of the  
 20 pressure is much more significant. In particular, when the pressure was increased to 100 Pa, the  
 21 membrane sorbs the water up to a content of 30%. By assuming a tortuosity of about 1.5 for the  
 22 penetrating pores ( $\varnothing$  0.56 nm), we calculated the porosity from the Hagen–Poiseuille equation (SI; Fig.  
 23 S8). As a result, we estimated that 7.7% of the surface of a 100-nm-thick PA-CVD membrane consists  
 24 of pores. The porosity increases with increasing pressure of the precursor gas. The water content of the  
 25 membrane varied most with the input power and the pressure. This indicates that the extent of  
 26 decomposition of the propylamine precursor gas changes markedly according to the deposition  
 27 parameters, resulting in a wide range of crosslinking densities.

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#### 4. Conclusions

Hydrophilic PA-CVD membranes with subnanometer pores were prepared by the PECVD method with propylamine as the precursor. The size and porosity of the membrane's nanopores can be controlled by an appropriate selection of the input power and the pressure and flow rate of the precursor gas. The relationships between these parameters and the structure and properties of the resulting membranes were investigated in detail. PA-CVD membranes prepared on an asymmetric substrate membrane completely rejected bivalent ions. The subnanometer pores accurately discriminated between alcohols of different molecular sizes, showing exceptional permeation selectivity toward isomers of C4 and C5 alcohols. In the case of a 50-nm-thick PA-CVD membrane, the retention factor of *tert*-butanol was three times higher than that of *n*-butanol. Compared with literature values, the permeance ( $1.2 \text{ L m}^{-2} \text{ h}^{-1} \text{ bar}^{-1}$ ) was 1/3.6 of that of polyamide membranes, but the selectivity was 2.5 times larger (SI; Fig. S10) [73-76]. On the other hand, a 20-nm-thick membrane had a high water flux of  $170 \text{ L m}^{-2} \text{ h}^{-1}$  at a pressure differential of 40 bar. These PA-CVD membranes therefore showed a good performance balance of flux and selectivity as alcohol-separation membranes (SI; Table S4). The deposition rate of PA-CVD membrane could be controlled in the range  $0.5\text{--}2.0 \text{ nm s}^{-1}$ . High retention of divalent cations was observed, even for the 10-nm-thick membrane, due to its high density of positive charges. The preparation of thinner membranes would be possible by using substrates with smaller pores. The water content could also be controlled over a wider range to improve the membrane's separation performance. The results of our study should contribute to the design of various plasma CVD membranes and the prediction of the performance of separation membranes.

#### CRedit authorship contribution statement

**S. Samitsu:** Methodology, Investigation, Formal analysis, Writing - Original Draft. **E. Ismail:** Writing - Review & Editing. **Y. Fujii:** Investigation. **I. Ichinose:** Supervision, Writing - Review & Editing

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