



# Inverse estimation approach for elastoplastic properties using the load-displacement curve and pile-up topography of a single Berkovich indentation

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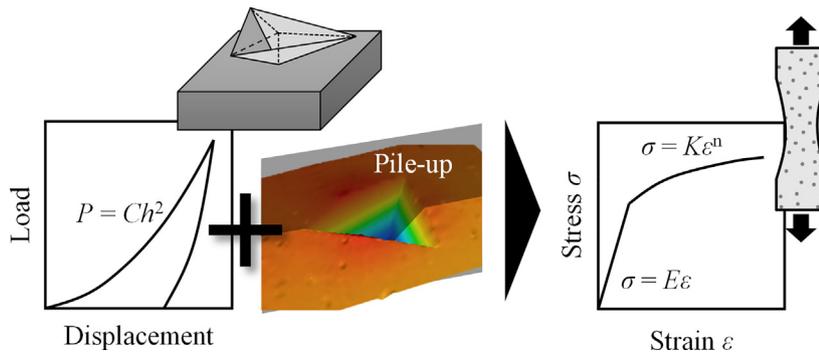
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## HIGHLIGHTS

- Elastoplastic properties were derived from the mechanical response of an indentation test
- An algorithm based on equivalent energy model and limit-analysis was proposed
- The coefficients for the algorithm were determined using finite element analysis
- The inverse estimation of elastoplastic properties based on the proposed model was highly correlated with the tensile tests

## GRAPHICAL ABSTRACT



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## ABSTRACT

An approach for the inverse estimation of the elastoplastic properties from a single indentation with a Berkovich indenter was developed. The relationship between the load-displacement and stress-strain curves was derived based on the equivalent energy principle, while an approximate equation for pile-up height was determined using elastic and plastic limits. The approach proposed in this study estimates the yield stress and strain-hardening exponent from hardness and pile-up height obtained from a single indentation based on these fundamental equations. The coefficients in the equations were determined in a parametric study using finite element analyses. The accuracy of the inverse estimation technique was confirmed using aluminum alloy and stainless steel samples and reference tensile testing.

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## 1. Introduction

Indentation testing is widely implemented in the field of engineering due to its simplicity. It is used to evaluate the mechanical

properties of a material, particularly hardness. An indentation test simultaneously records the load ( $P$ ) and displacement ( $h$ ) (Fig. 1a), allowing the calculation of elastic modulus and hardness [1], residual stress [2,3], creep [4,5], phase transition [6], and the onset of plastic deformation [7].

In a bulk material, the reduced elastic modulus ( $E_r$ ) and indentation hardness ( $H_{it}$ ) are calculated from the load-displacement curve according to the Oliver-Pharr (OP) method [8] as follows:

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$$E_r = \frac{S\sqrt{\pi}}{2\sqrt{A_{(h_c)}}}, \tag{1}$$

$$H_{it} = \frac{P_{max}}{A_{(h_c)}}, \tag{2}$$

$$\frac{1}{E_r} = \frac{1-\nu_i^2}{E_i} + \frac{1-\nu_s^2}{E_s} = \frac{1-\nu_i^2}{E_i} + \frac{1}{M}, \tag{3}$$

where  $S$  is contact stiffness,  $h_c$  is contact depth,  $P_{max}$  is the maximum load and  $A_{(h_c)}$  is contact area at  $h = h_c$ .  $E$  and  $\nu$  are Young's modulus and Poisson's ratio, respectively, where subscripts  $i$  and  $s$  represent the indenter and sample, respectively. The authors define indentation modulus ( $M$ ) as  $E_s/(1-\nu_s^2)$ .

The stress-strain curve obtained from a uniaxial tensile test (Fig. 1b) provides the essential information regarding mechanical properties required in the design and evaluation of structural materials. The simplest approximation of the stress-strain curve uses Young's modulus ( $E$ ), a strain hardening coefficient ( $K$ ), and a strain hardening exponent ( $n$ ), as given in Eqs. (4) and (5):

$$\sigma = E\varepsilon \text{ at } \sigma \leq \sigma_y, \tag{4}$$

$$\sigma = K\varepsilon^n \text{ at } \sigma \geq \sigma_y, \tag{5}$$

where  $\sigma$  and  $\varepsilon$  represent stress and strain, respectively, and  $\sigma_y$  is yield stress. Eqs. (4) and (5) are continuous at the yield point ( $\sigma = \sigma_y$ ), and thus  $K = E^n\sigma_y^{1-n}$ . Therefore, Eq. (5) is replaced by Eq. (6), as follows:

$$\sigma = E^n\sigma_y^{1-n}\varepsilon^n. \tag{6}$$

Many techniques have been proposed to inversely estimate the stress-strain relationship from the results of an indentation test, and these techniques use either spherical or pyramidal indenters. Inverse estimation techniques using spherical indenters [9–12] generate a continuous stress-strain curve from a single indentation as the strain field under the indenter changes with increasing indentation depth. However, the indenter tip radius must be adjusted according to the area being evaluated. Pyramidal indenters such as conical, Berkovich, and Vickers indenters similarly have a geometry independent on depth. Most of the techniques using pyramidal indenters determine the elastic modulus using Eqs. (1) and (3). The dual indenter technique [13–22] is a common inverse estimation technique applied to pyramidal indenters. It estimates the yield stress and strain hardening exponent from two load-displacement curves, each obtained using indenters with different tip angles, as the yield stress and strain hardening exponent of an unknown sample cannot be determined from a single load-displacement curve [23–25]. Numerous studies [15,17–19,26] have used dimensionless polynomial functions based on  $\Pi$  theory, where the coefficients for the functions were determined using finite element analysis. A technique proposed by Ogasawara et al. [16] was based on limit analysis that considered the elastic and plastic limits. Chen and Cai [13] proposed a relationship between the load-displacement curve and elastoplastic properties based on an equivalent energy principle and Johnson's cavity model [27]. Furthermore, the effectiveness of these dual indenter techniques has been compared by Guelorget et al. [28] and Kang et al. [29].

The stress-strain relationship can be estimated from a single indentation result by analyzing not only a load-displacement curve but also the three-dimensional pile-up topography after indentation using confocal microscopy or atomic force microscopy (AFM). Goto et al. [30] demonstrated that the residual pile-up height is related to the yield stress and strain hardening exponent as well as the load-displacement curve in finite element analysis, where the residual pile-up height was strongly dependent on the strain hardening exponent. The sensitivity

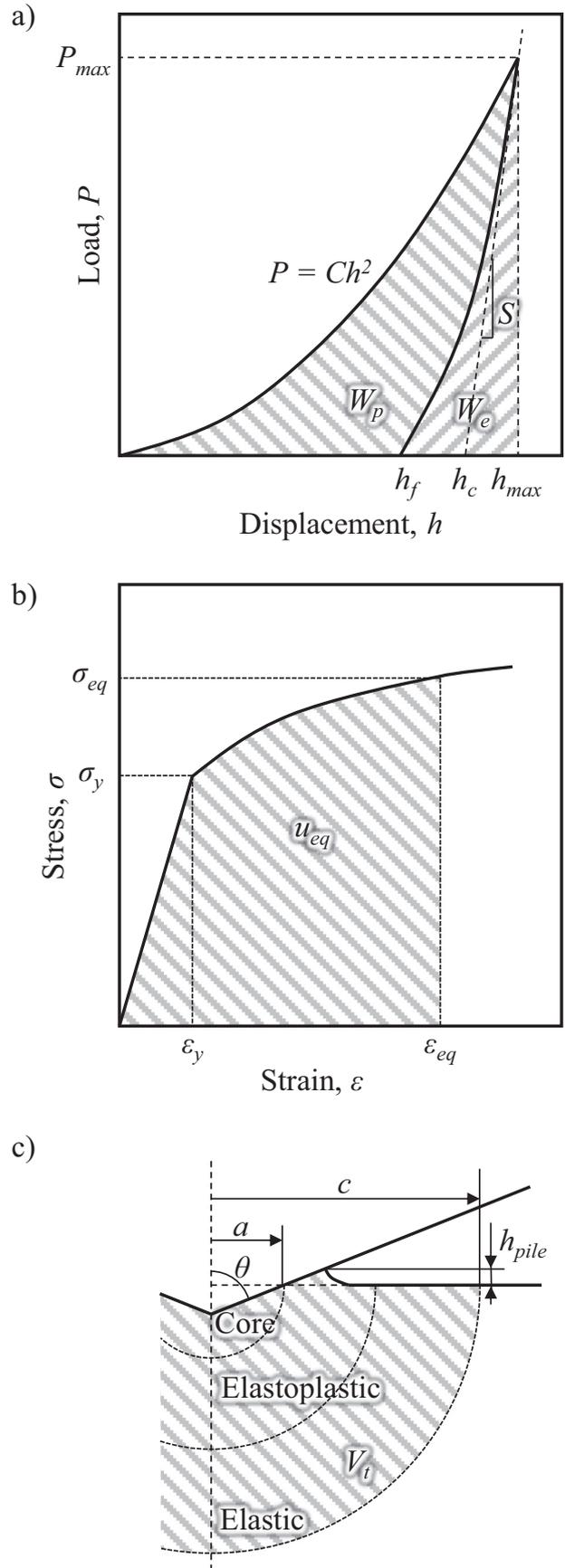


Fig. 1. Schematic of the (a) load-displacement curve, (b) stress-strain curve and (c) deformation under a conical indenter based on Johnson's cavity model.

of the pile-up topography was further investigated by Meng et al. [31]. The load-displacement curve and pile-up topography from a single indentation test were used to estimate stress-strain curves, as shown in Goto et al. [30], Meng et al. [32], and Bolzon et al. [33]. These studies performed a large number of indentation simulation iterations to obtain a simulation that accurately reflects the experimental result. However, these iterations of finite element analysis are time-consuming.

This study aimed to establish a relationship between elastoplastic properties and indentation results for an inverse estimation based on the load-displacement curve and pile-up topography of a single indentation. A Berkovich indenter was chosen for its frequent use in previous studies involving instrumented indentation. The study focused on modeling and formulation, and determined the modeling coefficients using a finite element analysis parametric study. The proposed model was validated by conducting further inverse estimations.

## 2. Inverse estimation model

### 2.1. Load-displacement curve

The relationship between the load-displacement curve and elastoplastic properties was established using the equivalent energy model [13]. An indentation causes elastoplastic deformation of the sample material beneath the indenter. The total strain energy ( $U_t$ ) in the deformed region ( $V_t$ ) is obtained by integrating strain energy density ( $u$ ) at each point (Fig. 1c),

$$U_t = \int_{V_t} u dV. \quad (7)$$

The equivalent strain energy ( $u_{eq}$ ) was defined as a volumetrically averaged value according to Eq. (8) as follows:

$$u_{eq} = \frac{\int_{V_t} u dV}{V_t}. \quad (8)$$

$u_{eq}$  was decomposed at the yield point into two components, namely the elastic ( $u_{eq,e}$ ) and elastoplastic ( $u_{eq,ep}$ ) components (Fig. 1b). According to the power law in the elastoplastic region (Eq. (5)),  $u_{eq}$  is represented in terms of  $E$ ,  $K$ , and  $n$ , as follows:

$$u_{eq,e} = \int_0^{\varepsilon_y} \sigma d\varepsilon = \frac{E\varepsilon_y^2}{2} = \frac{K\varepsilon_y^{1+n}}{2}, \quad (9)$$

$$u_{eq,ep} = \int_{\varepsilon_y}^{\varepsilon_{eq}} \sigma d\varepsilon = \frac{K}{1+n} (\varepsilon_{eq}^{1+n} - \varepsilon_y^{1+n}), \quad (10)$$

$$\therefore u_{eq} = u_{eq,e} + u_{eq,ep} = \frac{K}{1+n} \left( \varepsilon_{eq}^{1+n} - \frac{1-n}{2} \varepsilon_y^{1+n} \right) \approx \frac{K\varepsilon_{eq}^{1+n}}{1+n}, \quad (11)$$

where  $\varepsilon_y$  and  $\varepsilon_{eq}$  are the yield strain ( $= \sigma_y/E$ ) and strain at  $u = u_{eq}$ , respectively. It was assumed that  $\varepsilon_y$  is substantially smaller than  $\varepsilon_{eq}$ :

$$\frac{1-n}{2} \left( \frac{\varepsilon_y}{\varepsilon_{eq}} \right)^{1+n} \ll 1. \quad (12)$$

Atkins and Tabor [34] proposed representative plastic strain ( $\varepsilon_r$ ), which was the strain attributed to plastic deformation induced by indentation. The representative plastic strain has been determined in previous studies [14,35], most of which use Eq. (13) to approximate the relationship between  $\varepsilon_r$  and indenter tip angle ( $\theta$ ), as follows:

$$\varepsilon_r = B_{e1} \cot \theta, \quad (13)$$

where  $B_{e1}$  is constant. It may be assumed that  $\varepsilon_{eq}$  has a similar relationship:

$$\varepsilon_{eq} = k_{e1} \cot \theta, \quad (14)$$

where  $k_{e1}$  is also a constant. The equivalent energy model assumes that the form of the indenter is conical, but the contact area of a conical indenter is equivalent to a Berkovich indenter when  $\theta = 70.3^\circ$ .

Deformed volume ( $V_t$ ) may be estimated using Johnson's cavity model [13], which assumes that the deformed region is hemispherical and consists of a core, elastoplastic, and elastic regions (Fig. 1c).

$$V_t = \frac{2}{3}\pi c^3 = \frac{2}{3}\pi (f_{e1}(\varepsilon_y, n, \theta) a)^3 = \frac{2}{3}\pi (f_{e1}(\varepsilon_y, n, \theta) h_{max} \tan \theta)^3, \quad (15)$$

where  $a$  and  $c$  are the radii of the core and elastic regions, respectively.  $f_{e1} = c/a$  is a function of the elastoplastic properties and the tip angle, but the elastic modulus has a minor effect on  $f_{e1}$  (see Section 3). Thus, the total strain energy  $U_t$  was derived from Eqs. (8), (11), (14), and (15) to give Eq. (16), as follows:

$$U_t = u_{eq} V_t = \frac{2}{3}\pi \frac{K}{1+n} (k_{e1} \cot \theta)^{1+n} (f_{e1}(\varepsilon_y, n, \theta) h_{max} \tan \theta)^3. \quad (16)$$

The total strain energy is equal to the total indentation work ( $W_t$ ), where  $W_t = W_e + W_p$ , as follows:

$$U_t = W_t. \quad (17)$$

$W_t$  is calculated by integrating the indentation load ( $P$ ) with the displacement ( $h$ ) during loading:

$$W_t = \int_0^{h_{max}} P dh = \frac{1}{3} C h_{max}^3. \quad (18)$$

Here, the load-displacement curve was approximated by a parabolic curve:

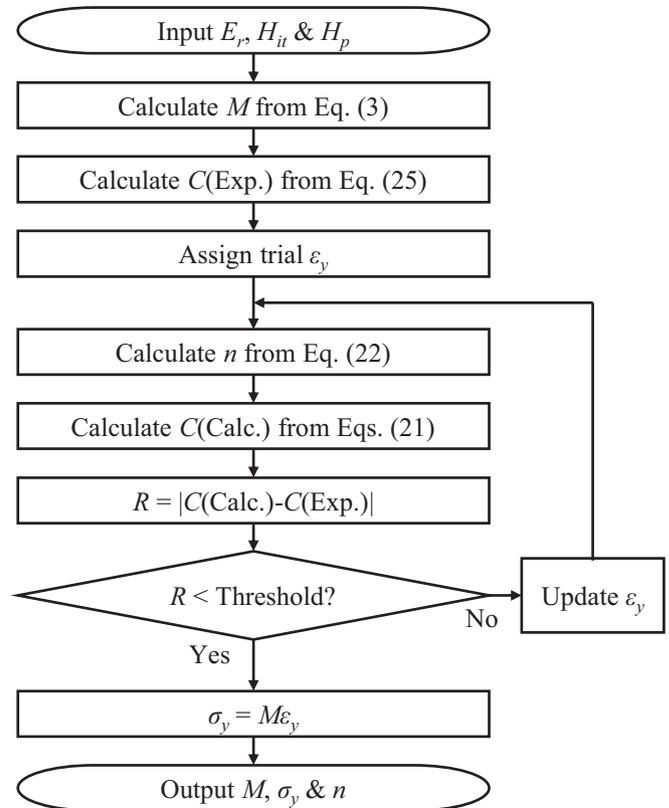


Fig. 2. Algorithm for the inverse estimation of  $E_r$ ,  $H_{it}$ , and  $H_p$ .

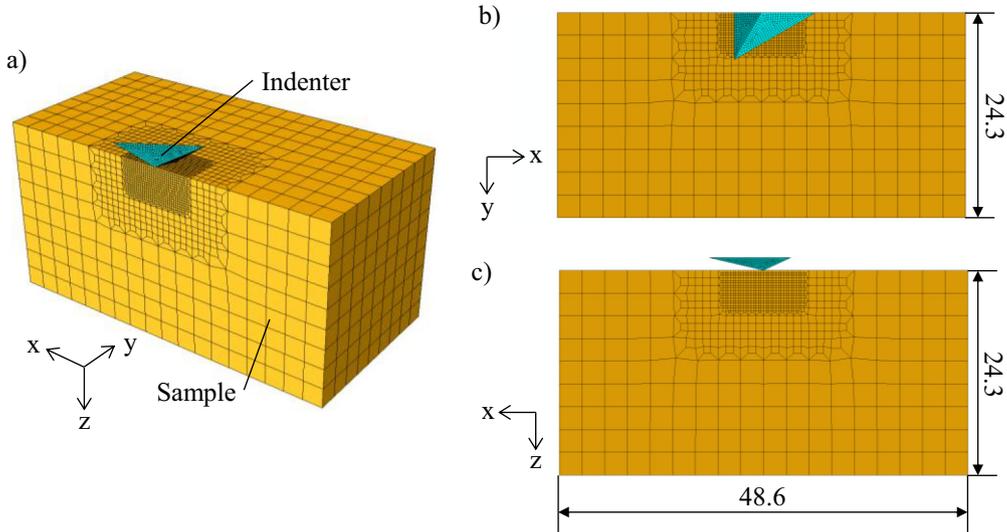


Fig. 3. Analytical model of a Berkovich indenter shown from the (a) perspective, (b) top and (c) side views.

$$P = Ch^2. \quad (19)$$

The curvature of the loading curve ( $C$ ) was correlated to the elastoplastic parameters  $M$ ,  $\sigma_y$  ( $= M \times \varepsilon_y$ ) and  $n$  using Eqs. (16)–(18):

$$\frac{2}{3}\pi \frac{K}{1+n} (k_{e1} \cot \theta)^{1+n} \left( f_{e1}(\varepsilon_y, n, \theta) h_{max} \tan \theta \right)^3 = \frac{1}{3} Ch_{max}^3, \quad (20)$$

$$\therefore C = \frac{2\pi k_{e1}^{1+n} \left( f_{e1}(\varepsilon_y, n, \theta) \right)^3 M \varepsilon_y^{1-n} \tan^{2-n} \theta}{1+n}. \quad (21)$$

Young's modulus ( $E$ ) was replaced by the indentation modulus ( $M$ ) because the model was under plain-strain condition.

## 2.2. Pile-up topography

Pile-up occurs due to isovolumetric plastic flow parallel to the indenter surface when the strain is concentrated directly below the indenter. Therefore, the maximum pile-up height occurs when  $\varepsilon_y = n = 0$ . Under elastic deformation, i.e.,  $\varepsilon_y = \infty$  or  $n = 1$ , the residual pile-up height ( $h_{pile}$ ) must be 0 because the indented surface will return to its original form (i.e. no indentation) upon removal of the indenter. Goto et al. [30] demonstrated that the residual pile-up height decreases with an increase in  $\varepsilon_y$  and  $n$ .

The normalized residual pile-up height ( $H_p$ ) is given in Eq. (22), as follows:

$$H_p = h_{pile}/h_{max} = k_{p1} \exp(-k_{p2}\varepsilon_y - k_{p3}n), \quad (22)$$

where  $k_{p1}$ ,  $k_{p2}$ , and  $k_{p3}$  are constants.

**Table 1**  
The input elastoplastic properties for the finite element analyses in the parametric study (120 cases).

Property	Value
$M$ [GPa]	40, 120, 200, 280
$\varepsilon_y$	$5 \times 10^{-4}$ , $2.5 \times 10^{-3}$ , $5 \times 10^{-3}$ , $7.5 \times 10^{-3}$ , $1 \times 10^{-2}$
$n$	0.0, 0.1, 0.2, 0.3, 0.4, 0.5

## 2.3. Extension to hardness

The OP method evaluates the indentation hardness ( $H_{it}$ ) in addition to the reduced elastic modulus, as given in Eq. (2). Commercial software packages calculate not  $C$  but the hardness value ( $H_{it}$ ) from the load-displacement results. Therefore,  $H_{it}$  is preferable to  $C$  for the calculation of the elastoplastic properties.

The OP method calculates contact depth according to Eq. (23):

$$h_c = h_{max} - \frac{eP_{max}}{S}, \quad (23)$$

where  $e$  depends on indenter geometry and  $e = 0.75$  for pyramidal indenters. The ideal Berkovich indenter has a relationship described by Eq. (24):

$$A_{(h)} = C_0 h^2, \quad (24)$$

where  $C_0 = 24.56$ . Eqs. (2), (19), (23), and (24) is combined to calculate  $C$  from  $E_r$  and  $H_{it}$  according to Eq. (25), as follows:

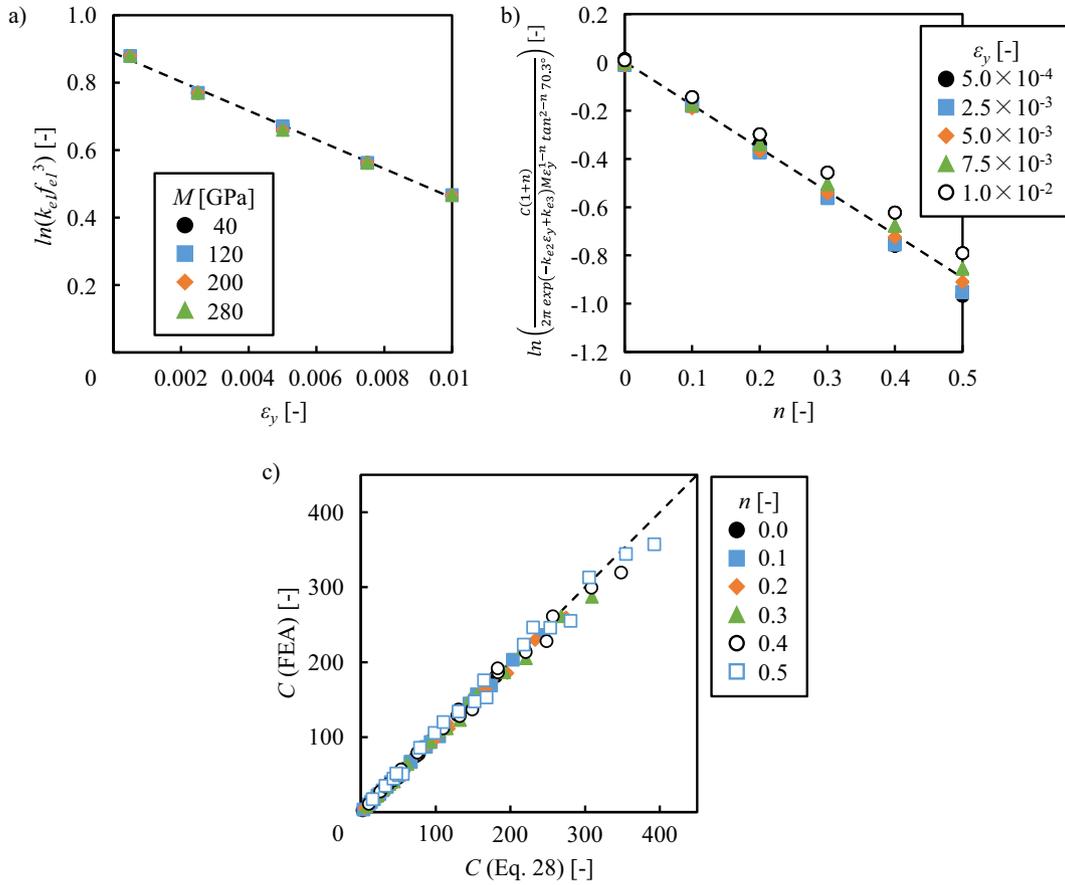
$$C = H_{it} C_0 \left( \frac{h_c}{h_{max}} \right)^2 = H_{it} C_0 \left( \frac{2E_r}{2E_r + \varepsilon H_{it} \sqrt{C_0 \pi}} \right)^2. \quad (25)$$

Although the OP method is widely accepted for the calculation of elastic modulus and hardness, it causes the error of contact area when pile-up occurs [36,37] (See 3.4. Discussion). The error is remarkable for materials with small strain hardening exponent. If different algorithm is used for the calculation of hardness, Eq. (25) should be modified according to the used algorithm.

## 2.4. Inverse estimation algorithm

The elastoplastic properties, including indentation modulus ( $M$ ), yield stress ( $\sigma_y$ ), and strain hardening exponent ( $n$ ), are estimated from the indentation results, specifically the reduced elastic modulus ( $E_r$ ), indentation hardness ( $H_{it}$ ), and normalized pile-up height ( $H_p$ ).

The inverse estimation algorithm is based on the  $E_r$ ,  $H_{it}$  and  $H_p$  results (Fig. 2).  $M$  is calculated from  $E_r$ , and the assigned trial yield strain ( $\varepsilon_y$ ) is used to calculate  $n$  from  $H_p$ . The  $\varepsilon_y$  value is optimized according to residual error between the calculated and experimental  $C$  values. Iterations are discontinued once a sufficiently low error is achieved, and  $\sigma_y$  and



**Fig. 4.** Determination of the coefficients for C, specifically (a) the relationship between  $k_{e1} f_{e1}^3$  with  $\epsilon_y$  at  $n = 0$ , which was independent of elastic modulus; (b) the relationship between the left term in Eq. (29) and  $n$ ; and (c) the high correlation between the C values calculated using Eq. (28) and finite element analyses (FEA).

**Table 2**  
The coefficients determined using finite element analyses.

Coefficient	$k_{e1}$	$k_{e2}$	$k_{e3}$	$k_{p1}$	$k_{p2}$	$k_{p3}$
Value	0.1702	42.94	0.8881	0.4162	130.4	7.469

$n$  are determined according to the trial  $\epsilon_y$ . The C value may alternatively be used instead of  $H_{it}$  for this estimation.

**3. Determination of coefficients using finite element analysis**

**3.1. Analytical model**

A finite element model of a Berkovich indentation is illustrated in Fig. 3, generated using a commercial finite element analysis package (ABAQUS 6.14). The dimensions of the sample sections were  $48.6 \times 24.3 \times 24.3$ , and the smallest element size was 0.3. The simulated sample was meshed with an 8-node hexahedral element (C3D8), and the Berkovich indenter was assumed to be rigid and was meshed with 3- and 4-node rigid elements. The model included a total of 15,805 nodes and 14,543 elements. Bucaille et al. [14] found that the friction between the indenter and sample had a minor effect at  $\theta > 60^\circ$ , but an increase in the friction coefficient caused an increase in the indentation load and a decrease in the pile-up height. A friction coefficient of 0.2 was selected for the present study as well as Chen and Cai [13]. The indenter was displaced by 1 in the loading step and returned to its initial position in the subsequent unloading step. The indentation load and pile-up height were multiplied by a factor of 1.2 and 1.3, respectively,

which was determined by applying forward analysis to an experimental tensile test.

A wide variety of input elastoplastic properties were included in the sample set to consider a diverse range of metals [38] (Table 1).

**3.2. Coefficients of the load-displacement curve**

A strain hardening exponent of  $n = 0$  allows the adaption of Eq. (21) to Eq. (26), as follows:

$$k_{e1} \left( f_{e1(\epsilon_y, 0, \theta)} \right)^3 = \frac{C}{2\pi M \epsilon_y \tan^2 \theta} \tag{26}$$

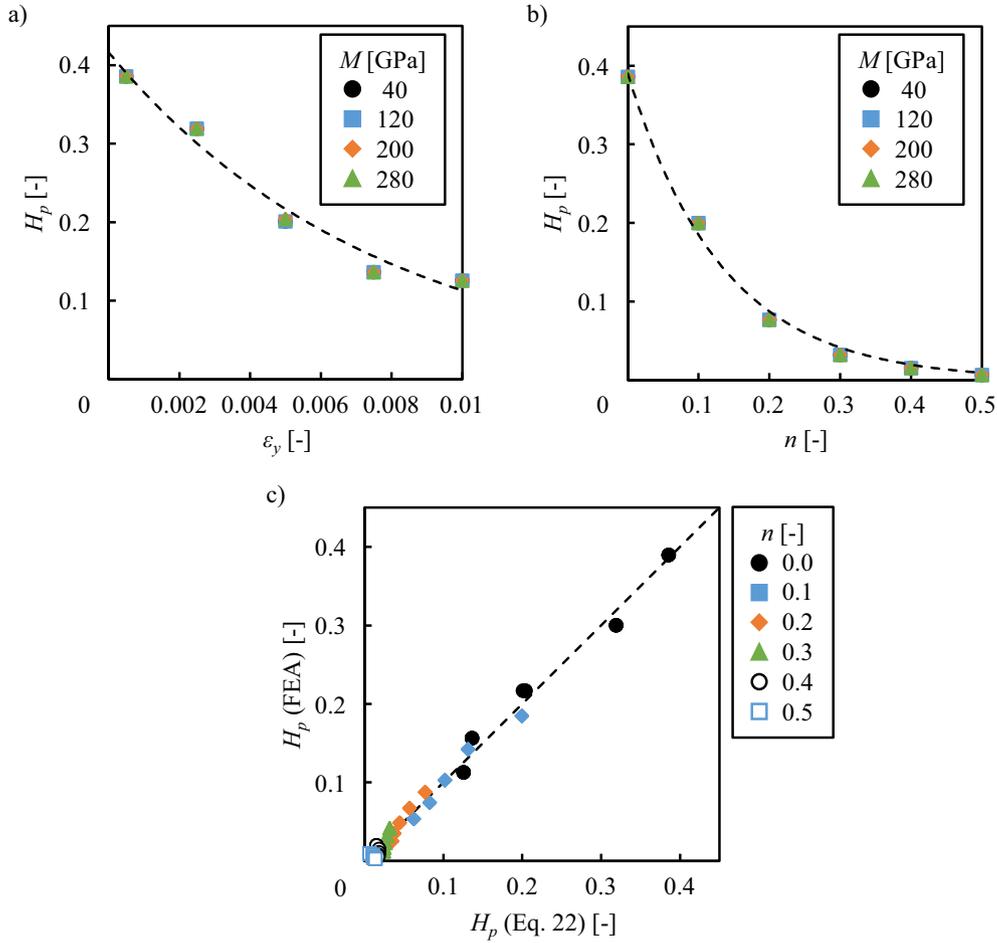
The tip angle of the conical indenter ( $\theta$ ) is  $70.3^\circ$  to provide the same contact area as a Berkovich indenter. The  $\ln\{k_{e1} (f_{e1(\epsilon_y, 0, 70.3^\circ)})^3\}$  term is dependent on  $\epsilon_y$  at  $n = 0$  (Fig. 4a), indicative of the linear relationship with  $\epsilon_y$ , which was independent of the reduced elastic modulus ( $M$ ). This was approximated by Eq. (27):

$$\ln \left\{ k_{e1} \left( f_{e1(\epsilon_y, 0, 70.3^\circ)} \right)^3 \right\} = -k_{e2} \epsilon_y + k_{e3}, \tag{27}$$

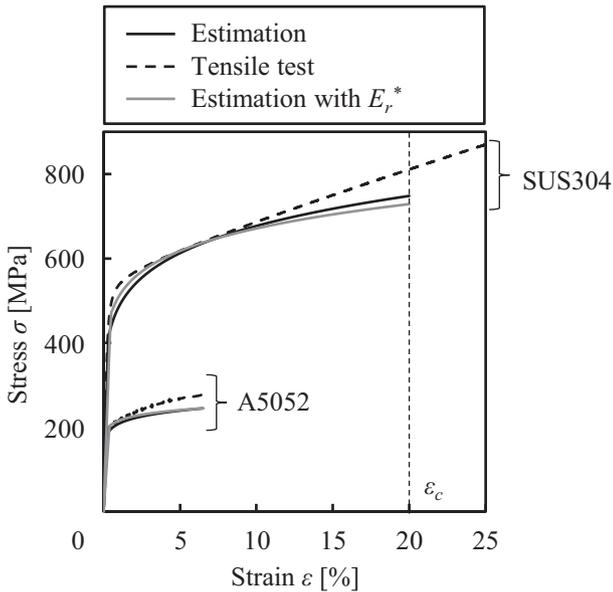
where  $k_{e2}$  and  $k_{e3}$  are constants.

Assuming  $f_{e1}$  is independent of  $n$ , Eq. (28) is obtained by substituting Eq. (27) into Eq. (21), as follows:

$$C = \frac{2\pi k_{e1}^n \exp(-k_{e2} \epsilon_y + k_{e3}) M \epsilon_y^{1-n} \tan^{2-n} 70.3^\circ}{1+n} \tag{28}$$



**Fig. 5.** The relationship between  $H_p$  and (a)  $\varepsilon_y$  at a fixed  $n$  value of 0 and (b)  $n$  at a fixed  $\varepsilon_y$  value of  $5 \times 10^{-4}$ , and (c) the high correlation between the  $H_p$  values calculated using Eq. (22) and finite element analysis (FEA).



**Fig. 6.** The true stress-strain curves of the aluminum alloy (A5052) and stainless steel (SUS304) estimated from the indentation results ( $E_r$ ,  $H_{it}$ , and  $H_p$ ) and measured in the tensile tests. The estimated curves of stainless steel are given until  $\varepsilon$  reached 20%, as the upper limit of strain ( $\varepsilon_c$ ) for an estimated curve is 20% at  $\theta = 70.3^\circ$ . The estimation results for a reduced  $E_r$  ( $E_r^* = E_r/1.3$ ) are given in gray.

Eq. (28) can be rearranged to give Eq. (29):

$$\ln \frac{C(1+n)}{2\pi \exp(-k_{e2}\varepsilon_y + k_{e3})M\varepsilon_y^{1-n} \tan^{2-n} 70.3^\circ} = n \ln k_{e1}. \quad (29)$$

Eq. (29) was validated (Fig. 4b) and  $k_{e1}$  was determined using the least square method. The values of  $k_{e1}$ ,  $k_{e2}$  and  $k_{e3}$  are given in Table 2.

The  $C$  values calculated using Eq. (28) were plotted against those obtained from the finite element analyses (Fig. 4c) to demonstrate that Eq. (28) provided an accurate estimation. The maximum error between the  $C$  values calculated using Eq. (28) and the finite element analyses was 36 GPa.

### 3.3. Coefficients of pile-up topography

The  $H_p$  value exponentially decreased with increasing  $\varepsilon_y$  at a fixed  $n$  value of 0 (Fig. 5a) and increasing  $n$  at a fixed  $\varepsilon_y$  value of  $5 \times 10^{-4}$  (Fig. 5b), but was independent of  $M$ , as expected from the findings in Section 2. The coefficients in Eq. (22) were determined using the least square method (Table 2).

The  $H_p$  values calculated using Eq. (22) were plotted against those obtained from the finite element analyses (Fig. 5c) to reveal that Eq. (22) expressed the dependence of  $H_p$  across a wide range of elastoplastic properties. The maximum error between the  $H_p$  values calculated using Eq. (22) and the finite element analyses was 0.02.

### 3.4. Discussion

The  $B_{e1}$  coefficient for the representative plastic strain ( $\varepsilon_r$ ) in Eq. (13) depends on the definition of  $\varepsilon_r$ , and was found to be 0.0319 and 0.105 by Ogasawara et al. [35] and Bucaille et al. [14], respectively. However,  $\varepsilon_{eq}$  includes elastic strain in addition to plastic strain, and thus the coefficient  $k_{e1}$  in Eq. (14) is larger than  $B_{e1}$ . The  $k_{e1}$  value in this study was similar to the findings of Chen et al. [13].

The ratio of  $c$  to  $a$  ( $f_{e1}$ ) determines the volume affecting the load-displacement curve.  $f_{e1}$  was calculated from Eq. (27) to give Eq. (30), as follows:

$$f_{e1} = \left\{ \frac{1}{k_{e1}} \exp(-k_{e2}\varepsilon_y + k_{e3}) \right\}^{\frac{1}{3}}, \quad (30)$$

where  $f_{e1}$  has a maximum value of 2.409 at  $\varepsilon_y = 5 \times 10^{-4}$  and a minimum of 2.103 at  $\varepsilon_y = 1 \times 10^{-2}$ . The elastoplastic boundaries of the region deformed by indentation were dependent on the material and ranged between 3a and 6a [39,40]. These values were larger than  $f_{e1}$ , indicating that the deformed region of small plastic strain did not affect the indentation results. Sudharshan Phani and Oliver [41] investigated the effect of indentation spacing on  $E_r$  and  $H_{it}$  using a Berkovich indenter and found that the elastoplastic regions of neighboring indentations were not required to obtain complete separation for reliable results. A similar observation was made in the current study.

Pile-up occurs during indentation and increases the contact area, but was not accounted for in the OP method. A parametric study using finite element analysis by Cheng and Cheng [36] and Bolshakov and Pharr [37] demonstrated that the OP method underestimates the contact area by as much as 60%. Cheng and Cheng [42] used indentation work values from finite element analysis to determine a non-dimensional function related to  $E_r/H_{it}$ . By combining this function with Eqs. (1) and (2), a modified contact area was calculated using the contact stiffness, maximum load and indentation work values. Saha et al. [43] and Kese et al. [44,45] proposed geometrical modification approaches that assumed the pile-up ridges were arc [43] and semi-ellipse [44] [45] shaped, where the ridge shape was calculated from pile-up height after unloading. This can contribute to errors, as the pile-up behavior differs between loading and unloading. The modification of the OP method is still controversial despite the proposal of numerous approaches, and the present study chose to calculate  $E_r$  using the unmodified OP method.

The estimation model assumes adiabatic heating and strain-rate sensitivity are negligibly small. A slight increase of hardness with a loading rate was reported in previous studies [46,47]. The strain-rate sensitivity remarkably appears at ultra-high strain rate [48], causing overestimation of the strain energy in the equivalent energy model. Therefore, the estimation should be performed using an indentation result at small strain rate, and one must pay attention to an estimation result when a material has high strain-rate sensitivity.

### 4. Inverse estimation of engineering materials

Stress-strain curves estimated from indentation results were validated using tensile testing on an aluminum-magnesium alloy (A5052) and austenitic stainless steel (SUS304) as sample materials. The indentation samples were mechanically polished and electropolished to minimize surface roughness and residual strain. Indentations were performed at  $P_{max} = 1.5$  N and  $dP/dt = 100$  mN/s using a Bruker TI-900 nanoindenter, followed by the measurement of pile-up topography using a Keyence VK-9700 laser microscope. The tensile tests were performed using a Shimadzu AG-X universal testing instrument with constant crosshead speed of 0.6 mm/s. The elastoplastic properties were calculated as mean values from indentation tests performed in triplicate.  $E_r$  and  $H_{it}$  values were calculated from the load-displacement curves using the OP method. The  $h_{pile}$

value was the mean maximum height, calculated from the cross-section through a vertex and center of the side of an indentation mark, and used to calculate  $H_p$ .

True stress-strain curves in Fig. 6 were based on the proposed method estimates and the tensile test measurements. The aluminum alloy fractured at  $\varepsilon = 6.5\%$ , thus these curves were only plotted until the fracture strain. Liu et al. [49] found that the upper limit of strain ( $\varepsilon_c$ ) for the estimated curves was 20% at  $\theta = 70.3^\circ$ . Therefore, the stainless steel was only estimated until  $\varepsilon$  reached 20%. The estimation results were acceptably<sup>1</sup> accurate with reference to tensile tests, although a small error was observed. These estimation errors were attributed to the stainless steel exhibiting bi-linear behavior and not the powered law upon which the model was based.

As mentioned in 3.4. Discussion, the OP method underestimates contact area by 60% when pile-up occurs, corresponding to overestimation of  $E_r$  by 30% [37]. In the present work, the estimated stress-strain curves possibly include the error due to the overestimation of  $E_r$ . To evaluate the error, the estimation was performed using 1.3 times reduced  $E_r$  ( $E_r^* = E_r/1.3$ ). The estimated stress-strain curves for  $E_r^*$  were comparable to that for  $E_r$  though  $\sigma_y$  increased and  $n$  decreased, as shown in Fig. 6. A 60% underestimation of contact area is the worst case scenario, and the error will be negligible in most materials, particularly in those that exhibited large strain hardening.

### 5. Conclusions

An inverse estimation algorithm was proposed to estimate a stress-strain curve from a single indentation test. The relationship between the elastoplastic properties and indentation results was evaluated using the equivalent energy method and exponential approximation. A parametric study of indentation was performed using finite element analysis to determine the appropriate coefficients for the proposed equation. The proposed equation provided an accurate reproduction of the simulation results and was validated using an aluminum alloy and stainless steel. The stress-strain curves estimated from the indentation tests were correlated with the tensile tests. This technique allows for the estimation of elastoplastic properties at various indentation size without exchanging the indenter and is a promising alternative for the rapid evaluation of local mechanical properties.

### Data availability

The data required to reproduce these findings are available from the corresponding author upon request.

### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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<sup>1</sup> Large difference is seen in the stress-strain curves estimated by various dual indenter methods as shown in Guelorget et al. [28].

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